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Why is the Recognition of Spontaneous Speech so Hard?

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Abstract. Although speech, derived from reading texts, and similar types of speech, e.g. that from reading newspapers or that from news broadcast, can be recognized with high accuracy, recognition accuracy drastically decreases for spontaneous speech. This is due to the fact that spontaneous speech and read speech are significantly different acoustically as well as linguistically. This paper reports analysis and recognition of spontaneous speech using a large-scale spontaneous speech database "Corpus of Spontaneous Japanese (CSJ)". Recognition results in this experiment show that recognition accuracy significantly increases as a function of the size of acoustic as well as language model training data and the improvement levels off at approximately 7M words of training data. This means that acoustic and linguistic variation of spontaneous speech is so large that we need a very large corpus in order to encompass the variations. Spectral analysis using various styles of utterances in the CSJ shows that the spectral distribution/difference of phonemes is significantly reduced in spontaneous speech compared to read speech. Experimental results also show that there is a strong correlation between mean spectral distance between phonemes and phoneme recognition accuracy. This indicates that spectral reduction is one major reason for the decrease of recognition accuracy of spontaneous speech.

1 Introduction

State-of-the-art speech recognition technology can achieve high recognition accuracies for read texts or limited domain spoken interactions. However, the accuracy is still rather poor for spontaneous speech, which is not as well structured acoustically and linguistically as read speech [1, 2]. Spontaneous speech includes filled pauses, repairs, hesitations, repetitions, partial words, and disfluencies. It is quite interesting to note that, although speech is almost always spontaneous, until recently speech recognition research has focused primarily on recognizing read speech. Spontaneous speech recognition as a specific research field has only recently emerged about 10 years ago within the wider field of automatic speech recognition (e.g. [3–7]). Effectively broadening the application of speech recognition depends crucially on raising recognition performance for spontaneous speech.

In order to increase recognition performance for spontaneous speech, it is necessary to build acoustic and language models specific to spontaneous speech. Our knowledge of the structure of spontaneous speech is currently insufficient to achieve necessary breakthroughs. Although spontaneous speech phenomena are quite common in human communication and may increase in human machine discourse as people become more comfortable conversing with machines, analysis and modeling of spontaneous speech are only in the initial stages. It is widely well known that spectral distribution of continuously spoken vowels or syllables is much smaller than that of isolated spoken vowels or syllables, which is sometimes called spectral reduction. Similar reduction has also been observed for spontaneous speech in comparison with read speech (e.g. [8, 9]). However, as of yet no research has been conducted using a large spontaneous database nor on the relationships between the spectral reduction and spontaneous speech recognition performance.

The next section in this paper overviews our spontaneous speech project focusing on the large-scale Japanese spontaneous speech corpus, and reports results of speech recognition experiments using the spontaneous speech corpus, including several analyses on speech recognition errors. Then, the paper reports investigations on spectral reduction using cepstral features that are widely used in speech recognition, based on the spontaneous speech corpus. In the following section, the difference of distances between each pair of phonemes in spontaneous speech and that in read speech is analyzed, and the relationship between the phoneme distances and phoneme recognition performance in various speaking styles is investigated.

2 "Spontaneous Speech: Corpus and Processing Technology" Project

2.1 Overview of the Project

A 5-year Science and Technology Agency Priority Program entitled "Spontaneous Speech: Corpus and Processing Technology" was conducted in Japan from 1999 to 2004 [1], and accomplished the following three major objectives.

1. A large-scale spontaneous speech corpus, Corpus of Spontaneous Japanese (CSJ), consisting of roughly 7M words (morphemes) with a total speech length of 650 hours has been built [10, 11].
2. Acoustic and language modeling for spontaneous speech recognition and understanding using linguistic as well as para-linguistic information in speech was investigated [2].
3. Spontaneous speech recognition and summarization technology was investigated.

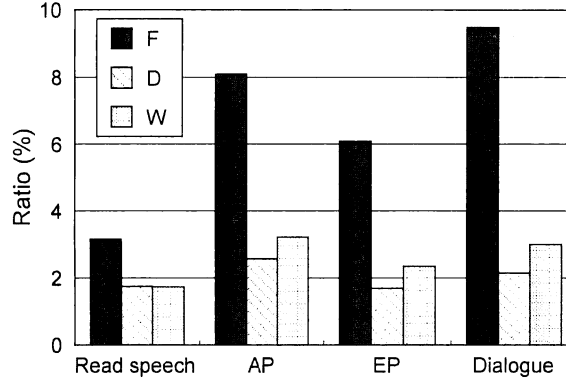### 2.2 Corpus of Spontaneous Japanese (CSJ)

Mainly recorded in the Corpus of Spontaneous Japanese (CSJ) are monologues such as academic presentations (AP) and extemporaneous presentations (EP) as

Table 1. Contents of the CSJ

Type of speech	# speakers	# files	Monologue/ Dialogue	Spontaneous/ Read	Hours
Academic presentations (AP)	838	1006	Monolog	Spont.	299.5
Extemporaneous presentations (EP)	580	1715	Monolog	Spont.	327.5
Interview on AP	*(10)	10	Dialog	Spont.	2.1
Interview on EP	*(16)	16	Dialog	Spont.	3.4
Task oriented dialogue	*(16)	16	Dialog	Spont.	3.1
Free dialogue	*(16)	16	Dialog	Spont.	3.6
Reading text	*(244)	491	Dialog	Read	14.1
Reading transcriptions	*(16)	16	Monolog	Read	5.5
*Counted as the speakers of AP or EP				Total hours	658.8

shown in Table 1. AP contains live recordings of academic presentations in nine different academic societies covering the fields of engineering, social science and humanities. EP is studio recordings of paid layman speakers' speech on everyday topics like "the most delightful memory of my life" presented in front of a small audience and in a relatively relaxed atmosphere. Therefore, the speaking style in EP is more informal than in AP. Presentations reading text have been excluded from AP and EP. The EP recordings provide a more balanced representation of age and gender than the AP. The CSJ also includes a smaller database of dialogue speech for the purpose of comparison with monologue speech. The dialogue speech is composed of an interview, a task oriented dialogue, and a free dialogue. The "reading text" in the table indicates the speech reading novels including dialogues, and the "reading transcriptions" indicates the speech reading transcriptions of APs or EPs by the same speaker. The recordings were manually given orthographic and phonetic transcription. Spontaneous speech-specific phenomena, such as filled pauses, word fragments, reduced articulation or mispronunciation, and non-speech events like laughter and coughing were also carefully tagged. The "reading text" speech is not used in the analysis in this paper.

One-tenth of the utterances, hereafter referred to as the Core, were tagged manually and used for training a morphological analysis and part-of-speech (POS) tagging program [12] for automatically analyzing all of the 650-hour utterances. The Core consists of 70 APs, 107 EPs, 18 dialogues and 6 read speech files (speakers). They were also tagged with para-linguistic/intonation information, dependency-structure, discourse structure, and summarization. For intonation labeling of spontaneous speech, the traditional J_ToBI method [13] was extended to X_JToBI [14], in which inventories of tonal events as well as break indices were considerably enriched.

Fig. 1. Ratios of filled pauses (F), word fragments (D), and reduced articulation or mispronunciation (W) in AP, EP, dialogue, and read transcription speech

Figure 1 shows mean values of the ratio of disfluencies, specifically filled pauses (F), word fragments (D), and reduced articulation or mispronunciation (W), to the total number of words included in AP, EP, dialogues (interviews, task oriented dialogues and free dialogues), and utterances reading the transcription of AP (read transcription speech), respectively. These results show that approximately one-tenth of the words are disfluencies in the spontaneous speech in the CSJ, and there is no significant difference among the overall ratios of disfluencies in terms of AP, EP or dialogues. It is also observed that the ratio of F is significantly higher than that of D and W. The read transcription speech still include disfluencies, since they are reading transcriptions of a subset of AP.

3 Progress Made and Difficulties Encountered in Spontaneous Speech Recognition

3.1 Test Sets for Technology Evaluation

In order to evaluate the spontaneous speech recognition technology, three test sets of presentations have been constructed from the CSJ so that they well represent the whole corpus with respect to various factors of spontaneous speech [15]. The analysis by Shinozaki et al. [16] (see Section 3.3) concluded that speaking rate (SR), out-of-vocabulary (OOV) rate (OR) and repair rate (RR) were three major speaker attributes highly correlated with accuracy. Other factors mainly depended on one or more of these three. For example, word perplexity (PP) was also highly correlated with the accuracy, but if its correlation with the OR was removed, we found actually that the correlation between PP and accuracy was significantly reduced. However, OR is intrinsically dependent on vocabulary and is thus variable when the lexicon is modified. On the other hand, the difference
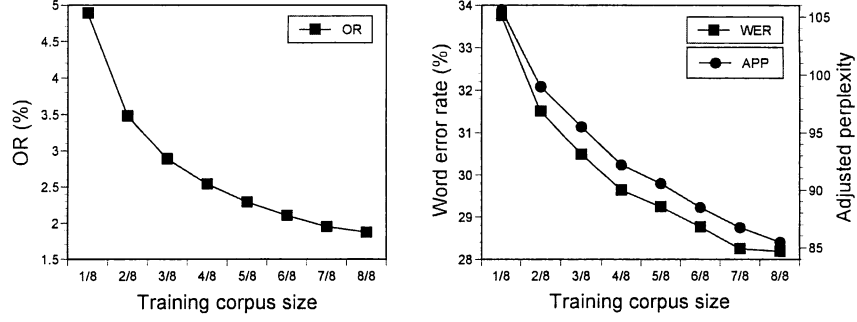
of PPs among speech data is generally more stable, even when the language model is revised. Therefore, we decided to take into account PP instead of OR, in combination with SR and RR, in the test-set selection.

Since the speaking styles and vocabularies of AP and EP are significantly different, we set up respective test sets. In addition, considering the fact that most of the AP presentations were given by male speakers, we set up two sets for the academic category: a male-only set and a gender-balanced set. Thus, we have three test sets, each of which consists of 10 speakers: male speakers AP, gender-balanced AP, and gender-balanced EP. The remaining AP as well as EP presentations, excluding those having overlap with the test sets in terms of speakers, were set up as training data (510 hours, 6.84 M words). The utterances were digitized by 16 kHz and converted into a sequence of feature vectors consisting of MFCC (Mel-frequency cepstrum coefficients), ΔMFCC and Δlog-energy features, using a 25 ms-length window shifted every 10 ms. Benchmark results of speech recognition using these three test sets have also been presented in our previous paper [15].
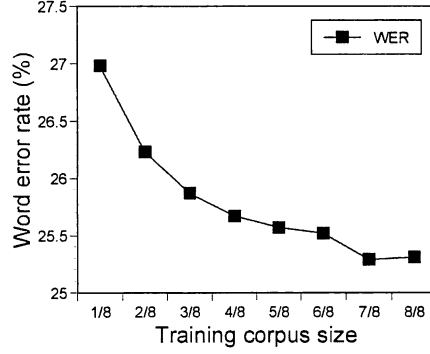
3.2   Effectiveness of Corpora

By constructing acoustic and language models using the CSJ, recognition errors for spontaneous presentation were reduced to roughly half compared to models constructed using read speech and written text [1, 3]. Increasing the size of training data for acoustic and language models has decreased the recognition error rate (WER: word error rate) as shown in Figures 2 and 3 [17]. They show the results averaged over the three test sets. Figure 2 indicates WER, adjusted test-set perplexity (APP) [18] and OOV rate (OR), as a function of the size of language model training data with the condition that the acoustic model is constructed using the whole training data set (510 hours). The adjusted perplexity was used, since it normalizes the effect of the reduction of OOV rate on the perplexity according to the increase of training data size. On the other hand, Figure 3 shows WER as a function of the size of acoustic model training data, when the language model is made using the whole training data set (6.84M words).

By increasing the language model training data size from 1/8 (0.86M words) to 8/8 (6.84M words), the WER, the perplexity and the OOV are relatively reduced by 17%, 19%, and 62%, respectively. By increasing the acoustic model training data from 1/8 (64 hours) to 8/8 (509 hours), the WER is reduced by 6.3%. The best WER of 25.3%, obtained by using the whole training data set for both acoustic and language modeling, shown at the extreme right condition in Figure 3, is 2.9% lower in the absolute value than that shown in Figure 2. This is because the former experiment of Figure 3 combined $\Delta\Delta$MFCC and $\Delta\Delta$log-energy with the three features of MFCC, ΔMFCC and Δlog-energy which were used in the experiment of Figure 2. All these results show that WER is significantly reduced by an increase of the size of training data and almost saturated by using the whole data set. This strongly confirms that the size of

Fig. 2. Word error rate (WER), adjusted test-set perplexity (APP) and out-of-vocabulary (OOV) rate (OR) as a function of the size of language model training data

Fig. 3. WER as a function of the size of acoustic model training data

the CSJ is meaningful in modeling spontaneous presentation speech using the standard model training strategies.

3.3 Analysis of Spontaneous Speech Recognition Errors

Individual differences in spontaneous presentation speech recognition performances have been analyzed using 10 minutes from presentations given by 51 male speakers, for a total of 510 minutes [16]. Seven kinds of speaker attributes were considered in the analysis. They were word accuracy (Acc), averaged acoustic frame likelihood (AL), speaking rate (SR), word perplexity (PP), out of vocabulary rate (OR), filled pause rate (FR) and repair rate (RR). The speaking rate, defined as the number of phonemes per second, and the averaged acoustic frame likelihood were calculated using the results of forced alignment of the reference

tri-phone labels after removing pause periods. The word perplexity was calculated using trigrams, in which prediction of out-of-vocabulary (OOV) words was not included. The filled pause rate and the repair rate were the number of filled pauses and repairs divided by the number of words, respectively.

Analysis results indicate that the attributes exhibiting a real correlation with the accuracy are speaking rate, OOV rate, and repair rate. Although other attributes also have correlation with the accuracy, the correlation is actually caused through these more fundamentally influential attributes.

The following equation has been obtained as a result of a linear regression model of the word accuracy with the six presentation attributes.

$$Acc = 0.12AL - 0.88SR - 0.020PP - 2.2OR + 0.32FR - 3.0RR + 95 . \quad (1)$$

In the equation, the regression coefficient for the repair rate is -3.0 and the coefficient for the OOV rate is -2.2. This means that a 1% increase of the repair rate or the OOV rate corresponds respectively to a 3.0% or 2.2% decrease of word accuracy. This is probably because a single recognition error caused by a repair or an OOV word triggers secondary errors due to linguistic constraints. The determination coefficient of the multiple linear regression is 0.48, which is significant at a 1% level. This means that roughly half of the variance of the word accuracy can be explained by the model.

4 Spectral Space Reduction in Spontaneous Speech and Its Effects on Speech Recognition Performances

4.1 Spectral Analysis of Spontaneous Speech

Results of recognition experiments using the spontaneous presentations in the CSJ clearly show that spontaneous speech and read speech are acoustically different. In order to clarify the acoustical differences, spectral characteristics of spontaneous speech have been analyzed in comparison with that of read speech [19]. Utterances with various speaking styles (speaking types) in the CSJ, such as AP, EP, utterances reading the transcription of AP (read transcription speech), and dialogues, were used in the analysis. The dialogue utterances consisted of interviews on AP, interviews on EP, task dialogues, and free dialogues. In order to avoid the effect of individual differences, utterances in different styles by the same five male and five female speakers were compared. Since not only the speakers but also the text were identical for the reading of the transcribed speech and the original AP utterances, very precise comparative analysis could be performed.

These utterances were segmented by silences with durations of 400 ms or longer. If the length of the segmented unit was shorter than 1 sec, it was merged with the succeeding unit. The segmented utterances are hereafter called "utterance units".

Table 2. Japanese phonemes

Vowel	/a,i,u,e,o,a:,i:,u:,e:,o:/
Consonant	/w,y,r,p,t,k,b,d,g,j,ts,ch, z,s,sh,h,f,N,N:,m,n/

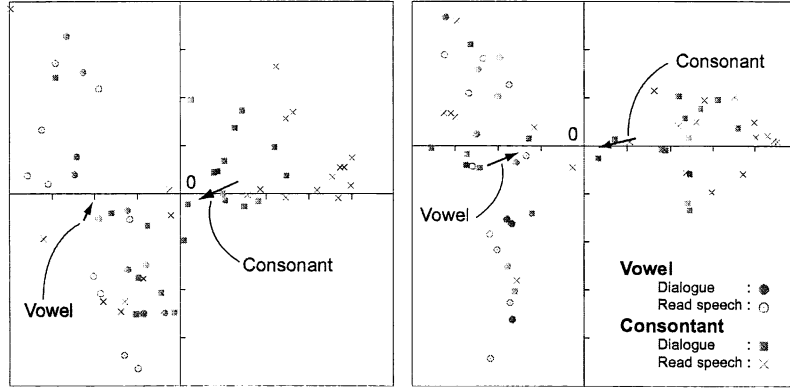**Table 3.** Total number of phoneme samples for each speaker and each speaking style

	Speaker ID	Read speech	AP	EP	Dialogue
Male	M1	7,420	7,371	5,213	9,915
	M2	10,768	10,815	6,000	14,489
	M3	12,118	12,211	8,525	17,616
	M4	23,154	23,208	8,615	19,892
	M5	8,598	8,651	11,518	29,862
Female	F1	12,162	12,071	10,119	25,428
	F2	7,843	7,757	7,206	20,141
	F3	11,383	11,360	4,837	17,044
	F4	8,111	8,038	8,232	20,999
	F5	17,797	17,848	9,598	22,083

The whole set of 31 Japanese phonemes, consisting of 10 vowels and 21 consonants, are listed in Table 2. The mean and variance of MFCC vectors for each phoneme in various speaking styles were calculated to analyze the spectral characteristics of spontaneous speech as follows.

1. 39-dimensional feature vectors, consisting of 12-dimensional MFCC, log-energy, and their first and second derivatives, were extracted from utterances using a 25 ms-length window shifted every 10 ms. The CMS (cepstral mean subtraction) is applied to each utterance unit.
2. A mono-phone HMM with a single Gaussian mixture was trained using utterances of every combination of phonemes, speakers, and utterance styles. Every HMM had a left-to-right topology with three self-loops.
3. The mean and variance vectors of the 12-dimensional MFCC at the second state of the HMM were extracted for each phoneme and used for the analysis.

4.2 Projection into the PCA Space

Table 3 shows the total number of phoneme samples used in this experiment for each speaker and each speaking style. Each presentation has a duration of 10 minutes in average. Figure 4 shows examples of the distribution of mean MFCC vectors of all the vowels and consonants, projected into 2-dimensional vector spaces constructed by the Principal Component Analysis (PCA), for the dialogue and read speech by two speakers (left: F5, and right: M5), respectively. These speakers were selected since their voices have relatively large perceptual

Fig. 4. Examples of distributions of mean MFCC vectors of all the phonemes projected into the 2-dimensional PCA space for dialogue and read speech by two speakers. The arrows indicate the deviations of vowel and consonant centers from the read speech to the dialogue speech

differences between the two speaking styles. In the figure, x and y axes indicate the first and the second PCA vectors, respectively. The two arrows in each figure indicate deviations of vowel and consonant centers from the read speech to the dialogue speech.

The results clearly show that the distribution of mean MFCC vectors of dialogue speech is closer to the center of the distribution of all the phonemes than the distribution of read speech. In other words, the size of spectral space for the phonemes in spontaneous speech is smaller compared to that of read speech.
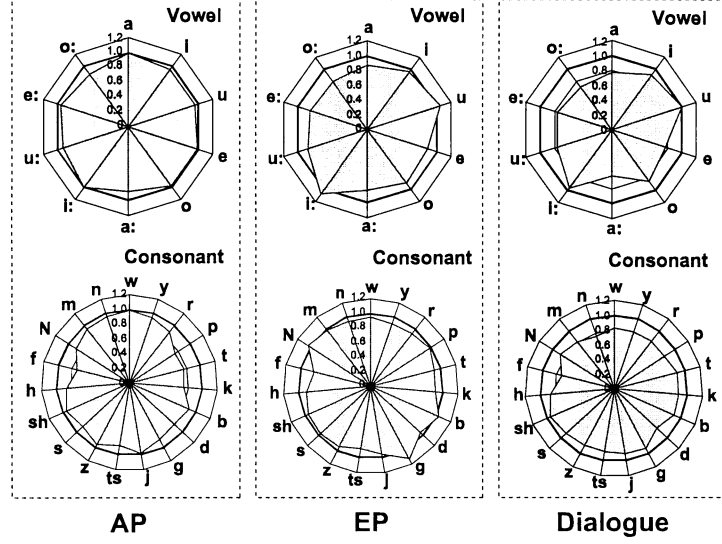
4.3 Reduction Ratio of the Distribution of Phonemes

In order to quantitatively analyze the reduction of the distribution of phonemes, Euclidean norms/distances between the mean vector of each phoneme and the center of the distribution of all phonemes, that is the vector averaged over all the phonemes, were calculated, and the ratio of the distance for spontaneous speech (presentations and dialogues) to that of read speech was calculated for each phoneme as follows.

$$red_p(X) = \frac{\|\mu_p(X) - \mathrm{Av}[\mu_p(X)]\|}{\|\mu_p(R) - \mathrm{Av}[\mu_p(R)]\|} . \tag{2}$$

Here $\mu_p(X)$ is the mean vector of a phoneme p uttered with a speaking style X, $\mu_p(R)$ is the mean vector of a phoneme p of read speech, and Av indicates the averaged value.

Results using the mean MFCC vector of the second state of the HMM with a single Gaussian mixture as the mean vector for each phoneme are shown in Figure 5.

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Fig. 5. The reduction ratio of the vector norm between each phoneme and the phoneme center in the spontaneous speech to that in the read speech

The figure shows the reduction ratios $red_p(X)$ averaged over all the speakers, separately for AP, EP, and dialogues. /N:/ and /ch/, which rarely occurred in the utterances listed in Table 3, were not used in this analysis. The condition of $red_p(X) = 1$ is indicated by a thick line. The dialogues include interviews on AP and EP, task dialogues, and free dialogues. Results in the figure show the reduction of the MFCC space for almost all the phonemes in the three speaking styles, and this is most significant in dialogue utterances.

Figure 6 shows mean reduction ratios for vowels and consonants, respectively, for each speaking style. These results show that the reduction of the distribution of phonemes in the MFCC domain in comparison with that of read speech is observed for all the speaking styles, and most significantly for dialogue speech.

4.4 Reduction of Distances between Phonemes

In the previous section, the reduction of MFCC space was measured by the ratio of the distance between each phoneme and the phoneme center in spontaneous speech to that in read speech. In this section, the reduction of cepstral distance between each phoneme pair is measured. The Euclidean distance using the mean MFCC vector of each phoneme and the Mahalanobis distance, which takes into account the variances, were measured. The definition of Mahalanobis distance $D_{ij}(X)$ between phoneme i and j spoken with a speaking style X can be written
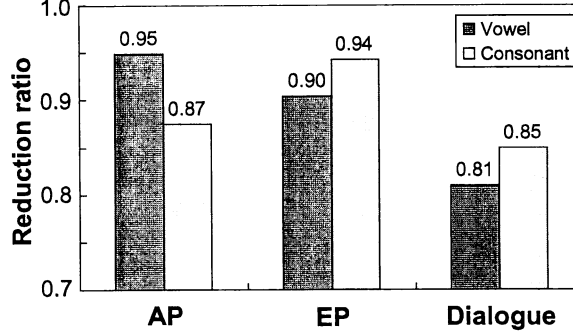
Fig. 6. Mean reduction ratios of vowels and consonants for each speaking style

as follows.

$$D_{ij}(X) = \sqrt{\dfrac{K\displaystyle\sum_{k=1}^{K}(\mu_{ik}(X) - \mu_{jk}(X))^2}{\displaystyle\sum_{k=1}^{K}\sigma_{ik}^2(X) + \displaystyle\sum_{k=1}^{K}\sigma_{jk}^2(X)}} \; . \tag{3}$$

Where, K is the dimension of an MFCC vector ($K = 12$). $\mu_{ik}(X)$ and $\sigma_{ik}^2(X)$ are the kth dimensional elements of the mean and the variance vector of MFCC for phoneme i uttered with a speaking style X. In the case of the Euclidean distance between phonemes i and j, the denominator in the above formula (3) is set to a constant value.

Five males and five females were randomly selected from the CSJ for this experiment. The total number of phoneme samples for each speaking style was 45,242 (read speech), 80,095 (AP), 55,102 (EP), or 56,583 (dialogues). The read speech set in the CSJ includes various kinds of "reading transcriptions" and "reading novels including dialogues". The dialogue set includes variation of "interview" and "free dialogue". Therefore, speech materials of read speech and dialogues for this experiment were selected so as to represent as many variations of speaking styles as possible. Speech materials of AP and EP were randomly selected from the test-set data of CSJ designed for speech recognition experiments.

Figure 7 shows the cumulative frequency of distances between phonemes for each speaking style. The left-hand side of the figure shows the case using the Euclidean distance, whereas the right-hand side shows the case using the Mahalanobis distance. The x axis indicates the Euclidean or the Mahalanobis distance, and the y axis indicates the cumulative frequency. These results clearly show that the distances between phonemes decrease as the spontaneity of the utterances increases (D $\gg$ EP $>$ AP $\gg$ R). The Wilcoxon's rank order test

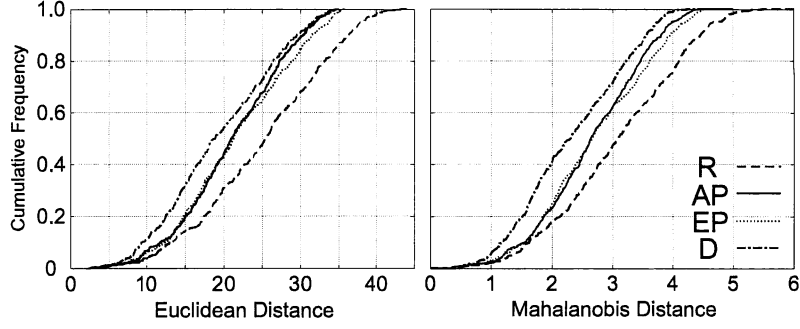**Fig. 7.** Distribution of distances between phonemes

with a significance level of p-value ≤ 0.01 shows that the distributions of each speaking style are statistically different from each other, except between AP and EP.

4.5 Relationship between Phoneme Distances and Phoneme Recognition Performance

Differences of the size of distribution of between-phoneme distances are expected to be related to the phoneme recognition performance for various speaking styles. This section investigates the relationship between the between-phoneme distances and the phoneme recognition accuracy using utterances by many speakers. Mono-phone HMMs with a single Gaussian mixture for phoneme recognition were trained for each speaking style, using utterances by 100 males and 100 females for AP and 150 males and 150 females for EP. These speakers were randomly selected from the CSJ, and the total number of phoneme samples were approximately two million for AP and EP, respectively. A 38-dimensional feature vector was used as the acoustic feature. The same data as used in Section 4.4 were used for the evaluation experiment. A phoneme network with di-phone probabilities was used as a language model for recognition. The insertion penalty was optimized for each speaking style.

Figure 8 shows the relationship between the mean phoneme distance and the phoneme recognition accuracy. The left-hand side of the figure shows the case using Euclidean distance and the right-hand side shows the case using Mahalanobis distance as the distance between phonemes for each speaking style. Correlation coefficients between the mean phoneme distance and the phoneme recognition accuracy are 0.93 in the case using Euclidean distance and 0.97 in the case using Mahalanobis distance. The lines in Figure 8 indicate the regression over the four points. These results clearly show a strong correlation between mean phoneme distance and phoneme accuracy. This means that the phoneme recognition accuracy can be estimated by the mean phoneme distance. That
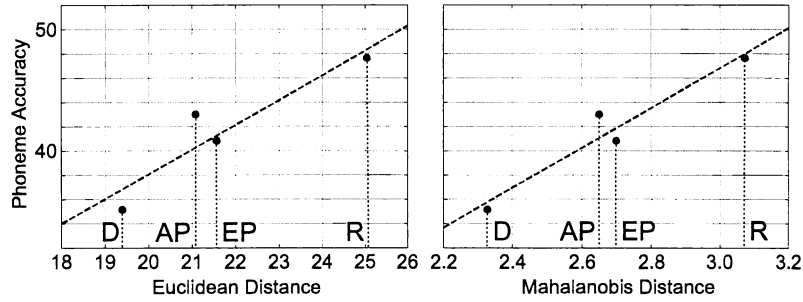
Fig. 8. Relationship between phoneme distances and phoneme recognition accuracy

is, the reduction of the Euclidean distances between phonemes is a major factor contributing to the degradation of spontaneous speech recognition accuracy. It can also be concluded that the relationship between the phoneme distance and the phoneme recognition accuracy becomes slightly more significant if the variances of phoneme spectra are also taken into account.

5 Conclusion

In order to increase recognition accuracy for spontaneous speech, it is necessary to build acoustic and language models using spontaneous speech corpora. It was found through our recognition experiments for spontaneous academic presentations (AP) in the Corpus of Spontaneous Japanese (CSJ), that recognition accuracy increases as the training data size increases even up to 510 hours or 6.84M words for both acoustic and language model training. This indicates that spontaneous speech is so variable that it needs a huge corpus to encompass the variations. However, it is impossible to collect a huge corpus for every new application. Therefore, it is important to clarify general features of spontaneous speech and establish a mechanism for adapting a task-independent model to a specific task using task-specific features [3, 20–22].

By comparing spontaneous speech and speech reading a transcription of the spontaneous speech, it was clarified that spectral distribution of spontaneous speech is significantly reduced compared to that of read speech. Although this was true for all the spontaneous speech analyzed in this paper, that is, academic presentations (AP), extemporaneous presentations (EP), and dialogues, the reduction was most significant for dialogues, which are obviously more spontaneous than the other styles. It has also been found that the more spontaneous the speech, the smaller the distances between phonemes become, and that there is a high correlation between the mean phoneme distance and the phoneme recognition accuracy. In summary, spontaneous speech can be characterized by the reduction of spectral space in comparison with that of read speech, and this is one of the major factors contributing to the decrease in recognition accuracy.

Our future research includes analysis over wider range of spontaneous speech using utterances other than those included in the CSJ. Broadening speech recognition applications depends crucially on raising the recognition performance of spontaneous speech. Although we have clarified spectral reduction and its effects on spontaneous speech recognition, it is not yet clear how we can use these results for improving recognition performances. Creating methods for adapting acoustic models to spontaneous speech based on the results obtained in this research is one of our future targets.

This paper has focused on acoustic properties of spontaneous speech. Since there exist significant differences in linguistic characteristics between spontaneous speech and read speech, our future research includes investigating linguistic characteristics of spontaneous speech and their effects on speech recognition performances. How to incorporate filled pauses, repairs, hesitations, repetitions, partial words, and disfluencies still poses a big challenge.

The large-scale spontaneous speech corpus, CSJ, used in the experiments reported in this paper, will be stored with XML format in a large-scale database system developed by the 21st Century COE (Center of Excellence) program "Framework for Systematization and Application of Large-scale Knowledge Resources" at Tokyo Institute of Technology so that the general population can easily access and use it for research purposes [23]. We hope international collaboration in building large-scale spontaneous speech corpora as well as analysis and modeling of spontaneous speech based on the corpora will advance the progress of speech recognition technology.

6 Acknowledgements

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