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Electron transport in ZnO thin films

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Epitaxial *n*-type ZnO films grown by a laser molecular-beam epitaxy method were investigated by the temperature-dependent Hall-effect technique. The 300 K carrier concentration and mobility were about $n_s \sim 10^{16} \text{ cm}^{-3}$ and $440 \text{ cm}^2/\text{V s}$, respectively. Transport characteristics are calculated by solving the Boltzmann transport equation using a variational method. Mobility limit of $430 \text{ cm}^2/\text{V s}$ was calculated at 300 K. The temperature dependence of the mobility for an undoped film is calculated and agrees favorably well with experimental data if physical parameters are chosen so as to approach those. In the experimental “mobility versus concentration” curve, unusual phenomenon was observed, i.e., mobilities at $n_s \sim 5 \times 10^{18} \text{ cm}^{-3}$ are significantly smaller than those at higher densities above $\sim 10^{20} \text{ cm}^{-3}$. It is qualitatively explained in terms of electron-plasmon interaction. © 2005 American Institute of Physics. [DOI: 10.1063/1.1991994]

ZnO is direct band-gap semiconductor suitable for the development of efficient blue and UV optoelectronic devices. Attempts have extensively been made to incorporate shallow acceptor impurities in this material. We have recently developed a reliable and reproducible method to grow *p*-type ZnO layers, leading to the observation of electroluminescence from a homoepitaxial *p-n* junction structure.¹ The exploit for discovering the *p*-type conduction has noticed us the importance of quality improvement of undoped epilayers as a starting point of reliable doping.² In this work, the electrical properties of epilayers are investigated since mobility is considered to be the *figure of merit* for material characterization.

Several investigators have reported the mobilities in epitaxial ZnO layers grown by various techniques.^{3–8} The room-temperature values are relatively poor compared with bulk ZnO. Our recent mobilities¹ surpassed, however, the best bulk data ever reported^{9–11} and are significantly higher than the calculation results performed for bulk crystals by Rode (p. 49 of Ref. 11). Since the calculated result presents the material limit,^{11,12} it needs to calculate the mobility again with a different set of parameters so as to increase its limit. The corresponding critical discussion of their choice will be made in this work.

To date, there have only been a few attempts^{3,4} to model electron transport in *doped* ZnO. The present publication also reports carrier concentration dependence of mobility including an effect of the electron-plasmon interaction.^{13,14}

Table I gives the ZnO materials parameters that are used in these transport theory calculation.^{14–16} Since the current is perpendicular to the *c* axis in our case,¹¹ the piezoelectric coefficient of 0.21 was used, the definition of which is found in p. 36 of Ref. 11. We have not adopted the relaxation time approximation for the mechanisms that involve relatively high-energy transfers, e.g., longitudinal optical phonons. The

polar optical-phonon energy, $\hbar\omega_{\text{LO}}$, is 72 meV, and corresponding Debye temperature (837 K) by far exceeds the room temperature.¹⁶ The pair of ϵ_0 and ϵ_∞ was selected from the literature as to make their difference smaller. The effective band mass was adopted instead of its polaron mass. Otherwise, the resulting mobility become seriously smaller. Since Rode's iterative technique takes a long time to reach its convergence,^{11,13} the present computations are based on the variational principle method.^{14,17} This method allows the combination of all scattering mechanism without invoking Matthiessen's rule.

The following electron scattering mechanisms are considered: (1) Polar optical-phonon scattering, (2) ionized-impurity scattering, (3) acoustic-phonon scattering through the deformation potentials, and (4) piezoelectric interactions.^{14,15,17} The final expression used is as follows:¹⁴

$$\mu = 22.5 \left[F_{1/2}(\eta) T^{1/2} \left(\frac{m^*}{m_0} \right)^{3/2} z_l \left(\frac{1}{\epsilon_\infty} - \frac{1}{\epsilon_0} \right) \right]^{-1} \frac{\mathbf{D}_{3/23/2}}{\mathbf{D}}. \quad (1)$$

The terms ϵ_0 and ϵ_∞ refer to the low- and high-frequency dielectric constants, respectively. m^* refers to the electron effective mass in units of the free electron mass in rest. T

TABLE I. List of material parameters.^a

Parameter	Symbol	Value
Energy gap (300 K)	E_g (eV)	3.37
Electron effective mass	$m^* m_0$	0.24
High-frequency dielectric constant	ϵ_∞	8.1
Static dielectric constant	ϵ_0	4.0
Optical phonon energy	$\hbar\omega_{\text{LO}}$ (meV)	72
Acoustic deformation potential	E_d (eV)	3.8
Longitudinal elastic constant	c_l (10^7 dyn/cm^2)	2.05
Piezoelectric coefficient	$P_\perp$	0.21

^aSee Ref. 16.

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FIG. 1. (Color online) Components (dashed curves) of the total electron mobility (solid line) at nondegenerate case versus temperature in ZnO. Calculations are for nondegenerate conditions. Also shown by closed and open circles are experimental data from undoped film and a bulk crystal (Ref. 10).

refers to the temperature, and z_l is the reduced polar optical phonon energy defined as $\hbar\omega_{LO}/kT$. $F_{1/2}(\eta)$ is the half-integral Fermi–Dirac integral and η is the reduced Fermi energy given in thermal units. The terms $D_{3/2/2}$ and D are defined and explained in Ref. 14.

All films were grown on insulating and lattice-matched ScAlMgO_4 (SCAM) substrates by laser molecular-beam epitaxy. ZnO single-crystal and (Ga,Zn)O ceramics targets were ablated by excimer laser pulses with an oxygen flow of 1×10^{-6} Torr. We have grown them at about 1000 °C with introduction of semi-insulating (Mg,Zn)O buffer layers. The detailed description of fabrication methods has been given elsewhere.^{18,19} The films were patterned into Hall bars and the contact metal electrodes were made with Au/Ti for n -type film, giving good ohmic contact. Carrier concentrations n_s and Hall mobilities μ were measured at various temperatures.

Figure 1 shows temperature dependence of mobility (μ) for an undoped ZnO film and for a bulk crystal by closed and open circles. The values of μ for the film are 440 $\text{cm}^2/\text{V s}$ and 5000 $\text{cm}^2/\text{V s}$ at 300 and 100 K, respectively. Below 100 K, the distribution of activated carriers in this sample is too sparse to detect sizeable Hall electromotive force. We did not observe abnormal temperature dependence requiring a so-called two-layer analysis in these data.²⁰

We have derived partial mobilities by accounting for respective scattering mechanisms in the nondegenerate (undoped) limit. The results are given in Fig. 1 as a function of temperature by solid lines. The curves for ionized impurity scattering are calculated assuming that the material is uncompensated and concentration being equal to n_s . The carrier concentration data have been given elsewhere;¹ data were fitted with activation energies of ~ 30 and 60 meV. The total electron mobility calculated by combining all of the partial scattering mechanisms is also given by a dashed line. The relative importance of the various scattering mechanisms mimics that found in ZnS.¹⁷ For example, polar optical phonon scattering at higher temperatures controls the inherent mobility limit curve. At low temperatures (down to 100 K) on the other hand, piezoelectric phonon interaction is the dominant scattering mechanism. Our experimental data are

FIG. 2. (Color online) (a) Comparison of drift mobility calculations (solid curve) with the Hall-effect measurements for undoped and doped epitaxial films (filled circles). The contributions of various scattering mechanisms to the total mobility are also shown by dashed curves. (b) Total theoretical mobility with (lower, full line) and without (upper, dashed line) including the effect of electron-plasmon interaction. Also shown in the inset is the partial mobility.

in reasonably good agreement with theory. The mobility limit at 300 K is about 430 $\text{cm}^2/\text{V s}$. The probable discrepancy between them is because our model calculates drift mobility, while experimental results correspond to Hall mobility. Theoretical Hall factor was given in Ref. 11.

On the other hand, the situation is somewhat different for the cases of Ga-doped n -type films.²¹ Figure 2(a) shows 300 K experimental mobilities plotted against carrier concentration. The mobilities of doped films are significantly smaller than those of undoped one.^{22,23} Obviously, this tendency can be qualitatively attributed to the increased density of impurities. For quantitative comparison, partial mobilities are calculated and given in Fig. 2(a) by solid lines. We have taken the effects of screening for both ionized impurities and polar optical phonon scattering into account. Polar interactions reflecting the ionicity of the lattice are dominant in scattering mechanism, while, at heavier doping levels, ionized impurity scattering controls inherent mobility limit curve.^{14,17} The experimental data agree well with our calculation except for the intermediate concentration range. Particularly, our model could not reproduce a relative minimum in the “ μ versus n_s ” curve, which has been experimentally observed in this intermediate doping range. The situation at $n_s > 10^{20} \text{ cm}^{-3}$ could be improved probably if the effects of nonparabolic band structure as well as of clustering of

charged carriers would be taken into account,⁴ which is beyond the scope of our work.

Fischetti¹³ and Lowney and Bennett¹⁴ obtained theoretical μ curve having a “dip” in the intermediate concentrations by including a higher-order effect, i.e., plasmon scattering. Plasmons are the collective excitations of free electrons against the background charges. It has been shown that if decay due to collisional damping dominates over Landau damping, electron-plasmon scattering can reduce somewhat the mobility for electron concentrations greater than 10^{17} cm^{-3} . The coupling of the plasmon to the polar optical-phonon modes have been neglected because there is no sufficiently tractable theory for the hybrid modes, and because such coupling should not have much of an effect on the overall numerical results for the mobility. We thus decide to deal with the pure uncoupled modes throughout.

The momentum relaxation time τ_{pl} for an electron to absorb or emit a plasmon of energy $\hbar\omega_{\text{pl}} = n_s e^2 / \epsilon_0 m^*$ is given by;

$$\frac{1}{\tau_{\text{pl}}} = \Theta(E') \frac{\omega_{\text{pl}} k'}{a_0} \frac{1 - f_0(E')}{1 - f_0(E)} \left(N_p + \frac{1}{2} \pm \frac{1}{2} \right) \times \int_{X_c}^1 \left(1 - \frac{k'}{k} X \right) \frac{1}{q^2} dX, \quad (2)$$

where upper and lower signs represent absorption and emission, respectively. $X_c = [1 + \Psi - (q_c/k)^2 / 2\Psi^{1/2}]$, q_c is the plasmon cut-off wave number, above which plasma oscillation cannot be sustained. The cut-off wave number is on the order of the inverse Thomas-Fermi screening length defined elsewhere.¹⁴ $E' = E \pm \hbar\omega_{\text{pl}}$, N_p is the Bose occupation number of plasmons and $\Psi = (1 \pm \hbar\omega_{\text{pl}}/E)$. We have omitted the non-parabolicity effect for simplicity. The relaxation time approximation was adopted here because it is valid for the entire concentration range of interest according to Ref. 13.

The dependence of the cut-off wave number and plasma frequency on doping density leads to interesting phenomena for plasmon scattering such as a relative minimum in mobility as a function of concentration. Figure 2(b) shows the effect of electron-plasmon interaction on the mobility. The uppermost curve is drawn without including this effect, while the next one takes it into account. Notice, in particular, the dip is at $n_s \approx 5 \times 10^{18} \text{ cm}^{-3}$. The partial mobility is shown in the inset. Our theory thus describes a rise due to the increase in the plasmon frequency at these doping levels as has been observed experimentally, although there is poor quantitative agreement between theory and experiment. We propose that more experiments using further optimized samples be done in this doping range to determine if the tendency on mobility agrees not only qualitatively but also quantitatively.

In summary, electron transport characteristics of ZnO are experimentally studied and calculated by solving the Boltzmann transport equation exactly for all major scattering mechanisms. The polar optical phonon and ionized impurity

electron scattering mechanisms are properly screened in this treatment. Temperature dependence of the mobility is investigated for nondegenerate samples, and compare with our experimental data. Reasonable agreement between experimental data and theory was found. Mobility limits are derived for this material as $430 \text{ cm}^2/\text{V s}$ at 300 K. Electron mobility was also calculated as a function of carrier concentration. Even if we take the effect of electron-plasmon interaction into consideration, our experimental mobilities for doped films are significantly lower than theoretical values especially for the concentration range around 10^{19} cm^{-3} .

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