

論文 / 著書情報
Article / Book Information

Title	Correlations between aromaticity of dissolved organic matter and trace metal concentrations in natural and effluent waters: A case study in the Sagami River Basin, Japan
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Citation	Science of the Total Environment , Vol. 576, pp. 36-45
Pub. date	2017, 1
DOI	http://dx.doi.org/10.1016/j.scitotenv.2016.10.068
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2 **Correlations between Aromaticity of Dissolved Organic Matter and**

3 **Trace Metal Concentrations in Natural and Effluent Waters: A Case**

4 **Study in the Sagami River Basin, Japan**

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13 Submitted to

14 *Science of the Total Environment*

15 2016

16

17 **ABSTRACT**

18 Chemical speciation, reactivity and bioavailability of trace metals in aqueous systems are
19 strongly influenced by dissolved organic matter (DOM). DOM is a mixture of diverse components,
20 so a range of organic molecules potentially participates in the occurrence of dissolved trace metals.
21 In this study, we investigated water quality variables that influence dissolved trace metal
22 concentrations in natural and effluent water systems with a particular attention given to the
23 relationship between DOM optical properties and dissolved copper and iron concentrations. We
24 found that specific UV absorbance ($SUVA_{254}$: an indicator of DOM aromaticity) has a significant
25 correlation with dissolved trace metal to dissolved organic carbon concentration ratios
26 ($[Me]_T/[DOC]$) for copper and iron in natural freshwaters and treated municipal wastewater in the
27 Sagami River basin, Japan. This trend was also prevalent for other freshwaters in temperate climates
28 except for Fe-rich waters. Our findings indicate that the concentrations of dissolved copper and iron
29 in natural and effluent waters are significantly influenced not only by DOM concentration but also
30 by aromaticity of DOM, and that this DOM property can be inferred from spectrophotometric
31 measurements.

32

33 1. Introduction

34 Dissolved organic matter (DOM) in aqueous systems has several environmental and
35 ecological functions, including acting as a proton and metal ion buffer (Ritchie and Perdue, 2003;
36 Tipping, 2002), participating in redox reactions (Fimmen et al., 2007), absorbing solar light (Morris
37 et al., 1995), and providing a source of nutrients and energy (Keil and Kirchman, 1991; Wetzel,
38 1992). DOM consists of a range of organic molecules, of which humic substances (HS) are the
39 primary metal-binding ligands for trace metals including copper (Cu) and iron (Fe) (Tipping, 2002).
40 Other biogenic ligands, such as amino acids and saccharides, also significantly contribute to metal
41 complexation in some circumstances (Croue et al., 2003; Hassler et al., 2011). Although most
42 metal-binding ligands comprise oxygen (O)-containing acid functional groups such as carboxyls and
43 phenols (Tipping, 2002), relatively low abundance nitrogen (N)- and sulfur (S)-containing functional
44 groups display strong binding affinities for trace metals (Craven et al., 2012; Croue et al., 2003;
45 Frenkel et al., 2000; Laglera and van den Berg, 2003; Smith et al., 2002). Other factors including
46 local molecular structure (e.g., in proximity to metal-binding sites) may be significant in metal
47 coordination by DOM (Fujii et al., 2014; Hertkorn et al., 2006; Karlsson et al., 2006). These findings
48 highlight the importance of the DOM molecular information in understanding of DOM-metal
49 interactions.

50 Advanced techniques such as X-ray absorption spectroscopy enable researchers to
51 investigate DOM-metal coordination at the atomic and molecular level (Frenkel et al., 2000;
52 Karlsson and Persson, 2010; Karlsson et al., 2006; Karlsson and Skjellberg, 2007; Vachet and

53 Callaway, 2003), but classical spectrophotometric techniques, including UV-Vis absorbance and
54 fluorescence, are also widely used to characterize DOM and its interaction with metals. Techniques
55 for measuring absorbance and fluorescence are relatively rapid, simple, and inexpensive. Importantly,
56 these techniques do not require multi-step pretreatment, which can result in the isolation of specific
57 fractions or alteration of the original DOM composition. Although such spectrophotometric
58 measurements can only detect specific moieties in DOM, including conjugated structures (e.g.,
59 partially oxidized lignins) (Boyle et al., 2009), DOM optical indices are nonetheless expected to be
60 useful descriptors of metal-binding by DOM in aquatic environments as noted below.

61 A previous study on the copper (Cu), zinc (Zn), cadmium (Cd), and nickel (Ni)
62 complexation with freshwater DOM isolated using reverse osmosis indicated that the specific UV
63 absorbance (SUVA₂₅₄: ratio of absorbance at a wavelength of 254 nm [A_{254}] relative to the dissolved
64 organic carbon [DOC] concentration) has a significant positive correlation with the metal binding
65 affinity (a parameter defined by the concentration ratio of DOM-bound metal relative to DOC)
66 (Baken et al., 2011). Similar correlations between SUVA₂₅₄ and the capacity of 15 types of humic
67 acids (HA) and fulvic acids (FA) to complex ferric iron (Fe[III]) (Fujii et al., 2014), and between
68 SUVA₂₅₄ and the Cu binding affinity of dissolved soil organic matter (Amery et al., 2007), have also
69 been recognized. Given that SUVA₂₅₄ correlates well with the aromatic characteristics of DOM,
70 including aromaticity (Weishaar et al., 2003), and that a study of 30 U.S. rivers showed a strong
71 correlation between SUVA₂₅₄ and the proportion of hydrophobic fraction in DOM (Spencer et al.,

2012), these previous findings suggest that transition metals such as Fe and Cu may be preferentially complexed by DOM aromatic moieties with high SUVA₂₅₄ in aqueous systems. A further evidence of Cu complexation by aromatic compounds (such as photochemically-derived humic acids from tyrosine) has been recently indicated by Berto et al. (2016). Besides SUVA₂₅₄, the differential absorbance and slope of normal (or log-transformed) absorbance spectrum for Suwannee River DOM have been found to be correlated with concentrations of metals bound to DOM in the pH range from 5 to 11 (e.g., Cu, Fe, calcium [Ca] and magnesium [Mg]). These facts generally suggest that metal complexation by DOM is accompanied by the replacement of protons in carboxylic and phenolic functional groups, though the direct measurement using advanced techniques may be required to understand the detailed complexation mechanism (Gao et al., 2015; Yan and Korshin, 2014, Yan et al., 2013a, 2013b, 2013c; Yan et al. 2015). However, previous studies were conducted using operationally isolated DOM and concentrations of DOM and metals higher than those typically found in the natural environment, and therefore may not directly demonstrate the applicability of DOM optical parameters for predicting concentrations of dissolved trace metal complexes in environmental water systems exhibiting a range of water qualities. For example, the concentrations of DOC and trace metals used in the laboratory experiments of two comparative studies (Baken et al., 2011; Fujii et al., 2014) is a few orders of magnitude higher than those found in the natural and effluent waters including the observation in the present study (see Supporting Material [SM] Table S1). In addition, it has been reported that optical indices of DOM can be in some cases affected by

91 solution chemistry such as pH and concentrations of Ca ion and DOM (Yang and Hur, 2014).

92 This study aimed at analyzing the capability of DOM optical indices to predict
93 concentrations of dissolved trace metals in natural freshwaters (river and reservoir waters) and
94 effluents discharged from municipal wastewater treatment plants (WWTP) in the Sagami River
95 Basin (SRB), Japan and other freshwater systems in temperate and other climates. In this study, the
96 spatiotemporal characteristics of water quality variables in the SRB were firstly investigated
97 followed by the examination of specific relationships between DOM optical indices and the trace
98 metal related parameters including metal-to-DOC concentration ratios ($[Me]_T/[DOC]$, where $[Me]_T$ is
99 the total dissolved concentration of Cu, Fe, or Zn) (see SM Section S1 for further detailed definitions
100 and characteristics of the optical indices and other parameters used in this study). The DOM optical
101 indices include SUVA₂₅₄, spectral slope in the wavelength region 275–295 nm [$S_{275-295}$] (Helms et al.,
102 2008), and fluorescence index [FI] (McKnight et al., 2001); these indices represent DOM aromaticity,
103 average molecular weight, and source, respectively.

104

105 **2. Materials and Methods**

106 ***2.1 General***

107 A full description of the materials and methods is found in Section S2, which includes
108 characteristics of study area, field survey, chemical analysis and measurement, quality control in the
109 trace metal determination, UV absorbance correction and analysis using literature data. The

110 calculation of trace metal speciation is also provided in Sections 2.4 and S3.

111

112 ***2.2 Study area and chemical analysis***

113 Surface water samples were collected from the fixed sampling stations in the Sagami River
114 basin (SRB) in Japan. The characteristics of this river basin and sampling stations are detailed in
115 Sections S2.1 and S2.2. Water quality parameters, including the concentrations of dissolved organic
116 carbon and trace metals, absorbance and fluorescence spectra, inorganic nutrients, water temperature,
117 pH, and electrical conductivity, were measured according to the procedures described in Section
118 S2.3.

119 Briefly, the water samples were filtered through an acid-washed polytetrafluoroethylene
120 (PTFE) membrane filter with 0.45 μm pore size (Omnipore JHWP, Merck Millipore, Billerica, MA).
121 The concentration of DOC ([DOC]) was determined as non-purgeable organic carbon (NPOC) using
122 a TOC analyzer (TOC-5000, Shimadzu, Kyoto, Japan). Absorbance spectra were measured using a
123 UV-visible spectrophotometer (UV-1800, Shimadzu) with a 1-cm path-length quartz cuvette. Since
124 the measured absorbance for our SRB water samples (e.g., $>6.43 \times 10^{-3} \text{ cm}^{-1}$) was sufficiently higher
125 than the analytical detection limit of spectrophotometer used ($1.5 \times 10^{-4} \text{ cm}^{-1}$ calculated by assuming
126 3-fold of the background noise level; see Section S2.3), a 1-cm pathlength cuvette was selected for
127 the absorbance measurement. Specific UV absorbance at a wavelength of 254 nm (SUVA_{254}) was
128 calculated as the baseline-corrected absorbance at 254 nm (A_{254}) relative to [DOC] (Weishaar et al.,

2003). Spectral slope in the wavelength region 275–295 nm ($S_{275-295}$) was determined by applying linear regression to natural log-transformed absorbance data in the corresponding wavelength region (Helms et al., 2008). It is widely recognized that dissolved forms of Fe and nitrate absorb UV light and therefore potentially interfere with DOM absorbance measurements in the UV region (Buck et al., 1954; Poulin et al., 2014; Weishaar et al., 2003). As described in Section S2.4, however, the contributions of Fe and nitrate in SUVA₂₅₄ measurement were generally determined to be small. Note that all A_{254} and SUVA₂₅₄ values presented in this study were corrected for the concentrations of dissolved Fe and nitrate where these data were available. Fluorescence index (the ratio of emission intensities at 450 nm relative to 500 nm with excitation at 370 nm) were determined using a spectrofluorophotometer (RF-5300PC, Shimadzu) (McKnight et al., 2001). Yang and Hur (2014) indicated that FI value for the solution containing ~10 mg-C.L⁻¹ DOM decreases by a 0-0.5 unit after the 10-folds dilution. Although [DOC] of the SRB effluents was higher than that of the natural waters by 5 folds (Table 1), any corrections were not performed in the determination of FI for both of the natural and effluent waters, because no systematic trends between FI and [DOC] have been retrieved in this study (e.g., Yang and Hur, 2014). Ultrapure water (Milli-Q water, ~2 ppb total organic carbon level, 18.2 MΩ·cm resistivity at 25°C, Merck Millipore) was used as a blank in the absorbance and fluorescence analyses.

For determination of total dissolved major and trace elements, a portion of the filtered sample was acidified by adding concentrated nitric acid (reagent grade, Kanto Chemical, Tokyo,

Japan) at a final concentration of about 2% (v/v) (ca. 0.3 M) and the concentrations of total dissolved trace metals (Cu, Fe, and Zn) and major elements (Ca, Mg, and silica [Si]) were determined using an inductively coupled plasma mass spectrometer (ICP-MS; 7700x, Agilent Technologies, Santa Clara, CA). The detection limit of each element in the ICP-MS analyses is summarized in Table S2. Metal concentrations in the certified reference material (CRM) for river water (CRM 7202-b, National Metrology Institute of Japan, National Institute of Advanced Industrial Science and Technology) were also measured using an identical procedure to those employed for the SRB water samples. Measured recoveries for the metals in this CRM ranged from 89% for Zn to 103% for Mg (Table S3), ensuring a sufficiently high accuracy of analysis. Further considerations in Fe measurement are provided in Section S2.5.

158

159 ***2.3 Literature data***

160 To assess the generality of our results, the SRB data were compared with the literature. Data
161 from four natural freshwater systems were used to analyze $[Me]_T/[DOC]$ (Me: Cu, Fe and Zn) and
162 DOM optical indices: (i) the Hwangryong River watershed, Korea (HRW) (Park et al., 2007), (ii) the
163 Yukon River basin, Alaska (YRB) (Stolpe et al., 2013) and (iii) freshwaters in Belgium and Germany
164 (BGF) (Baken et al., 2011). Information on DOM optical indices, $[DOC]$ and $[Me]_T$, as well as the
165 climatic and geological settings for the SRB and other systems are summarized in Table 1. For BGF
166 waters only, the concentration of DOM-bound metal ($[Me_L]$) has been reported (Baken et al., 2011).

167 However, the BGF data (i.e., [MeL]) were treated as [Me]_T in an identical manner to those for the
168 other regions by assuming that [MeL] is equivalent to [Me]_T, since the DOM-bound form (MeL) was
169 determined to account for a majority of total dissolved metal in the BGF waters (e.g., on average
170 >99% for Cu) (Baken et al., 2011). To our knowledge, only these three previous studies provided
171 datasets appropriate for comparative analysis.

172

173

174 ***2.4 Model calculation***

175 Speciation of dissolved trace metals in SRB waters, including the DOM-bound form, was
176 predicted using the chemical equilibrium calculation software Visual-MINTEQ coupled with the
177 Non-Ideal Competitive Adsorption (NICA)-Donnan model (hereafter referred to as “VMIN-ND”).
178 Detailed calculation methods (e.g., input data sets and condition settings) and associated results and
179 discussion are summarized in Section S3. Briefly, the water quality parameters measured in SRB
180 were directly used as input data to the model, except for pH and EC which were input after
181 conversion into log-transformed activity of proton (H^+) and ionic strength (I). The fraction of HS in
182 DOM (f_{HS}) was taken as 0.35 and 0.25 for natural waters and WWTP effluents, respectively,
183 according to previous reports in Japan (Imai et al., 2001; Imai et al., 2002). For the fraction of FA in
184 HS (f_{FA}), 0.35, 0.55, and 0.75 were used in the SRB natural waters (where average [DOC] was 1.0
185 mg L⁻¹) and a fixed value (0.75) was used for the WWTP effluents (where average [DOC] was 5.5

186 mg L⁻¹), according to the reported f_{FA} : [DOC] ratio in previous studies for a range of natural waters
187 (Nagao, 2008).

188

189 **2.5 Statistical analysis**

190 Single correlation coefficients between couples of parameters were determined. Normal
191 distributions for the set of parameters were tested by the Kolmogorov-Smirnov test prior to
192 correlation analysis. If the normality of both parameters of interest was confirmed, Pearson's
193 correlation coefficient (r) was calculated; otherwise, Spearman's rank correlation coefficient (r_s) was
194 applied (Quinn and Keough, 2002). Principal component analysis (PCA) was also performed to
195 assess the interaction among the measured parameters. Each parameter was scaled by its standard
196 deviation in order to weigh the contribution of each parameter to the principal components equally
197 (Bundy et al., 2014). All measured parameters in this study were well above the detection limit (DL)
198 except for [Fe]_T, A_{254} , and some inorganic nutrients, as noted in Section S2.7. The data below DL
199 (BDL) for these parameters were excluded from the statistical analyses (and speciation calculation
200 by VMIN-ND). However, the correlation coefficients determined under this condition were almost
201 identical to those calculated under the assumption that the measured BDL data is either null or a half
202 value of DL. All the statistical calculations were performed with the statistical software R.

203

204 **3. Results and Discussion**

205 ***3.1 Spatiotemporal characteristics of water quality variables in the Sagami River Basin (SRB)***

206 All water quality parameters measured in this study are listed in Table S4 and summarized
207 in Table 1. To investigate the interaction among water quality parameters and their spatiotemporal
208 trends, PCA analyses were performed for the water quality data from the SRB natural waters (Figure
209 1a and 1c) and all SRB waters including natural waters and WWTP effluents (Figure 1b and 1d).

210 In the SRB natural waters, the first principal component (PC1) accounted for 26% of total
211 variance in the dataset examined with this component being dominated by the loadings from major
212 cations (e.g., Ca and Mg) and nutrients (e.g., nitrate and Si) (Figure 1a). The second principal
213 component (PC2) explained 20% of total variance and this component was characterized by the
214 loadings from dissolved oxygen and DOM optical properties including SUVA₂₅₄ and FI (Figure 1a).
215 Other parameters such as water temperature, [DOC], and total concentration of dissolved trace
216 metals ([Cu]_T and [Fe]_T) were also associated with PC2, although their loadings were negative. As
217 can be seen in Figure 1c, the sampling sites in the main stream including two reservoirs had
218 relatively higher scores for PC1 compared to those in the tributaries. This result is basically
219 consistent with the fact that the SRB main stream waters contained higher concentrations of major
220 cations and nutrients than those for tributaries (Table 1), as the main stream is affected by the
221 pollution load from the urbanized catchment including domestic, agricultural, and industrial
222 activities (see Table S5 for pollution load data).

223 Regarding the temporal characteristics, the score for PC2 was higher in winter and lower in

summer for both main stream and tributaries (Figure 1c). This result combined with the significant loading of water temperature to PC2 (Figure 1a) suggests that the score for PC2 represents seasonal variation. Given that [DOC] and DOM optical properties (e.g., SUVA₂₅₄ and FI) had significant loadings to PC2, it is likely that these DOM-related parameters also vary seasonally (see Section 3.5 for the detailed discussion). This is also consistent with the trend typically observed in natural waters where [DOC] is higher in summer due to production of autochthonous organic matters from growth (and cell lysis) of microorganisms including algae. In addition, the observed lower concentrations of nitrate and divalent cations (Ca and Mg) in summer are likely reasonable given the higher nutrient consumption by microorganisms in this season and precipitation of carbonate salts in warmer water (Table S4). The PCA results for all SRB waters including natural and WWTP-treated waters (Figure 1b and d) indicated that PC1 and PC2 account for 51% and 14% of total variance, respectively and PC1 is characterized by high concentrations of major and trace metals as well as high [DOC] and A₂₅₄. Given the relatively high concentrations of these water quality parameters in the WWTP effluent, it is reasonable that PC1 is associated with WWTP effluents (Figure 1d and Table 1).

238

239 ***3.2 Relationship between DOM optical parameters and trace metal related parameters in SRB***

240 In Table 2, single correlation coefficients between total dissolved metal ([Me]_T) and other
241 water quality parameters are summarized. For the main stream, [Cu]_T and [Fe]_T had significant
242 positive correlations with [DOC] and water temperature ($p < 0.001$). In addition, [Cu]_T and [Fe]_T

243 were also positively correlated with each other ($p < 0.001$). Concentration of Zn showed different
244 correlation pattern from the other two metals (e.g., significant negative correlation with water
245 temperature [$p < 0.001$]). Relatively weak ($p < 0.05$) or insignificant ($p > 0.05$) correlations were
246 found between $[Me]_T$ and DOM optical indices for all metals. In the tributaries, there were no
247 significant correlations between $[DOC]$ and $[Me]_T$. In contrast, $[Cu]_T$ and $[Fe]_T$ were still positively
248 correlated with each other ($p < 0.001$) and this trend was similar to the main stream. In the tributaries,
249 correlations between $[Me]_T$ and DOM optical parameters were also relatively weak ($p < 0.05$) or
250 insignificant ($p > 0.05$). In the WWTP effluents, correlations between $[Me]_T$ and water qualities were
251 less clear and their trends were different from those observed in the natural waters. It should be noted
252 that the result of correlation analysis for the log-transformed data (Table S6) was almost identical to
253 that for the normal data (Table 2).

254 When the majority of dissolved metal occurs in DOM-metal complex form, the
255 metal-to-DOC concentration ratio (e.g., $[Me]_T/[DOC]$) is approximated to be equal to the amount of
256 bound metal per unit carbon mass of DOM (i.e., $[MeL]/[DOC]$). Indeed, the model calculation using
257 VMIN-ND indicated that MeL accounted for $99 \pm 3\%$ and $88 \pm 11\%$ (average \pm standard deviation)
258 of total dissolved Cu and $99 \pm 7\%$ and $>99.9\%$ of total dissolved Fe in the SRB natural waters ($n =$
259 90) and effluents ($n = 6$), respectively, while inorganic species accounted for on average 59% and
260 96% of the total dissolved Zn in the natural and effluent waters, respectively (Table S7). Therefore,
261 we considered that the comparison of $[Me]_T/[DOC]$ with DOM-related parameters is a reasonable

262 approach at least for the case of Cu and Fe. The correlation analyses between $[Me]_T/[DOC]$ and
 263 water quality parameters including DOM optical indices were performed for the SRB natural and
 264 WWTP effluent waters. As can be seen in Table 3, significant positive correlations were observed
 265 between $SUVA_{254}$ and $[Me]_T/[DOC]$ for all metals in the natural waters ($p < 0.01$). In particular,
 266 strong linear correlations were found between $SUVA_{254}$ and $[Cu]_T/[DOC]$ with the correlation
 267 coefficients (r) of 0.68 and 0.69 for the main stream and tributaries, respectively (Figure 3a and
 268 Table 3). Although the correlations between $SUVA_{254}$ and $[Me]_T/[DOC]$ for Fe and Zn were
 269 relatively weak overall, there were still significant linear correlations in the tributaries ($r = 0.72$ for
 270 Fe and $r = 0.64$ for Zn, Figure 3b, c and Table 3). The results of PCA with respect to $[Me]_T/[DOC]$
 271 and other parameters also supported the correlation for $SUVA_{254}$ and $[Cu]_T/[DOC]$ (Figure 2). For
 272 example, in the PCA for all SRB waters, both $SUVA_{254}$ and $[Cu]_T/[DOC]$ had significant loadings to
 273 PC2 whereas the loadings of these parameters to PC1 were relatively small (Figure 2b). For the other
 274 DOM optical indices ($S_{275-295}$ and FI), no systematic trends were retrieved in terms of correlation
 275 with $[Me]_T/[DOC]$ (Table 3), implying that variation of $[Me]_T/[DOC]$ is not necessarily explained by
 276 DOM molecular weight (i.e., $S_{275-295}$) or source (i.e., FI; e.g., terrestrial and microbial origin).

277 It is well recognized that pH is one of the important factors that substantially influence trace
 278 metal complexation by DOM, as pH affects metal and DOM chemistry including metal hydrolysis
 279 and protonation/deprotonation of the metal-binding ligands in DOM. However, in the SRB natural
 280 waters, $[Me]_T/[DOC]$ for all metals had no significant correlations with pH except for the case of Cu

281 in the tributaries (negative correlation between pH and $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ with $p < 0.01$) (Table 3). For Cu,
282 our calculation using VMIN-ND indicated that the fraction of Cu bound to DOM (i.e., $[\text{CuL}]/[\text{Cu}]_{\text{T}}$)
283 in the SRB natural waters slightly decreases only at the lower pH values (e.g., <7.5) (Figure S1a).
284 The calculation also indicated the negative relationship between $[\text{CuL}]/[\text{Cu}]_{\text{T}}$ and $[\text{Cu}]_{\text{T}}/[\text{DOC}]$
285 (Figure S2a). While these calculations generally suggest that $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ is higher at lower pH, the
286 samples with pH <7.5 accounted for only 15% of the SRB natural waters (Table S4). Therefore, the
287 plausible reasons for the weak correlation between $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ and pH are that (i) the majority of
288 water samples examined had relatively high pH (e.g., >7.5), (ii) most of dissolved Cu was in the
289 form of Cu-DOM complex at the higher pH values, and thus (iii) the effect of pH on Cu speciation
290 was relatively small in our sample set. For Fe and Zn, the decreasing trend of $[\text{MeL}]/[\text{Me}]_{\text{T}}$ with
291 decreasing pH were also indiscernible as shown in Figure S1b and c, although a weak positive
292 relation was observed for Zn due possibly to (i) the significant competition of Zn complexation by
293 DOM with proton at lower pH and (ii) a weaker binding affinity of Zn for DOM complexation.

294 ***3.3 Comparison with other natural water systems***

295 To assess the generality of the observed relationships, identical analyses were conducted
296 following incorporation of previously reported data from other natural waters (Baken et al., 2011;
297 Mueller et al, 2012; Park et al., 2007; Stolpe et al., 2013) (Table 1). Consequently, the data for Cu
298 and Fe in HRW and BGF fell well within the range of variation in SRB waters. This is demonstrated
299 in Figure 3, which depicts scatter plots of SUVA_{254} versus $[\text{Me}]_{\text{T}}/[\text{DOC}]$. In particular, the linear

300 regression line for $[\text{CuL}]/[\text{DOC}]$ and SUVA_{254} in the BGF waters which receive no urban effluents
301 was comparable to that observed for $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ in the SRB tributaries (which are also less affected
302 by the anthropogenic discharges; Figure 3a).

303 However, in some waters, $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ and $[\text{Zn}]_{\text{T}}/[\text{DOC}]$ were substantially lower or varied
304 in the similar level of SUVA_{254} . For example, in YRB waters, $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ and $[\text{Zn}]_{\text{T}}/[\text{DOC}]$ were
305 observed to be lower than those for SRB and HRW waters, when compared at the same level of
306 SUVA_{254} (Figure 3a and c). Similarly, for the DOM isolates in acidic BGF waters, relatively lower
307 $[\text{CuL}]/[\text{DOC}]$ and $[\text{ZnL}]/[\text{DOC}]$ values were observed at the same SUVA_{254} level. In these waters,
308 high concentrations of dissolved Fe were observed (e.g., 2.4–17 μM for YRB [Stolpe et al., 2013];
309 >10 μM for acidic BGF waters [Baken et al., 2011], Table 1). Plausible explanations for the
310 relatively lower $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ and $[\text{Zn}]_{\text{T}}/[\text{DOC}]$ in the Fe-rich waters are due to (i) competing effect
311 of Fe on the complexation of Cu or Zn by DOM and (ii) scavenging of dissolved Cu or Zn by Fe-rich
312 particulates. Regarding the first case, for example, equilibrium calculation by Tipping et al. (2002)
313 indicated that Fe(III) significantly competes with Cu and Zn for complexation by DOM over a wide
314 pH range (4–9). Consistently, an additional analysis using our data set showed that $[\text{Zn}]_{\text{T}}/[\text{DOC}]$ in
315 SRB and HRW waters tends to increase as $[\text{Zn}]_{\text{T}}/[\text{Fe}]_{\text{T}}$ increases at the same SUVA_{254} level (Figure
316 S7b). Thus, the binding of Cu and Zn (especially the latter metal) by DOM are at least partially
317 hindered by the competition with Fe for complexation by DOM, resulting in lower $[\text{Me}]_{\text{T}}/[\text{DOC}]$
318 values at the same SUVA_{254} . For the second case, laboratory-based studies have shown that Cu and

319 Zn can be scavenged by Fe oxyhydroxide colloids via adsorption, surface precipitation and/or
320 co-precipitation with Fe depending on the metal-to-Fe molar ratio (Karthikeyan et al., 1999; Trivedi
321 et al., 2004). The aggregation of Fe(III) oxyhydroxide particles may retard desorption of Cu ion
322 incorporated in the aggregate due to physical constraint (Gilbert et al., 2009).

323

324 *3.4 Effects of effluent-derived components*

325 The SRB tributaries and BGF waters with less anthropogenic impacts showed $[Cu]_T/[DOC]$
326 lower than those for the SRB main stream and BGF waters with urban inputs, when compared at the
327 same $SUVA_{254}$ level (Figure 3a). This may suggest that the increasing $[Cu]_T/[DOC]$ value in
328 anthropogenically-impacted SRB and BGF waters is attributed to the anthropogenic components
329 including metal-binding ligands with low $SUVA_{254}$ and relatively high complexation affinity in
330 addition to high Cu concentration in the effluent. Regarding the metal-binding ligands, for example,
331 Baken et al. (2011) suggested that aminopolycarboxylate ligands (mainly ethylenediaminetetraacetic
332 acid [EDTA]) were detected at concentrations of 4.8–140 nM in the anthropogenically-influenced
333 BGF waters (Table 1) and partially explained the relatively higher $[CuL]/[DOC]$. Although
334 concentrations of anthropogenic metal-binding ligands including EDTA are not reported for the
335 WWTP effluents in the SRB, the concentration of WWTP-derived EDTA in the SRB main stream
336 was roughly estimated to be 0.08–38 nM by using the literature data (see Section S2.8 for the
337 detailed calculation). In addition, given that $SUVA_{254}$ for EDTA is negligibly small (e.g., ~0.06 L

338 $\text{mgC}^{-1} \text{ m}^{-1}$ according to the measurement of EDTA absorbance spectrum), it is unlikely that EDTA
339 present in natural waters substantially accounts for SUVA_{254} . Therefore, the WWTP-derived
340 metal-binding ligands with low SUVA_{254} may also occur in the SRB main stream to some extent and
341 potentially increase $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ in the anthropogenically-impacted waters, compared to less
342 impacted waters at equal SUVA_{254} .

343 However, according to the aforementioned PCA for all SRB waters (i.e., natural waters and
344 WWTP effluents), $[\text{DOC}]$, A_{254} and $[\text{Cu}]_{\text{T}}$ had high loadings to PC1 and these parameters also
345 showed the divergent trends from SUVA_{254} and $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ (Figures 1b and 2b). This result,
346 therefore, indicates that the observed correlation for SUVA_{254} and $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ in the
347 anthropogenically-influenced SRB main stream could not be described simply by mixing process of
348 WWTP effluents characterized by high $[\text{DOC}]$, A_{254} and $[\text{Cu}]_{\text{T}}$ with river waters which generally
349 have low $[\text{DOC}]$, A_{254} and $[\text{Cu}]_{\text{T}}$ (Table 1 and Figures 1d and 2d). Thus, our data generally suggest
350 that the relatively large variation for $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ in the SRB main stream cannot be solely
351 explained by the influences of anthropogenic metal-binding ligands and Cu discharged from WWTP.
352 Other plausible reasons for the observed variation of $[\text{Cu}]_{\text{T}}/[\text{DOC}]$ may include the inputs from other
353 types of urban discharge with different water quality and non-point sources (e.g., livestock,
354 agriculture, industrial sectors, etc. as shown Table S5). In addition to the anthropogenic ligands,
355 hydrophilic DOM fraction and dissolved sulfides originated from urban effluents may affect trace
356 metal speciation due to their high binding affinities to trace metals (Chaminda et al., 2013; Louis et

357 al., 2014; Matar et al., 2015; Rozan et al., 2000).

358

359 ***3.5 Seasonal characteristics***

360 The PCA analyses underlined the seasonal variation of DOM optical properties (e.g.,
361 SUVA₂₅₄ and FI). Indeed, lower SUVA₂₅₄ values (i.e., DOM aromaticity) were observed for the SRB
362 natural waters during the high irradiance season (i.e., summer) ($p < 0.05$ according to the pairwise t
363 test by Holm's method, Table S8 and Figure S3). Previous laboratory-based experiments also
364 demonstrated a significant reduction in SUVA₂₅₄ for freshwater DOM following irradiation with a
365 solar simulator (Brooks et al. 2007). These results may suggest that a higher degree of photochemical
366 degradation of colored dissolved organic matter (CDOM) is associated with a decrease in DOM
367 aromaticity, which in turn results in a decreasing metal-to-DOC ratio. A previous study by van den
368 Berg et al. (1987) reported that the total dissolved Cu concentration ([Cu]_T) in water from the Scheldt
369 estuary in the Netherlands co-varied with the concentration of strong Cu-binding ligand. In addition,
370 total dissolved Fe concentration ([Fe]_T) was maintained at levels just below the Fe-binding capacity
371 of HS in other coastal waters (Thurso Bay and the Irish Sea, U.K.) (Batchelli et al. 2010, Laglera and
372 van den Berg 2009). Therefore, it is interesting to investigate the seasonal variation of metal binding
373 characteristics (e.g., stability constant and complexation capacity) and the relations to DOM
374 aromaticity and metal speciation in future study.

375

4. Conclusions

Previous studies indicated that [DOC] is an important factor that influences solid/solution partitioning and spatiotemporal variation of dissolved trace metals (e.g., Cu, Zn, and Cd) in natural aqueous systems. However, in some cases, [DOC] is not the best predictor of these metal concentrations (Lu and Allen, 2001; Shafer et al., 1997). By examining the correlation of DOM optical indices and trace metal related parameters in natural freshwaters and WWTP effluents, our study indicated that the metal-to-DOC concentration ratios for Cu and Fe (which exhibit higher affinities for DOM compared to Zn) are highly associated with the optical properties of DOM in the Sagami River basin and other freshwaters in temperate climates except for Fe-rich waters. The observed correlation for metal-to-DOC ratio and SUVA₂₅₄ indicates that aromaticity is an important DOM qualitative factor that determines the concentrations of trace metal complexes in natural and effluent waters. Indeed, the Fe(III) and Cu(II) complexation capacities of natural organic matters (e.g., 15 species of standard humic substances (Fujii et al., 2014) and soil organic matters (Amery et al., 2007)) are positively correlated with their aromaticity (i.e., SUVA₂₅₄). The findings suggest that these trace metals are preferentially complexed by the metal binding ligands (e.g., acidic functional groups) resident in the proximity of aromatic structures of humic materials.

Overall, our findings generally indicate that the concentrations of these dissolved trace metals in natural freshwater systems (e.g., rivers and reservoirs) and wastewater effluents are regulated not only by the concentration of DOM but also by its molecular properties that can be

395 estimated by simple optical measurements. Hence, the assessment of DOM optical properties may be
396 useful to understand the trace metal-DOM interaction and metal bioavailability in natural and
397 effluent water systems.

398

399 **ACKNOWLEDGEMENTS**

400 We appreciate the financial supports from JST CREST, JSPS Young Scientists (A) (25709045) and
401 the Bilateral Joint Research Project. The financial support from the Ministry of Environment – Japan
402 (Environment Research and Technology Development Fund S-13-2-3) is also appreciated.

403

404 **AUTHOR CONTRIBUTIONS**

405 T. K., M. F., K.T. and J.R. performed the field survey. T. K., K.T. and J.R. performed the
406 experiments. T. K., M.F., Y.P.L. and C.Y. analyzed and interpreted the data. T. K., M.F., Y.P.L. and
407 C.Y. drafted the manuscript. All authors reviewed the manuscript.

408

409 **SUPPLEMENTARY MATERIAL**

410 Supplementary material related to this article can be found online at <http://dx.doi.org>.

411

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567 **Table 1.** Summary of water quality parameters for waters from the Sagami River basin (SRB) and other natural freshwaters.^a

568 **(i) General**

Water system	Climate	Section or water type	n	pH	Electrical	Water	Dissolved	Chlorophyll- <i>a</i>	Major cations (μM) ^b		Nutrients (μM) ^b				
					conductivity (EC; mS.m ⁻¹)	temperature (T; °C)	oxygen (DO; mM)	(Chl- <i>a</i>) (μg.L ⁻¹)	Ca	Mg	NO ₃ -N	NO ₂ -N	NH ₄ -N	PO ₄ -P	Si
SRB ^c	Temperate	Main stream	45-56	7.83 (6.40-9.42)	12.3 (8.89-16.7)	14.3 (6.0-25.1)	0.25 (0.19-0.38)	2.46 (0.0804-35.7)	384 (273-454)	195 (118-254)	62.8 (26.8-88.5)	0.521 (<0.357-2.28)	0.614 (<0.357-6.10)	0.439 (<0.161-2.84)	374 (221-535)
		Tributaries	17-27	7.85 (7.37-8.62)	7.62 (4.81-13.0)	13.3 (3.6-22.1)	0.25 (0.22-0.32)	0.747 (0.0245-3.45)	277 (228-358)	107 (82.8-143)	38.2 (23.8-73.1)	0.259 (<0.357-1.14)	0.813 (<0.357-4.68)	<0.161	264 (186-322)
		Reservoirs	10-14	7.98 (7.14-9.12)	11.8 (9.60-16.3)	15.2 (5.8-24.5)	0.26 (0.20-0.35)	10.0 (0.153-43.3)	357 (249-399)	187 (107-222)	55.0 (36.4-76.4)	0.910 (<0.357-2.57)	1.18 (<0.357-7.39)	0.281 (<0.161-1.42)	389 (240-478)
		WWTP effluents	8-12	6.79 (6.57-7.28)	52.7 (34.2-71.4)	21.6 (15.0-27.5)	0.17 (0.11-0.21)	(n.m.)	612 (484-705)	264 (189-349)	737 (493-1170)	8.92 (<0.357-21.4)	21.3 (<0.357-103)	37.9 (12.8-61.3)	406 (327-485)
Hwangryong River watershed, Korea (HRW)	Temperate	Headwater stream, river and reservoir	5	7.4 (7.1-7.7)	-	-	-	-	-	-	-	-	-	-	-
Yukon River basin, Alaska (YRB)	Subpolar	Spring flood	5	6.7 (6.0-7.6)	-	2.7 (0-6.6)	-	-	244 (110-390)	-	-	-	-	-	74 (51-92)
		Summer base flow	3	7.3 (7.1-7.5)	-	7.2 (5.1-9.3)	-	-	373 (340-390)	-	-	-	-	-	154 (139-180)
Freshwaters in Belgium and Germany (BGF)	Temperate	With few urban discharges upstream	12	-	-	-	-	-	-	-	-	-	-	-	-
		With urban discharges upstream	8	-	-	-	-	-	-	-	-	-	-	-	-
		Acidic and Fe-rich water	3	-	-	-	-	-	-	-	-	-	-	-	-

570 ^a Mean value with range in parenthesis. Values below the detection limit were assumed as null when calculating the mean value. -: no data
 571 available. (n.m.): not measured.

572 ^b Total dissolved concentration.

573 ^c Full list of the water quality parameters measured for each sample is shown in SM Table S4.

574 **Table 1.** (Continued.)
575 **(ii) Dissolved organic matter (DOM) properties and concentrations of total dissolved trace metals ([Me]_T)**

Water system	Section or water type	Dissolved organic carbon ([DOC]; mg.L ⁻¹)	DOM optical indices ^d				[Me] _T (nM)			Remarks	Reference
			<i>A</i> ₂₅₄ (m ⁻¹) ^e	SUVA ₂₅₄ (L.mgC ⁻¹ .m ⁻¹) ^e	<i>S</i> ₂₇₅₋₂₉₅ (× 10 ⁻³ nm ⁻¹)	FI	Cu	Fe	Zn		
SRB ^c	Main stream	1.15 (0.27-3.52)	2.21 (1.04-4.98)	2.36 (0.506-5.43)	16.5 (10.3-36.9)	1.80 (1.60-2.14)	15.2 (7.95-32.9)	69.1 (5.99-294)	23.8 (2.12-131)		This study
	Tributaries	0.700 (0.118-1.40)	1.27 (0.643-2.06)	1.71 (0.934-3.12)	16.7 (9.26-26.7)	1.74 (1.60-2.12)	6.70 (1.71-15.8)	54.8 (3.33-266)	12.5 (0.301-51.0)		
	Reservoirs	0.983 (0.360-1.66)	3.44 (2.07-5.91)	2.99 (1.64-4.39)	15.9 (13.5-19.4)	1.83 (1.68-2.01)	14.7 (9.13-24.8)	85.2 (<2.32-245)	14.9 (1.25-39.9)		
	WWTP effluents	5.15 (3.34-7.31)	10.6 (7.72-12.3)	2.13 (1.62-2.81)	10.8 (9.51-12.6)	2.18 (2.05-2.32)	56.2 (32.2-92.1)	419 (282-487)	629 (428-997)		
Hwangryong River watershed, Korea (HRW)	Headwater stream, river and reservoir	2.0 (1.1-2.9)	4.1 (1.9-7.1)	2.03 (1.41-2.46)	-	-	20.6 (17.8-23.4)	220 (72.7-455)	79.6 (53.4-121)		Park et al. (2007)
Yukon River basin, Alaska (YRB)	Spring flood	29.2 (9.37-56)	95.4 (34.1-158)	3.49 (2.83-4.17)	12.9 (12.2-13.4)	-	42 (33-62)	8,300 (2,400-15,000)	44 (17-101)	Influenced by permafrost.	Stolpe et al. (2013)
	Summer base flow	7.03 (4.32-11.5)	16.8 (7.56-30.8)	2.23 (1.75-2.68)	15.5 (13.8-17.1)	-	19 (14-26)	8,900 (2,900-17,000)	18 (15-21)		
Freshwaters in Belgium and Germany (BGF)	With few urban discharges upstream	5.9 (1.4-11.5)	14 (4.5-28) ^f	2.6 (1.4-3.6)	-	-	67 (26-130) ^g	-	35 (15-60) ^g	Stream, drainage ditch, reservoir and pond. APC 0.0-9.3 nM. ^h	Baken et al. (2011)
	With urban discharges upstream	3.8 (1.3-5.5)	8.8 (3.6-13) ^f	2.3 (1.8-2.8)	-	-	87 (25-160) ^g	-	94 (18-160) ^g	Stream. APC 4.8-139 nM. ^h	
	Acidic and Fe-rich water	38.7 (10.2-85.8)	170 (39-380) ^f	4.3 (3.8-4.6)	-	-	442 (109-978) ^g	>10,000	300 (58-690) ^g	Stream and marsh. APC 0.5-0.9 nM. ^h	

576 ^d See Supplementary Material (SM) Section S1 for the definition and characteristics of each index.

577 ^e Values for the SRB samples were corrected by using [Fe]_T and nitrate concentration (when data were available). Values for HRW and YRB
578 were corrected by using the reported [Fe]_T as nitrate concentration was not available (see SM Section S3 for detail).

579 ^f Calculated from the reported values of [DOC] and SUVA₂₅₄.

580 ^g Concentration of organically-complexed metal ([MeL]) calculated from the reported [DOC] and [MeL]/[DOC]. Note that [MeL] accounted for
581 >99% and 83% on average of [Cu]_T and [Zn]_T, respectively, in these samples (Baken et al., 2011).

582 ^h APC: aminopolycarboxylates (mainly ethylenediaminetetraacetic acid [EDTA]).

584 **Table 2.** Correlation coefficients between [Me]_T and other water quality parameters in SRB.^a

	pH	EC (mS.m ⁻¹)	T (°C)	DO (mM)	Chl- <i>a</i> (µg.L ⁻¹)	Major cations (µM)		Nutrients (µM)					[DOC] (mg.L ⁻¹)	A ₂₅₄ (m ⁻¹)	SUVA ₂₅₄ (L.mgC ⁻¹ .m ⁻¹)	S ₂₇₅₋₂₉₅ (× 10 ⁻³ nm ⁻¹)	FI	[Me] _T (nM)		
						Ca	Mg	NO ₃ -N	NO ₂ -N	NH ₄ -N	PO ₄ -P	Si						Cu	Fe	Zn
(i) Main stream (including the reservoirs [SGD and SYD]) (n = 26-69)																				
[Cu] _T	0.159	0.299*	0.400***	-0.0339	0.472***	-0.305*	-0.210	0.0129	<i>0.216</i>	<i>-0.104</i>	0.425*	-0.00520	0.423***	0.202	-0.297*	<i>-0.209</i>	-0.221	-	-	-
[Fe] _T	<i>0.232</i>	<i>0.211</i>	0.427***	<i>0.0385</i>	0.539***	<i>-0.208</i>	<i>-0.164</i>	<i>-0.0778</i>	<i>0.189</i>	<i>0.0140</i>	0.326	<i>-0.141</i>	0.398***	<i>0.215</i>	<i>-0.168</i>	-0.268*	-0.289*	0.716***	-	-
[Zn] _T	<i>-0.146</i>	<i>-0.0305</i>	-0.391***	<i>0.0400</i>	<i>-0.199</i>	0.317**	0.349**	0.353**	-0.331*	<i>0.176</i>	<i>0.0664</i>	0.256*	<i>-0.118</i>	-0.0678	0.153	<i>-0.195</i>	0.368**	<i>0.146</i>	<i>-0.111</i>	-
(ii) Tributaries (n = 11-27)																				
[Cu] _T	0.0907	0.179	0.108	0.0725	0.314	0.263	0.228	0.246	<i>-0.156</i>	-0.192	(n.a.)	0.299	0.274	0.502*	0.158	0.131	-0.0962	-	-	-
[Fe] _T	-0.155	-0.0732	0.106	-0.0283	0.111	-0.249	-0.299	-0.192	<i>-0.358</i>	-0.125	(n.a.)	0.0426	-0.00666	0.437	0.489*	0.0419	-0.331	0.623***	-	-
[Zn] _T	0.0191	-0.264	-0.220	0.146	0.568**	-0.0206	-0.0190	-0.0183	<i>0.179</i>	0.0265	(n.a.)	0.00963	-0.180	0.376	0.517*	0.125	0.0157	0.221	0.0588	-
(iii) WWTP effluents (n = 7-11)																				
[Cu] _T	0.567	-0.785*	-0.714*	0.334	(n.a.)	-0.552	-0.670*	0.737**	0.0263	0.193	0.157	-0.0232	-0.657*	-0.592	0.152	-0.850**	0.510	-	-	-
[Fe] _T	0.104	0.196	0.379	0.0988	(n.a.)	-0.0511	-0.0918	0.0284	-0.472	-0.321	-0.201	0.00414	0.277	-0.543	0.323	-0.702	0.790*	-0.140	-	-
[Zn] _T	-0.0910	-0.419	-0.798**	0.0249	(n.a.)	0.226	-0.220	0.467	-0.337	0.191	0.345	-0.0174	-0.290	-0.957***	-0.211	-0.691	0.225	0.496	0.0592	-

585
586 ^a Pearson's correlation coefficients were calculated when the normality of parameter set was confirmed; otherwise, Spearman's rank correlation
587 coefficient was calculated and indicated in italic letters. The total number of data pair is shown as *n*. Bold-faced numbers indicate that the
588 correlations are statistically significant (*: *p* < 0.05; **: *p* < 0.01; ***: *p* < 0.001). (n.a.): not applicable.

589 **Table 3.** Correlation coefficients between [Me]_T/[DOC] and other water quality parameters in SRB.^a

	pH	EC (mS.m ⁻¹)	T (°C)	DO (mM)	Chl- <i>a</i> (µg.L ⁻¹)	Major cations (µM)		Nutrients (µM)					[Me] _T (nM)			SUVA ₂₅₄ (L.mgC ⁻¹ .m ⁻¹)	S ₂₇₅₋₂₉₅ (× 10 ⁻³ nm ⁻¹)	FI
						Ca	Mg	NO ₃ -N	NO ₂ -N	NH ₄ -N	PO ₄ -P	Si	Cu	Fe	Zn			
(i) Main stream (including the reservoirs [SGD and SYD]) (n = 25-68)																		
[Cu] _T /[DOC]	-0.150	-0.297*	-0.372**	0.142	-0.0789	0.0809	0.214	-0.0538	<i>0.0339</i>	0.322	-0.0598	0.366**	-	<i>0.0402</i>	0.265*	0.683***	<i>0.115</i>	0.240
[Fe] _T /[DOC]	<i>0.0152</i>	-0.0773	<i>0.0347</i>	<i>0.164</i>	0.274*	-0.107	<i>0.0394</i>	-0.0906	<i>0.0701</i>	<i>0.179</i>	<i>0.0169</i>	-0.0107	0.359**	-	-0.0155	0.377**	-0.0241	-0.0843
[Zn] _T /[DOC]	-0.236	-0.334**	-0.704***	0.205	-0.354**	0.390**	0.459***	0.233	-0.357*	<i>0.351</i>	-0.144	0.347**	-0.263*	-0.317*	-	0.511***	<i>0.0706</i>	0.564***
(ii) Tributaries (n = 10-26)																		
[Cu] _T /[DOC]	-0.516**	-0.375	-0.432*	0.0772	-0.0937	-0.112	-0.153	-0.0768	-0.172	-0.235	(n.a.)	-0.0411	-	0.189	0.153	0.688**	-0.250	-0.257
[Fe] _T /[DOC]	-0.372	-0.224	-0.0903	-0.0739	<i>0.112</i>	-0.188	-0.273	-0.0605	-0.455	-0.165	(n.a.)	-0.0390	0.277	-	-0.119	0.718**	-0.180	-0.393
[Zn] _T /[DOC]	-0.235	-0.555**	-0.611***	<i>0.311</i>	-0.0168	-0.0855	-0.131	-0.122	<i>0.216</i>	-0.142	(n.a.)	-0.116	-0.0263	-0.0735	-	0.637**	-0.0908	0.00371
(iii) WWTP effluents (n = 6-9)																		
[Cu] _T /[DOC]	0.413	-0.967***	-0.566	0.616	(n.a.)	-0.363	-0.916***	0.480	-0.209	0.0577	0.264	-0.254	-	0.459	0.403	0.431	-0.873**	0.664
[Fe] _T /[DOC]	0.109	-0.860*	-0.287	0.444	(n.a.)	-0.0900	-0.859**	0.0109	-0.134	-0.194	-0.0878	-0.756*	0.583	-	0.335	0.614	-0.653	0.783*
[Zn] _T /[DOC]	-0.0199	-0.753	-0.738*	0.361	(n.a.)	0.277	-0.624	0.232	-0.436	0.0506	0.533	-0.356	0.510	0.369	-	0.153	-0.715*	0.409

590

591 ^a Pearson's correlation coefficient was calculated when the normality of parameter set was confirmed; otherwise, Spearman's rank correlation
592 coefficient was calculated and indicated in italic letters. The total number of data pair is shown as *n*. Bold-faced numbers indicate that the
593 correlations are statistically significant (*: $p < 0.05$; **: $p < 0.01$; ***: $p < 0.001$). (n.a.): not applicable.

FIGURE CAPTIONS

Figure 1. The PCA results on the water quality variables for the SRB natural waters (panels (a) and (c)) and for all SRB waters (i.e., natural waters and WWTP effluents; panels (b) and (d)). Panels (a) and (b) indicate plots of the principal component (PC) loadings (first PC [PC1] versus second PC [PC2]) for the water quality variables as shown in the legend (top left). For the natural waters, PC1 and PC2 accounted for 26% and 20% of the total variance, respectively, whereas PC1 and PC2 explained 51% and 14% of the total variance, respectively, for all SRB waters. Panels (c) and (d) indicate plots of the PC scores (PC1 versus PC2) for the sampling stations and seasons are specified in SM Table S4. Symbols represent sampling seasons and water types as shown in the legend (top right).

Figure 2. The PCA results on the metal-to-DOC ratio (i.e., $[Me]_T/[DOC]$) and other water quality variables for the SRB natural waters (panels a and c) and all SRB waters (i.e., natural waters and WWTP effluents; panels b and d). Panels (a) and (b) indicate plot of the principal component (PC) loadings (PC1 versus PC2) for the parameters as shown in the legend (top left). For the natural waters, PC1 and PC2 explained 28% and 17% of the total variance, respectively. On the other hand, PC1 and PC2 accounted for 43% and 16% of the total variance, respectively, for all SRB waters. Panels (c) and (d) indicate plot of the PC scores (PC1 versus PC2) for sampling stations specified in SM Table S4. Symbols represent sampling seasons and water types as shown in the legend (top right).

Figure 3. Correlations between SUVA_{254} and $[\text{Me}]_{\text{T}}/[\text{DOC}]$ for SRB natural waters (main stream [blue closed circles]: $n = 53$ for Fe and 55 for Cu and Zn; tributaries [green open circles]: $n = 17$) and effluent waters [pink closed circles]; $n = 7$ for Fe and 8 for Cu and Zn): (a) Cu, (b) Fe, and (c) Zn. Data from HRW and YRB natural waters were also plotted (Table 1; HRW [open diamond]: $n = 5$; YRB in spring [open triangle]: $n = 5$; YRB in summer [closed triangle]: $n = 3$) (Park et al. 2007; Stolpe et al., 2013). Data for YRB waters in summer were not shown in panel b because the values of $[\text{Fe}]_{\text{T}}/[\text{DOC}]$ for these waters were significantly higher than those for the other systems. (Data of SUVA_{254} and $[\text{Fe}]_{\text{T}}/[\text{DOC}]$ for all the freshwater systems examined, including YRB in summer, are shown in Figure S6.) In addition, the BGF data (SUVA_{254} and $[\text{MeL}]/[\text{DOC}]$) were plotted for Cu and Zn (Table 1; $n = 12$ for the waters without urban discharges [UD] upstream [open squares], 8 for those affected by UD [grey closed squares] and 4 for acidic Fe-rich waters [black closed squares]; error bars representing standard deviation). In BGF waters, fraction of DOM-metal complex accounted for a majority of total dissolved metal (e.g., >99% and 83% for Cu and Zn, respectively) (Baken et al. 2011). Blue solid, green dashed and black solid lines indicate the linear regression lines for the SRB main stream, SRB tributaries and BGF without UD, respectively. For these waters, significant linear correlations between SUVA_{254} and $[\text{Me}]_{\text{T}}/[\text{DOC}]$ ($p < 0.01$) were found.

Figure 1

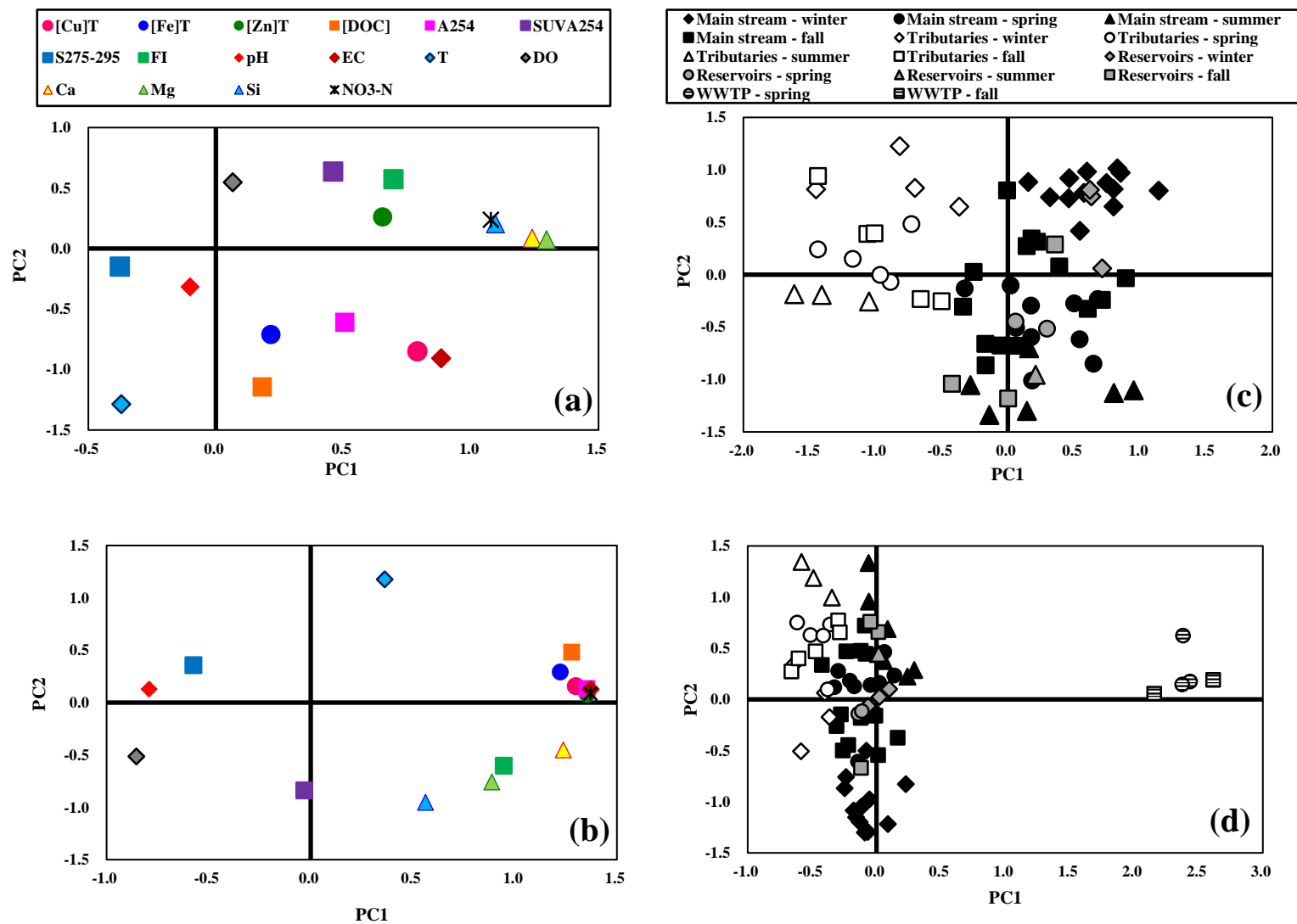


Figure 2

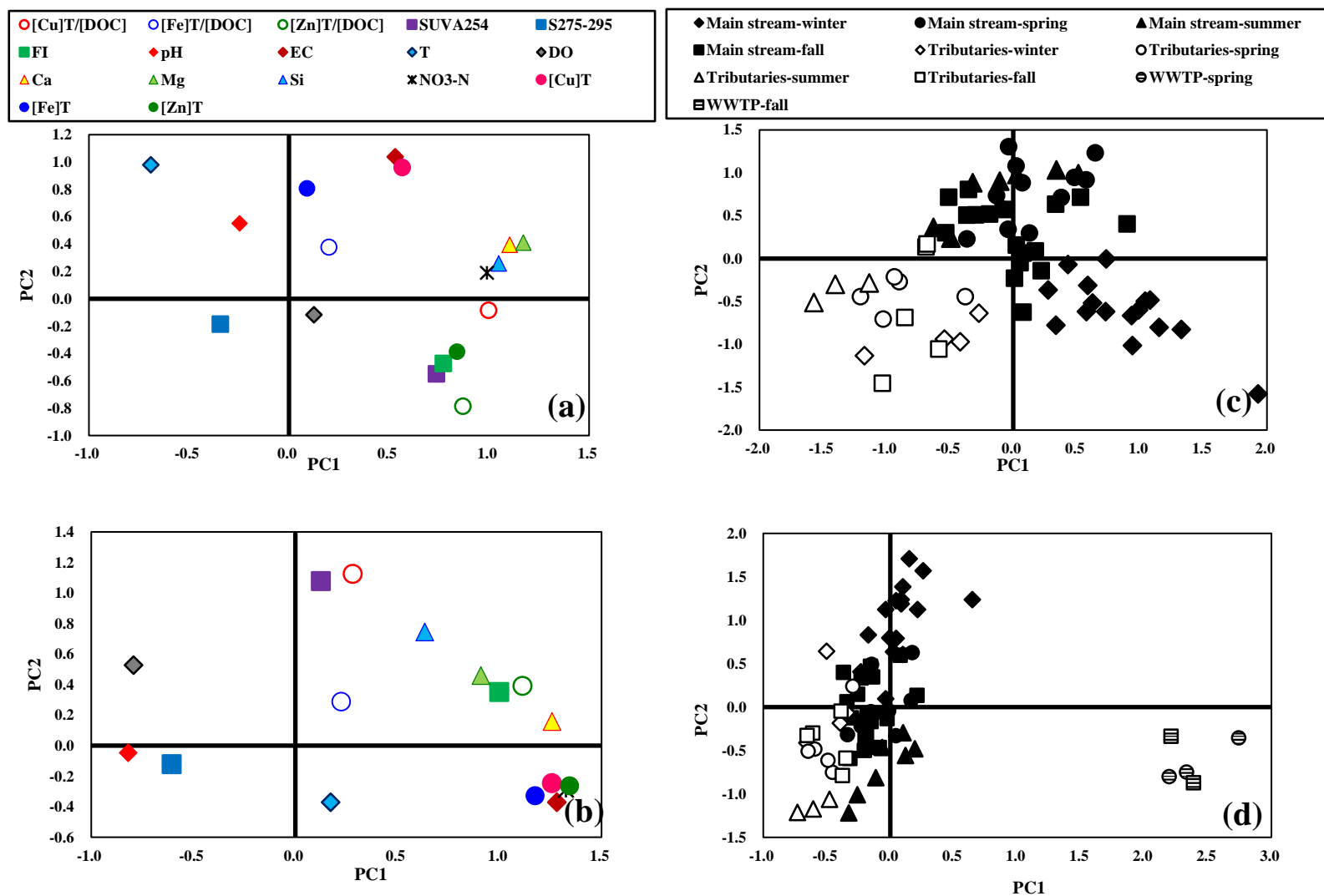


Figure 3

