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# Seismic Performance and Evaluation of Controlled Spine Frames Applied in High-rise Buildings

Xingchen Chen <sup>a)</sup>, Toru Takeuchi <sup>b)</sup> and Ryota Matsui <sup>b)</sup>

A controlled spine frame system consists of moment frames and spine frames with concentrated energy-dissipating members. This system could guarantee the continuous usability of buildings against Japanese Level-2 (similar to DBE in California, U.S.) earthquake events, and the authors confirmed its excellent performance for preventing damage concentration in low-rise buildings. This study further investigates the effect of diverse structural properties on the seismic performance of controlled spine frames applied in high-rise buildings. The effect of building height, yield drift of dampers, spine-to-moment frame stiffness ratio, and damper-to-moment frame stiffness ratio are illustrated in detail and their optimal values are discussed. Besides, a segmented spine frame system is proposed for high-rise buildings. The simple evaluation procedure proposed by the authors for low-rise buildings, based on equivalent linearization techniques and response spectrum analyses, was modified to include higher-modes effects for high-rise buildings based on modal analysis. The modified evaluation method was verified by modal pushover and time-history analyses.

## INTRODUCTION

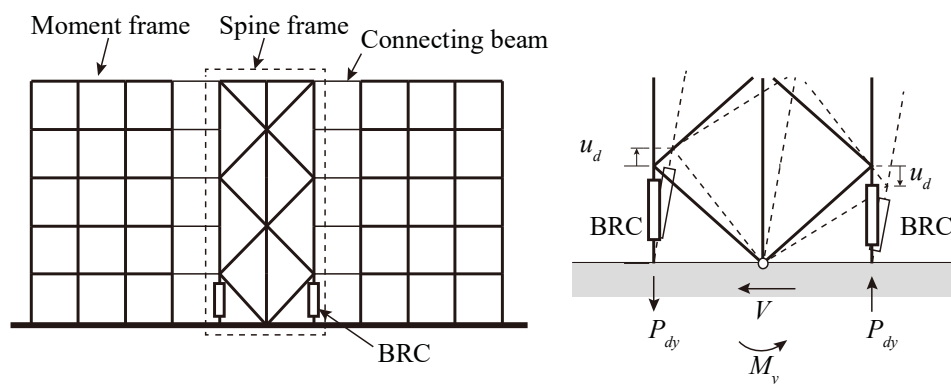
Damage concentration in limited levels of frame structures has often occurred during past major earthquake events, which has raised attention for the need of improving their structural integrity. Various solutions were provided by previous researchers, such as the “strong-column weak-beam” concept, and the shear wall-frame dual system. Walls usually ensure better structural integrity because of their considerable stiffness. However, they may significantly increase the resisting force and input earthquake energy owing to period shift, and extensive damage may occur at the bottom levels of the shear walls, which is costly and time consuming to repair. In their study about the effect of foundation flexibility on the seismic performance of

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29 a wall-frame system, Paulay and Priestley found that the loss of wall base restraint would not  
30 significantly impair the seismic performance of wall-frame systems. (T. Paulay et al. 1992)  
31 The beneficial spine effect of pin-based walls or columns on the seismic performance of wall-  
32 frame systems was verified by studies based on theoretical analyses of multi-degree-of-  
33 freedom models or dynamic analyses of building models up to 20 stories. (H. Akiyama et al.  
34 1984; G. A. MacRae et al. 2004; B. Alavi et al. 2004; A. Tanimura et al. 1996) In recent years,  
35 various spine systems with energy-dissipating members were proposed for both new building  
36 applications and retrofitting. Qu et al. employed a pivoting spine concept in the seismic  
37 retrofitting of a concrete building in Japan. (Z. Qu et al. 2012) Janhunen et al. proposed a  
38 seismic retrofit solution by adding a single pivoting concrete spine to the core of a 14-story  
39 building to improve its drift pattern and to distribute yielding at all levels of the building. (B.  
40 Janhunen 2013) Eatherton et al. carried out a shake table test of an uplifting steel rocking frame  
41 system with post-tensioned (PT) strands to provide self-centering and proposed several design  
42 concepts for this system. (M. Eartherton et al. 2010, 2014) MacRae et al. concluded design  
43 considerations for rocking structures (G. MacRae et al. 2013). Djojo et al. proposed a rocking  
44 steel panel shear wall with energy dissipation devices (G. S. Djojo et al. 2014). Mahin et al.  
45 examined the Strongback system, which combines aspects of a traditional concentric braced  
46 frame with a stiff mast to prevent the tendency of damage concentration in a single or a few  
47 stories. (J. Lai et al. 2014) However, previous research mainly focused on the 1st-mode  
48 response that dominates building structures and there are few research results about the seismic  
49 performance of high-rise buildings adopting the moment frame with spine frame dual systems.



50  
51 **Figure 1.** Concept of a controlled spine frame structure

52 A new controlled spine frame was proposed by the authors (T. Takeuchi et al. 2015; X.  
53 Chen et al. 2017), as shown in Fig. 1, and it was applied in the design of a new five-story  
54 research center at the Tokyo Tech's Suzukakedai campus. This spine frame consists of (1) a

55 stiff braced steel frame or reinforced concrete (RC) wall (i.e., the spine frame), (2) replaceable  
56 energy-dissipating members (herein called buckling restrained columns, BRC), and (3)  
57 envelope moment-resisting frames. The envelope moment frames are designed to remain  
58 elastic and to control the residual drifts, providing the self-centering force without resorting to  
59 post-tensioning. The input seismic energy is absorbed by the BRCs, which feature significant  
60 cumulative deformation capacity, and if required can easily be replaced following a large  
61 earthquake. This combination of structural elements effectively reduces the repair cost and  
62 downtime of buildings after suffering major earthquakes.

63 The authors verified the excellent performance of low-rise buildings adopting the proposed  
64 spine frame system in preventing damage concentration in weak stories, and their sufficient  
65 self-centering capacity against large earthquake events. The relation between seismic  
66 performance and key structural parameters was studied. A simple yet very applicable design  
67 method was established with clear limitations and recommendations.

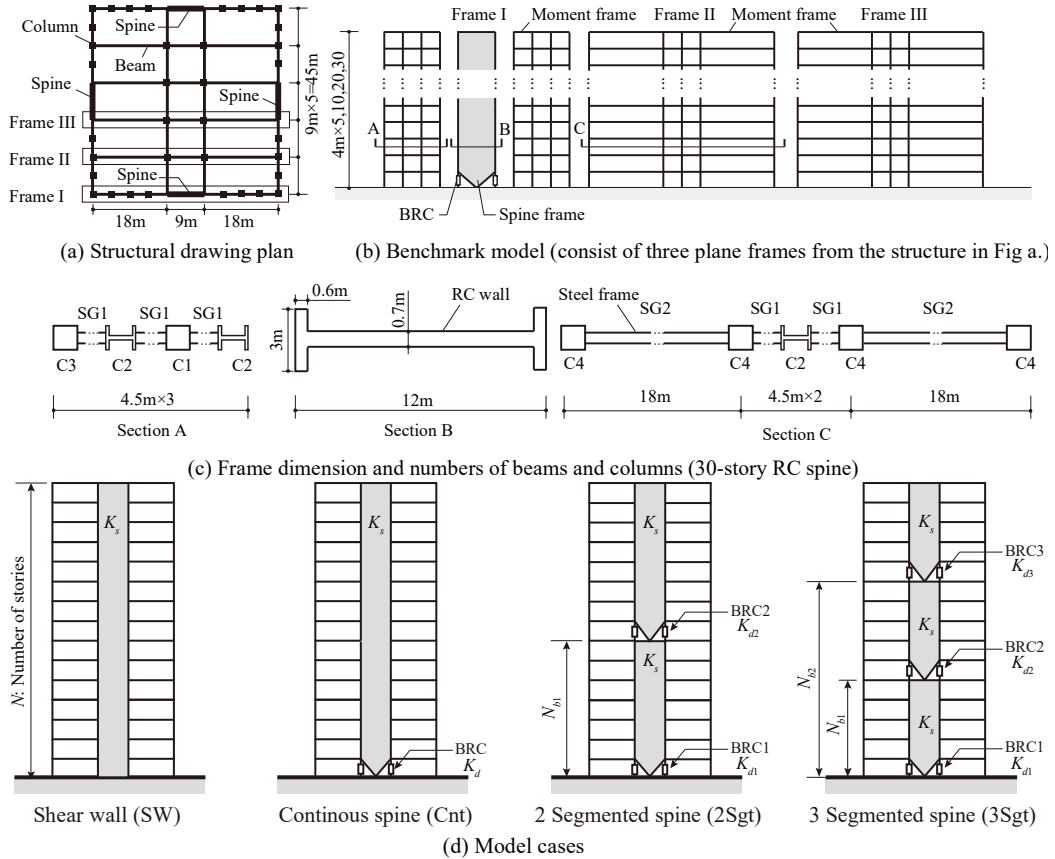
68 However, it was found in the previous study that the simple controlled spine system is less  
69 sufficient for high-rise buildings because of the higher vibration modes, and larger flexural  
70 deformation of the spine frame caused by higher bending moment. Also the proposed  
71 simplified response evaluation method using the assumption of first-mode dominant response  
72 showed large error for higher structures. In this study, various segmented spine systems are  
73 proposed to overcome the limitation of height, and their effects are compared with the simple  
74 spine frame. Moreover, two simple response evaluation methods are applied. One is the modal  
75 pushover analysis, and another is modified response spectrum method considering higher  
76 vibration modes. The procedures of each method are proposed and the validity of them is  
77 confirmed.

## 78 **BENCHMARK BUILDINGS OF THE CONTROLLED SPINE FRAMES**

### 79 **BENCHMARK BUILDINGS**

80 A parametric study based on a nonlinear time-history analysis was used to investigate the  
81 seismic performance of the controlled spine system with diverse structural properties. The  
82 benchmark structures utilized in this study represent typical steel-structure office buildings, as  
83 shown in Figs. 2(a) to (c). Besides the continuous single spine (Cnt) model, the corresponding  
84 shear wall (SW) model was compared with the Cnt model in the cases of 5-, 10-, 20-, and 30-  
85 story buildings. In order to reduce the base shear of high-rise buildings utilizing the controlled

spine frame system, besides the continuous spine, the authors investigated alternative spine configurations, in particular the segmented spine frame configurations illustrated in Fig. 2(d). In segmented spine frame (Sgt) structures, there are two or three spine frames arranged in series along the height of these structures. All of them are pin-connected at the bottom center to the lower spine or to the foundation structures, and equipped with BRCs at both edges.



**Figure 2.** Benchmark models of the controlled spine frame structures

The two-segment-spine (Sgt2) and three-segment-spine (Sgt3) models were compared with the Cnt model in the cases of 20- and 30-story buildings, as shown in Fig. 2(d). The four different height Cnt structures were designed in elastic ranges as per the base shear ratio (base shear normalized by seismic weight of the structure) of 0.03–0.15. The moment frames and spine frames were assumed to remain elastic during Japanese Level-2 (similar to DBE in California, U.S.) earthquake events. Although the spine frames can suppress the soft story formation, for this study the lateral stiffness of the moment frames was set approximately proportional to the story shear. The spine frames in the 5- and 10-story buildings are assumed

to be pin-supported steel trusses, and those in the 20- and 30-story buildings are pin-supported RC walls, to achieve the required stiffness for the parameter studies. The RC walls are assumed to be pre-stressed by post-tensioning tendons to prevent cracking, and thus, stiffness degradation of the RC wall is not considered. The regular member dimensions in each benchmark model are summarized in Table 1.

**Table 1 (a).** Dimensions of beams and columns in the moment frame (unit: mm)

Models	C1	C2	C3	C4	SG1	SG2
5-story	□-500×19-22	H-500×350×25×28-32	□-500×19-22	□-600×32	H-600×300×12×22-25	H-1000×300×19×32
10-story	□-600×19-28	H-650×400×16×22-28	□-600×19-25	□-650×28-32	H-650×300×16×25-32	H-900×300×19×25
20-story	□-600×19-28	H-650×400×16×22-28	□-600×19-25	□-650×28-32	H-700×300×16×22-30	H-900×300×19×25
30-story	□-700×19-28	H-750×500×16×22-28	□-700×19-25	□-750×28-32	H-750×300×16×22-32	H-1000×300×19×25

**Table 1 (b).** Structural properties of spine frame, BRC hinge<sup>\*1</sup>, and equivalent stiffnesses<sup>\*2</sup>

Models	Spine frame		BRC hinge		Equivalent stiffness		
	$EI$ (kNm <sup>2</sup> )	$GA$ (kN)	$M_y$ (kNm)	$\theta_y$ (rad)	$K_f$ (kN/m)	$K_s$ (kN/m)	$K_d$ (kN/m)
5-story	$2.9 \times 10^8$	$4.0 \times 10^6$	$3.0 \times 10^4$	0.10%	$1.4 \times 10^5$	$7.0 \times 10^4$	$1.4 \times 10^5$
10-story	$9.1 \times 10^8$	$1.2 \times 10^7$	$6.4 \times 10^4$	0.10%	$7.5 \times 10^4$	$3.8 \times 10^4$	$7.5 \times 10^4$
20-story	$2.0 \times 10^9$	$1.4 \times 10^8$	$1.3 \times 10^5$	0.10%	$3.9 \times 10^4$	$1.2 \times 10^4$	$3.9 \times 10^4$
30-story	$6.0 \times 10^9$	$2.1 \times 10^8$	$2.6 \times 10^5$	0.10%	$3.5 \times 10^4$	$1.0 \times 10^4$	$3.5 \times 10^4$

<sup>\*1</sup> BRC hinge represents a pair of BRCs at the bottom or segment level of the spine frame:

$M_y = F_{BRC\_y} \cdot b$ ,  $\theta_y = 2u_{BRC\_y}/b$ , where  $F_{BRC\_y}$  and  $u_{BRC\_y}$  are the axial yielding force and deformation of a BRC,  $b$  is the lateral distance between a pair of BRCs.

<sup>\*2</sup> Equivalent stiffnesses are defined in Section 2.3

Member-by-member (MBM) models of the benchmark buildings were built in OpenSees. (<http://opensees.berkeley.edu>) Centerline dimension models, which ignore the effects of panel zones and gusset plates, were employed for all models. Beams, columns and braces or walls were modeled by displacement-based beam elements with elastic materials. P-Delta effects were not included. A rigid floor was assumed, to ensure that the rocking frame worked together with the envelope frame. In the modeling of BRCs, we adopted equivalent elastic modulus and equivalent strain hardening ratio in order to consider that contribution of the higher axial stiffness of the elastic portions of the same member. The material of BRCs were assumed to

125 have bilinear stress–strain relations with a kinematic hardening rule. Rayleigh damping with  
 126 0.02 critical damping ratio matching at the first and third modes was implemented in the model.

127

## 128 **PARAMETERIZING OF KEY STRUCTURAL PROPERTIES**

129 The key structural properties, which are considered highly related to the seismic  
 130 performance of spine frame structures, are the stiffnesses of the moment frames, spine frames,  
 131 and dampers. The stiffness of the moment frame, denoted by  $K_f$ , is given by Eq. (1)

$$132 \quad K_f = \frac{12}{h^2 \sum_{n=1}^N \left( \frac{1}{(EI/h)_{cn}} + \frac{1}{(EI/l)_{bn}} \right)} \quad (1)$$

133 where  $h$  represents the story height; and  $(EI/h)_{cn}$  and  $(EI/l)_{bn}$  are the sums of line stiffness  
 134 of all the columns and beams at the  $n$ -th story, respectively.  $N$  is the total number of stories.

135 The lateral stiffness of the spine frame, denoted by  $K_s$ , is defined in Eq. (2) considering  
 136 both bending and shear stiffness.

$$137 \quad K_{sb} = \frac{3(EI)_s}{H^3}, \quad K_{ss} = \frac{(GA)_s}{H}, \quad K_s = \frac{1}{\frac{1}{K_{ss}} + \frac{1}{K_{sb}}} \quad (2)$$

138 where  $(EI)_s$  is the equivalent sectional bending stiffness of the spine frame;  $(GA)_s$  is the  
 139 equivalent sectional shear stiffness of the spine frame;  $H$  is the total height of the structure,  
 140 which is identical to the height of the spine frame; and  $K_{sb}$  and  $K_{ss}$  are the equivalent bending  
 141 stiffness and shear stiffness of the spine frame, respectively. The lateral stiffness of the dampers,  
 142 denoted by  $K_d$  is calculated by Eq. (3)

$$143 \quad K_d = \frac{F_{BRC\_y}(b)^2}{2u_{BRC\_y}(H_{eq})^2} \quad (3)$$

144 where  $u_{BRC\_y}$  is the yield deformation of each BRC,  $b$  is the width of the spine frame,  $F_{BRC\_y}$   
 145 represents the yielding force of the BRC, and  $H_{eq}$  is the equivalent height of the first mode.  
 146 The representative stiffnesses are further parameterized into normalized stiffness ratios, which  
 147 are the stiffness ratio of spine frame to moment frames, denoted by  $K_s/K_f$ , and the stiffness ratio  
 148 of dampers to moment frames, denoted by  $K_d/K_f$ . They are used as the control parameters in



the parametric study. In the benchmark models,  $\theta_y=0.1\%$ ,  $K_d/K_f=1.0$ , and  $K_s/K_f=0.5$  in the 5- and 10-story buildings, while  $K_s/K_f=0.3$  in the 20- and 30-story buildings. Considering the seismic design code and construction requirement, in the parametric study,  $K_d/K_f$  ranges from 0.5 to 4.0, and  $K_s/K_f$  ranges from 0.1 to 2.0. Table 2 summarizes the variables of the four buildings, and a total of 564 cases were studied.

**Table 2.** Variables in the parametric study

Sgt3-Ksf0.3-Nb10-20-Kdf1.0-1.0-1.0			
①	②	③	④

① Model type  
**SW**: Shear wall    **Cnt**: Continuous spine  
**Sgt2**: 2 Segmented spines    **Sgt3**: 3 Segmented spines

② Stiffness ratio of the spine and the moment frame  
**Ksf**  $K_s/K_f$

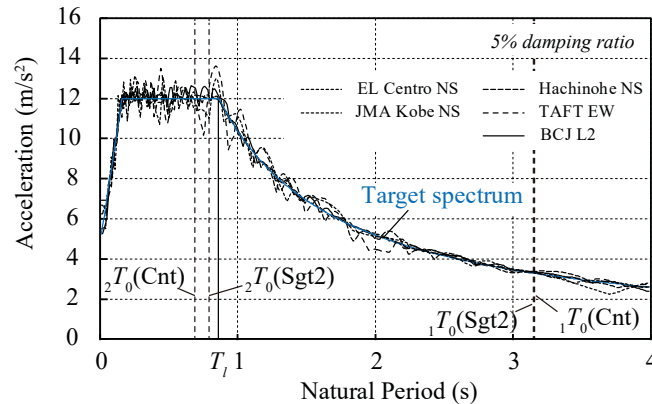
③ Stories of the upper BRCs  
(excluded in SW or Cnt model)  
Sgt2: **Nb**  $N_{b1}$   
Sgt3: **Nb**  $N_{b1}-N_{b2}$

④ Stiffness ratio of the dampers and the moment frame (excluded in SW model)  
Cnt: **Kdf**  $K_d/K_f$   
Sgt2: **Kdf**  $K_{d1}/K_f-K_{d2}/K_f$   
Sgt3: **Kdf**  $K_{d1}/K_f-K_{d2}/K_f-K_{d3}/K_f$

All	$K_s/K_f$	0.1	0.3	0.5	0.7	1	2			
Cnt	$K_d/K_f$	0	0.5	0.8	1	1.3	1.5	2	3	4
Sgt2	$N_{b1}$	3~29								
	$K_{d1}/K_f$	0	0.5	0.8	1	1.3	1.5			
	$K_{d2}/K_{d1}$	0.5	0.8	1						
Sgt3	$N_{b1}$	10~20								
	$N_{b2}$	14~28								
	$K_{d(2,3)}/K_f$	1								

## INPUT GROUND MOTIONS→TIME-HISTORY ANALYSES

A time-history analysis was carried out to examine their seismic performance. The ground motions used for the time history analysis include the artificial wave BCJ-L2 with duration of 120 s, as well as the observed waves El Centro NS (1940), JMA Kobe NS (1995), TAFT EW (1925), and Hachinohe NS (1968), each of them 30 s long. The acceleration response spectra of the four recorded ground motions were spectrally matched to follow the Japanese life-safety design spectrum (BRI-L2), as shown in Fig. 3.

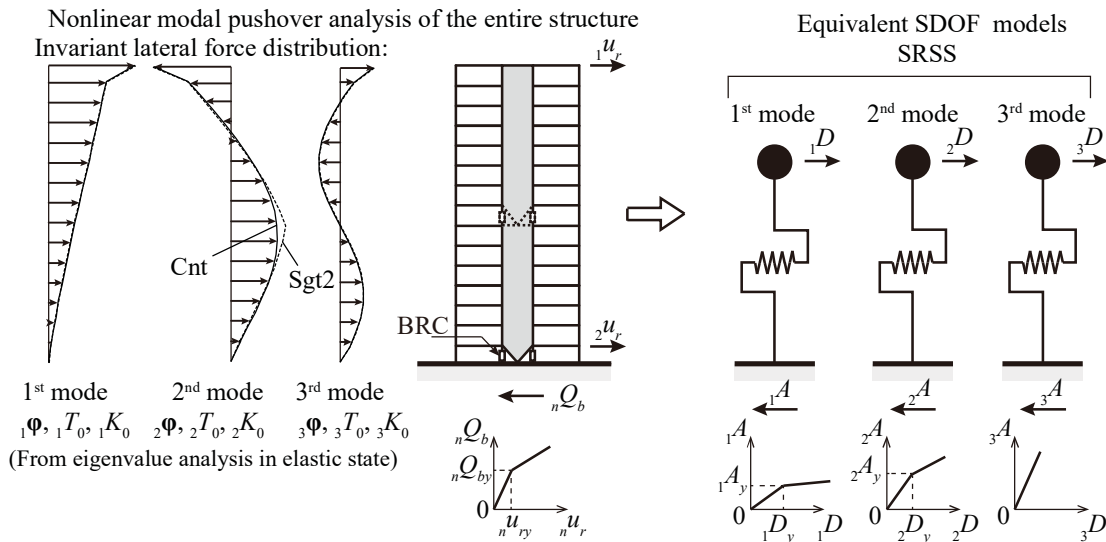


**Figure 3.** Acceleration spectra of normalized input ground motions

## SEISMIC EVALUATION BASED ON MODAL PUSHOVER ANALYSIS

### EVALUATION PROCEDURE

Besides the evaluations by time-history analyses using MBM models, two simplified response evaluation methods using the key parameters are proposed in the following. The modal pushover analysis (MPA) based on the structural dynamics theory has been commonly used for seismic evaluation (H. Krawinkler et al. 1998). In a typical MPA procedure, a suit of monotonically increasing lateral forces with an invariant height-wise distribution is loaded on the structure till a target deformation is reached (A. K. Chopra et al. 2002). Both the force distribution and target deformation are calculated by assuming that one mode response is predominant and the mode shape remains unchanged after the yielding mechanism occurs. The invariant force distribution cannot consider the redistribution of inertia forces after the yielding mechanism occurs, but they are conceptually and computationally simple for engineering practice. In the current study, a MPA procedure with invariant force distribution considering the contribution of higher modes is utilized for evaluating the proposed continuous and segmented spine frame structures applied in high-rise buildings, as shown in Fig. 4.



**Figure 4.** Nonlinear modal pushover analysis for spine frame structures

Chopra et al. proposed a modified MPA procedure assuming the higher modes as elastic, and verified its accuracy for regular frames. (A. K. Chopra et al. 2004) However, this assumption significantly overestimates the seismic performance, particularly the force response of spine frames in controlled spine frame structures. Therefore, a nonlinear pushover analysis is required for higher modes, at least for the second mode of spine frame structures.

187 The evaluation procedure is as follows:

188 Step 1. Compute the natural periods,  ${}_nT_0$ , and modes,  ${}_n\boldsymbol{\phi}$ , for a linearly elastic vibration of  
189 the building.

190 Step 2. For the  $n$ th mode, develop the base shear-floor displacement,  ${}_nQ_b - {}_nu_r$  pushover  
191 curve by nonlinear static analysis of the building using the lateral force distribution,  ${}_n\mathbf{q}^*$  (Eq.  
192 (4)). ( $\mathbf{m}$  is mass matrix)

$$193 \quad {}_n\mathbf{q}^* = \mathbf{m} \, {}_n\boldsymbol{\phi} \quad (4)$$

194 Step 3. Convert the  ${}_nQ_b - {}_nu_r$  pushover curve to the force-deformation,  ${}_nA - {}_nD$ , relation  
195 for the  $n$ -th mode inelastic SDOF system by utilizing Eq. (5) ( ${}_nM_{eq}$  is the effective modal mass.  
196  ${}_n\beta$  is called a modal participation factor.  ${}_nu_r$  is reference floor displacement.  ${}_nQ_b$  is base shear  
197 force.) Section “REFERENCE FLOOR” explains how to determine the reference floor.

$$198 \quad {}_nA = \frac{{}_nQ_b}{{}_nM_{eq}} \quad {}_nD = \frac{{}_nu_r}{{}_n\beta \, {}_n\phi_r}, \quad {}_n\beta = \frac{{}_n\boldsymbol{\phi}^T \mathbf{m} \{1\}}{{}_n\boldsymbol{\phi}^T \mathbf{m} \, {}_n\boldsymbol{\phi}}, \quad {}_nM_{eq} = \frac{({}_n\boldsymbol{\phi}^T \mathbf{m} \{1\})^2}{{}_n\boldsymbol{\phi}^T \mathbf{m} \, {}_n\boldsymbol{\phi}} \quad (5)$$

199 Step 4. From  ${}_nA - {}_nD$  relation determine the initial stiffness and hardening stiffness of  
200 the SDOF system.

201 Step 5. Evaluate the peak deformation  ${}_nD$  by utilizing Eqs. (6)–(9) iteratively.

$$202 \quad {}_nh_{eq} = {}_nh_0 + \frac{2}{\pi\mu p} \ln \frac{(1-p+p\mu)}{(\mu)^p} \quad (6)$$

$$203 \quad {}_nT_{eq} = {}_nT_0 \sqrt{\frac{\mu}{1+p(\mu-1)}} \quad (7)$$

204 where  $p = \frac{{}_nK_h}{{}_nK_0}$  denotes the hardening stiffness ratio;  $\mu = \frac{{}_nD_t}{{}_nD_y}$  denotes the ductility ratio

205 when the target deformation is assumed as  ${}_nD_t$ ;  ${}_nD_y$  is the yielding deformation; and  ${}_nD_0$  and  
206  ${}_nA_0$  denote the primarily estimated deformation and force corresponding to the initial period  
207  ${}_nT_0$  and initial damping ratio  ${}_nh_0$  ( $=0.02$ ), respectively. They are updated by Eqs. (8) and (9)  
208 till a convergence is reached, where  ${}_nR_d$  and  ${}_nR_a$  are the deformation and force reduction  
209 factors:

$$\begin{aligned}
210 \quad {}_n D = {}_n R_d {}_n D_0, {}_n R_d = & \begin{cases} \frac{{}_n T_{eq}}{{}_n T_0} {}_n D_h & T_l \leq {}_n T_0 \leq {}_n T_{eq} \\ \frac{{}_n T_{eq}}{{}_n T_0} {}_n D_h \frac{T_l (2{}_n T_{eq} - {}_n T_l) - ({}_n T_0)^2}{2({}_n T_{eq} - {}_n T_0) {}_n T_0} & {}_n T_0 \leq T_l \leq {}_n T_{eq}, T_l = 0.864 \text{ s} \\ \frac{{}_n T_{eq}}{{}_n T_0} {}_n D_h \frac{{}_n T_{eq} + {}_n T_0}{2{}_n T_0} & {}_n T_0 \leq {}_n T_{eq} \leq T_l \end{cases} \quad (8)
\end{aligned}$$

$$211 \quad {}_n D_h = \sqrt{\frac{1 + \alpha {}_n h_0}{1 + \alpha {}_n h_{eq}}}, \alpha \text{ is an empirical value, set as 25.}$$

$$212 \quad {}_n A = {}_n R_a {}_n A_0, {}_n R_a = {}_n R_d \left( \frac{{}_n T_f}{{}_n T_{eq}} \right)^2 \quad (9)$$

213 Step 6. Inversely convert  ${}_n D$  to the peak  $i$ th floor displacement  ${}_n u_i$  in the inelastic MDOF  
214 system.

215 Step 7. From the pushover database (step 2), extract values of desired response  ${}_n r$  at  $i$ th  
216 floor displacement equal to  ${}_n u_i$ .

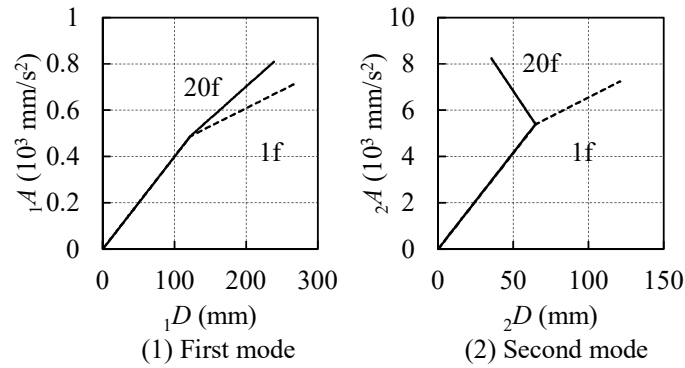
217 Step 8. Repeat steps 3 to 7 for as many modes as required for sufficient accuracy.

218 Step 9. Determine the total seismic response by combining the peak modal responses using  
219 a modal combination rule.

220 The MPA procedure can also be used to estimate internal forces in those structural members  
221 that remain within their linearly elastic range, but not in those that deform into the inelastic  
222 range. In the latter case, the member forces are estimated from the total member deformations.

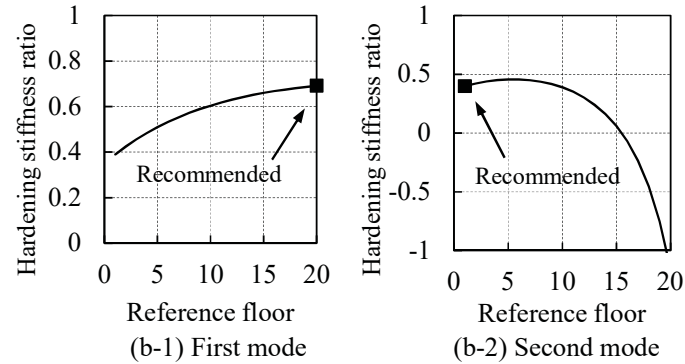
## 223 REFERENCE FLOOR

224 The assumption of invariable mode shapes before and after the yielding mechanism occurs  
225 might not be satisfied, particularly for the spine frame structures, because the yielding  
226 deformation concentrates in dampers that are equipped at specific stories. Therefore, the  
227 relationship between the different floor displacement and base shear obtained from the  
228 pushover analysis of the original structure results in a different hardening stiffness ratio (even  
229 reversal deformation) in the force-deformation curve of the corresponding SDOF system, as  
230 shown in Fig. 5 (a).



**Figure 5.** SDOF force-deformation (A-D) curves obtained by using 1<sup>st</sup> or 20<sup>th</sup> floor as ref. floor (model: 20-story Cnt-Ksf0.3-Kdf1.0, input: BCJ-L2)

Previous researchers also observed the “reversal” curves in the higher-mode pushover analysis and suggested to use lower floors as the reference floor. (R. K. Chopra et al. 2005) For the spine frame structures, the estimation of responses using the SDOF is more conservative when the hardening stiffness ratio is larger. For the first mode, the top floor gives the largest hardening stiffness ratio; for the second mode, the first floor gives almost the largest hardening stiffness ratio (Fig. 6). Similar results are obtained for the Sgt2 models. These two floors are determined as the reference floors for first mode and second mode, respectively.



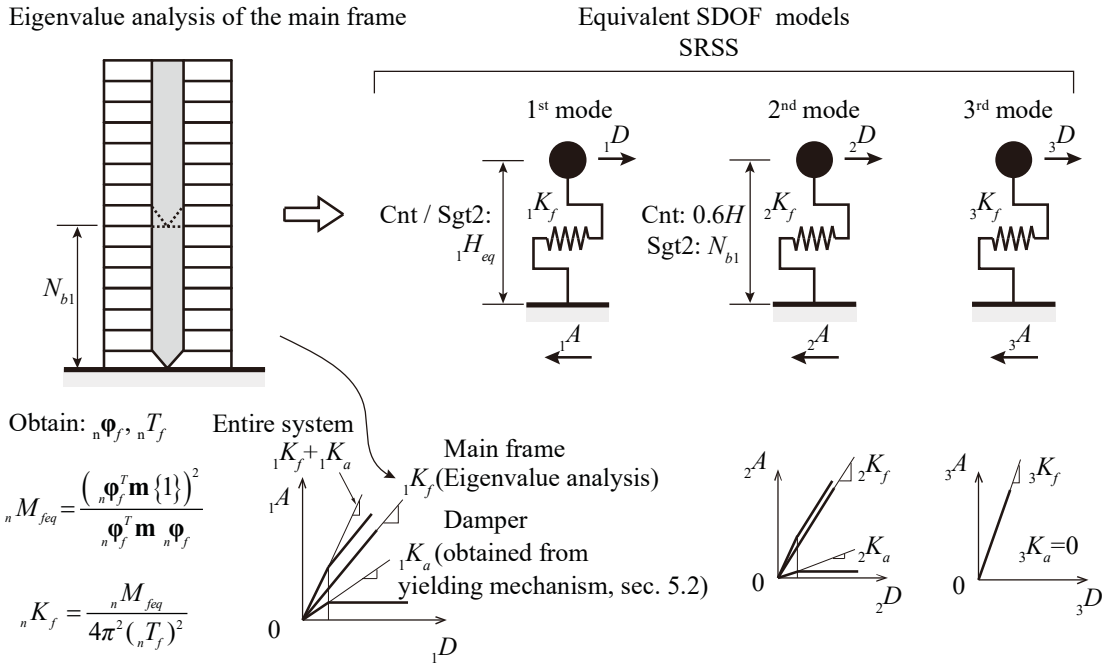
**Figure 6.** Hardening stiffness ratio obtained by using different ref. floors (model: 20-story Cnt-Ksf0.3-Kdf1.0, input: BCJ-L2)

## SEISMIC EVALUATION BASED ON RESPONSE SPECTRUM ANALYSIS

### EVALUATION PROCEDURE

In the previous paper (X. Chen et al. 2017), the authors proposed a simplified evaluation method based on equivalent linearization techniques and response spectrum analysis (RSA) for low-rise spine frame structures. It was verified that this method provides enough accuracy when the key structural parameters are in a regular range, which has been quantified in the

previous paper. In this paper, the modified procedure by including the higher-modes contribution to the seismic performance of high-rise buildings (Fig. 7) is proposed as follows:



**Figure 7.** Multi-mode response spectrum analysis for the spine frame structures

Step 1. Compute the natural periods,  ${}_nT_f$ , and modes,  ${}_n\boldsymbol{\phi}_f$ , for the linearly elastic vibration of the main frame without BRCs. Obtain the elastic force-deformation  ${}_nA$ – ${}_nD$  relation with stiffness  ${}_nK_f$  for the SDOF system by utilizing Eq. (5).

Step 2. Evaluate the elastic modal responses  ${}_nr_f$  of the main frame with an inherent damping ratio of 0.02. To evaluate the forces of the structural members, an elastic pushover analysis using the lateral force distribution  ${}_n\mathbf{q}_f^*$  is required. ( ${}_n\mathbf{q}_f^* = \mathbf{m} {}_n\boldsymbol{\phi}_f$ )

Step 3. For the  $n$ -th mode, compute the additional stiffness  ${}_nK_a$  and yielding deformation  ${}_nD_y$  contributed by the BRCs. Determine the system initial stiffness  ${}_nK_{f+a} = {}_nK_f + {}_nK_a$ . The system hardening stiffness equals to  ${}_nK_f$  obtained from step 1.

Step 4. Compute the deformation and force reduction factors,  ${}_nR_d$  and  ${}_nR_a$ , by utilizing Eqs. (6)–(9) iteratively, where  ${}_nK_0$  and  ${}_nK_h$  are replaced with  ${}_nK_{f+a}$  and  ${}_nK_f$ . Eq. (8) is replaced with Eq. (8\*), because the main frame herein excludes the dampers ( ${}_nT_{f+a}$  in RSA equals to  ${}_nT_0$  in MPA; both are the initial stiffness of the whole system)

$${}_nR_d = \begin{cases} \frac{{}_nT_{eq}}{{}_nT_f} {}_nD_h & T_l \leq {}_nT_{f+a} \leq {}_nT_{eq} \leq {}_nT_f \\ \frac{{}_nT_{eq}}{{}_nT_f} {}_nD_h \frac{T_l(2{}_nT_{eq} - T_l) - {}_nT_{f+a}^2}{2({}_nT_{eq} - {}_nT_{f+a})T_l} & {}_nT_{f+a} \leq T_l \leq {}_nT_{eq} \leq {}_nT_f \\ \frac{{}_nT_{eq}}{{}_nT_f} {}_nD_h \frac{{}_nT_{eq} + {}_nT_{f+a}}{2T_l} & {}_nT_{f+a} \leq {}_nT_{eq} \leq T_l \leq {}_nT_f \\ \frac{{}_nT_{eq}}{{}_nT_f} {}_nD_h \frac{{}_nT_{eq} + {}_nT_{f+a}}{2{}_nT_f} & {}_nT_{f+a} \leq {}_nT_{eq} \leq {}_nT_f \leq T_l \end{cases} \quad (8*)$$

270 Step 5. Evaluate the desired responses of the original structure by multiplying  ${}_nR_d$ , or  ${}_nR_a$ .

271 Step 6. Repeat steps 3 to 5 for as many modes as required for sufficient accuracy.

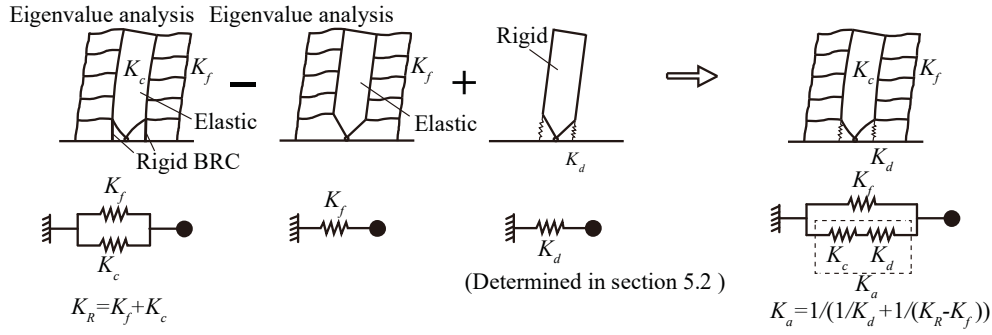
272 Step 7. Determine the total seismic response by combining the peak modal responses using  
273 the SRSS modal combination rule.

274 Note that in the RSA procedure, the static pushover analysis is not necessary for evaluating  
275 the maximum deformation and story shear of the entire structure, unless the results of structural  
276 member-level forces are desired. The effect of damper stiffness could be simply estimated by  
277 formula calculation without numerical analysis, which is more convenient compared to the  
278 MPA procedure.

## 279 ESTIMATION OF DAMPER STIFFNESS

280 Generally, the connection elements have a significant influence on the effectiveness of  
281 damping devices, reducing the imposed local deformations and achieved damping for a given  
282 level of drift. For controlled spine frame structures, the spine frame flexural stiffness reduces  
283 the effective damper stiffness and it must be accounted for. To isolate the spine frame stiffness  
284 in the member-by-member model, an eigenvalue analysis is first conducted with the dampers  
285 substituted with rigid elements (Fig. 8 (a)), and then with the dampers removed (Fig. 8 (b)).  
286 Thus, the stiffness of the spine frame  $K_c$  can be isolated from the frame  $K_f$  by subtracting the  
287 results of the first pushover analysis ( $K_c + K_f$ ) from the second  $K_f$ . The local damper stiffness  
288  $K_d$  is determined in the following sections. Finally, the stiffness of the entire structure is  
289 expressed by Eq. (10)

$${}_nK_a = \frac{1}{\frac{1}{K_d} + \frac{1}{K_R - K_f}} \quad (10)$$



291 (a) Condition R (b) Condition N (c) Stiffness of dampers (d) Additional stiffness due to dampers

292 **Figure 8.** Computation of additional stiffness considering flexural deformation of spine frames

### 293 **Damper stiffness and yielding deformation of Cnt models**

294 The estimation of damper stiffness is essential for ensuring the accuracy of the RSA results  
 295 because the stiffness of the main frame  $K_f$  is obtained directly from the eigenvalue analysis,  
 296 which is regarded as accurate. The damper stiffness and yielding deformation in the first- or  
 297 second-mode SDOF system of the Cnt models is calculated by Eq. (11) (a) and (b). The damper  
 298 stiffness in modes higher than the second mode can be ignored as the generated error in total  
 299 response is usually less than 0.1% for spine frame structures.

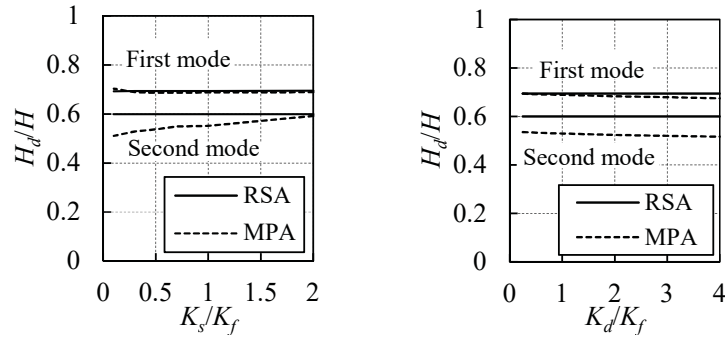
$$300 \quad {}_i K_d = \frac{F_{BRC-y} (b)^2}{2u_{BRC-y} ({}_i H_d)^2}, \quad i=1, 2 \text{ (a)}; \quad {}_i D_{dy} = \frac{2u_{BRC-y}}{b_i \beta_i \phi_i / h_i} \cdot \frac{{}_i K_a}{{}_i K_d}, \quad i=1, 2 \text{ (b)} \quad (11)$$

301 where,  ${}_i H_d$  is the height of the equivalent damping force location:  ${}_1 H_d = {}_1 H_{eq}$  for the first  
 302 mode;  ${}_2 H_d = 0.6H$  for the second mode.  ${}_i K_d$  is the damper stiffness in the first-mode ( $i=1$ ) or  
 303 second-mode ( $i=2$ ) of the SDOF system;  $F_{BRC-y}$  and  $u_{BRC-y}$  are the yielding axial force and  
 304 yielding deformation of a single BRC, respectively;  $b$  is the lateral distance between a pair of  
 305 BRCs; and  $h_1$  is the height of the first story.

306 The equivalent force represents a concentrated horizontal force possessing the same value  
 307 with shear force allocated by the additional damper system and could generate an identical  
 308 overturning moment as the distributed horizontal forces. The elastic modal stiffness obtained  
 309 by MPA is utilized to calculate  ${}_i H_d$  for the first- and second-mode SDOF systems in RSA in  
 310 order to validate Eq. (11). Fig. 9 shows that  ${}_1 H_d$  is almost identical with  ${}_1 H_{eq}$  and the effects  
 311 of  $K_s/K_f$  and  $K_d/K_f$  on both are negligible. Initial stiffness, yielding deformation and maximum  
 312 deformation evaluated by using RSA and MPA are almost identical. (Appendix A, Fig A.1)

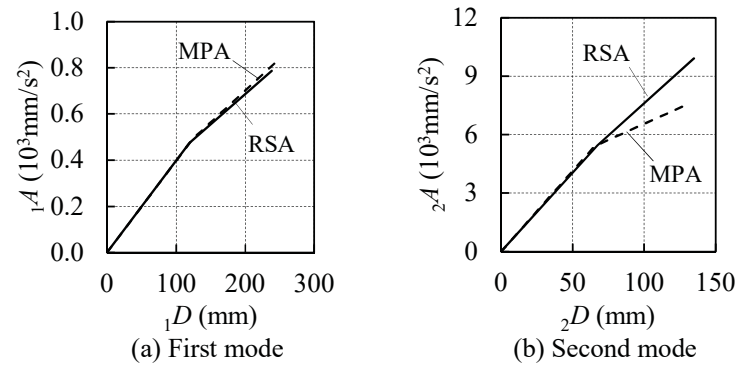


313  ${}_2H_d / H$  slightly increases with  $K_s/K_f$  and reaches 0.6 when  $K_s/K_f=2.0$ . Although assuming  
 314 that  ${}_2H_d = 0.6H$  causes a larger error when  $K_s/K_f$  is smaller, such difference has little effect  
 315 on the initial stiffness or yielding deformation of the second mode vibration of the system  
 316 (Appendix A, Fig A.2), because main frame stiffness rather than the damper stiffness is  
 317 dominant in the second-mode stiffness. (ex. the RSA curve in Fig 10 (b))



318  
 319 (a)  $H_d/H$  with various  $K_s/K_f$  ( $K_d/K_f=1.0$ ) (b)  $H_d/H$  with various  $K_d/K_f$  ( $K_s/K_f=0.3$ )  
 320 **Figure 9.** Verification of  $H_d$  for Cnt models by MPA method

321 Since the main frame stiffness is accurate in the RSA method, we can use it to validate the  
 322 main frame stiffness obtained by the MPA method. Fig. 10(b) compares the detailed A-D  
 323 curves of a Cnt model obtained by RSA and MPA methods. We can see that hardening stiffness,  
 324 i.e., the stiffness of the main frame, obtained by the eigenvalue analysis in RSA is much larger  
 325 than the MPA result, which is mainly because the lateral force distribution utilized in the MPA  
 326 is kept proportional to the elastic force distribution, and it underestimates the post-yield  
 327 stiffness. Comparison on the hardening stiffness of other Cnt models can be found in Appendix  
 328 A, Figs A.1 and A.2.



329  
 330 (a) First mode (b) Second mode  
 331 **Figure 10.** Comparison between RSA and MPA in SDOF A-D curves of Cnt models (model: 20-story  
 332 Cnt-Ksf0.3-Kdf1.0)

### 333 Damper stiffness and yielding deformation of Sgt models

334 The calculation of the damper stiffness  ${}_iK_d$  for the Sgt2 models is relatively more  
 335 complicated than for the Cnt models. As for the first mode, the elastic deformations of both  
 336 BRC1s and BRC2s are taken into account in the calculation of the dampers stiffness (Eqs.  
 337 (12)–(14)).  ${}_1H_{eq}$  is assumed as the location of the equivalent concentration force. As for the  
 338 second mode, the BRC2s are assumed to yield initially because the MPA results show that they  
 339 make little contribution to the overall damper stiffness (Eq. (15)). Detailed explanation on the  
 340 yielding mechanism of dampers in the Sgt2 models is in Appendix B. The height of the BRC2s  
 341  $H_{Nb}$  is assumed as the location of the equivalent concentration force, as shown in Fig. 11.  
 342 Yielding deformation are calculated by Eq. (11) (b). Fig. 12 shows that  ${}_1H_{eq}$  and  $H_{Nb}$  match  
 343 well with the height obtained from the MPA method.

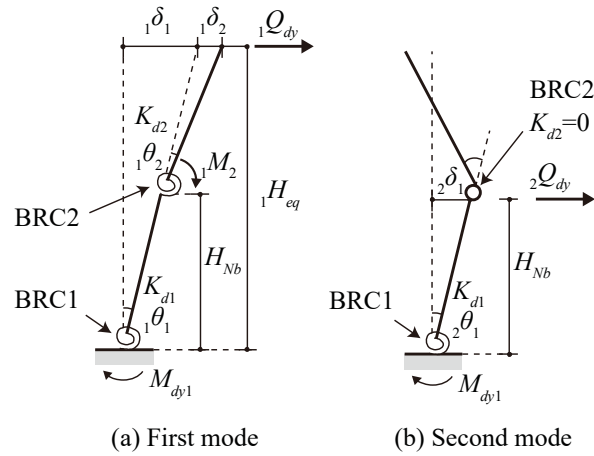
$$344 \quad \begin{cases} {}_1\theta_2 = {}_1M_2 / K_{d2} \\ {}_1M_2 = {}_1Q_{dy} ({}_1H_{eq} - H_{Nb}) \longrightarrow {}_1\delta_2 = \frac{{}_1Q_{dy} ({}_1H_{eq} - H_{Nb})^2}{K_{d2}} \\ {}_1\delta_2 = {}_1\theta_2 ({}_1H_{eq} - H_{Nb}) \end{cases} \quad (12)$$

$$345 \quad \begin{cases} {}_1\theta_1 = M_{dy1} / K_{d1} \\ M_{dy1} = {}_1Q_{dy} {}_1H_{eq} \longrightarrow {}_1\delta_1 = \frac{{}_1Q_{dy} {}_1H_{eq}^2}{K_{d1}} \\ {}_1\delta_1 = {}_1\theta_1 \cdot {}_1H_{eq} \end{cases} \quad (13)$$

$$346 \quad {}_1K_d = \frac{{}_1Q_{dy}}{({}_1\delta_1 + {}_1\delta_2) {}_1M_{eq}} = \frac{1}{\frac{{}_1H_{eq}^2}{K_{d1}} + \frac{({}_1H_{eq} - H_{Nb})^2}{K_{d2}}} \cdot \frac{1}{{}_1M_{eq}} \quad (14)$$

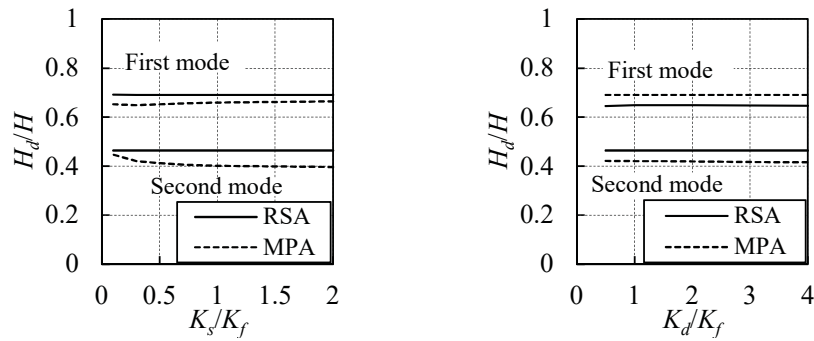
$$347 \quad {}_2K_d = \frac{M_{dy1}}{\theta_{dy1} (H_{Nb})^2 {}_2M_{eq}} = \frac{K_{d1}}{(H_{Nb})^2 {}_2M_{eq}} \quad (15)$$

348 where  $K_{d1}$  and  $K_{d2}$  are the rotational stiffnesses of the elasto-plastic hinges formed by BRC1s  
 349 and BRC2s;  $M_{dy1}$  is the yielding moment of hinge BRC1;  ${}_1Q_{dy}$  is the lateral force at a height  
 350 of  ${}_1H_{eq}$ , when hinge BRC1 yields in 1<sup>st</sup>-mode SDOF system; and  ${}_1M_2$  is the elastic moment  
 351 of hinge BRC2, when subjected to the lateral force  ${}_1Q_{dy}$  in the 1<sup>st</sup>-mode SDOF system.



352

353 **Figure 11.** Concept of computing damper stiffness of a Sgt2 model

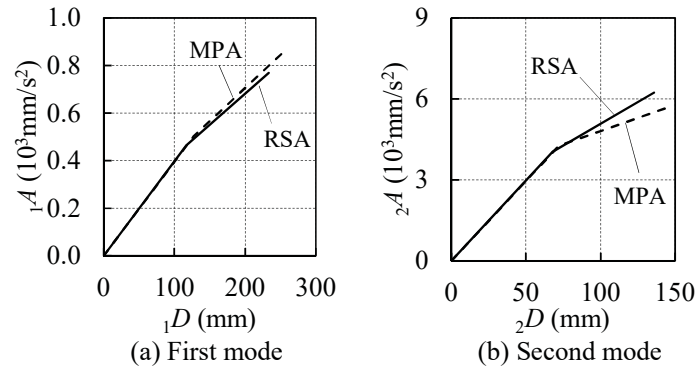


354

355 (a)  $H_d/H$  with various  $K_s/K_f$  ( $K_d/K_f=1.0$ ) (b)  $H_d/H$  with various  $K_d/K_f$  ( $K_s/K_f=0.3$ )

356 **Figure 12.** Verification of  $H_d$  for Sgt2 models by MPA method

357 Generally, the initial stiffness and yielding deformation of the 1<sup>st</sup>- and 2<sup>nd</sup>-mode SDOF  
 358 systems determined by the RSA are in good agreement with those determined by the MPA  
 359 when  $K_s/K_f=0.0-2.0$  and  $K_{d1}/K_f=0.0-2.0$ . (Appendix A, Figs A.3 and A.4, (a-1), (a-2), (b-1),  
 360 (b-2)) The second-mode hardening stiffness of the RSA is much larger than that of the MPA.  
 361 Similar to the Cnt models, the main reason is that the lateral force distribution utilized in the  
 362 MPA is kept proportional to the elastic force distribution and it underestimates the post-yield  
 363 stiffness. As a result, the difference between the RSA and MPA in hardening stiffness increases  
 364 as  $K_{d1}/K_f$  increases. (Appendix A, Figs A.3 and A.4, (a-3), (b-3))

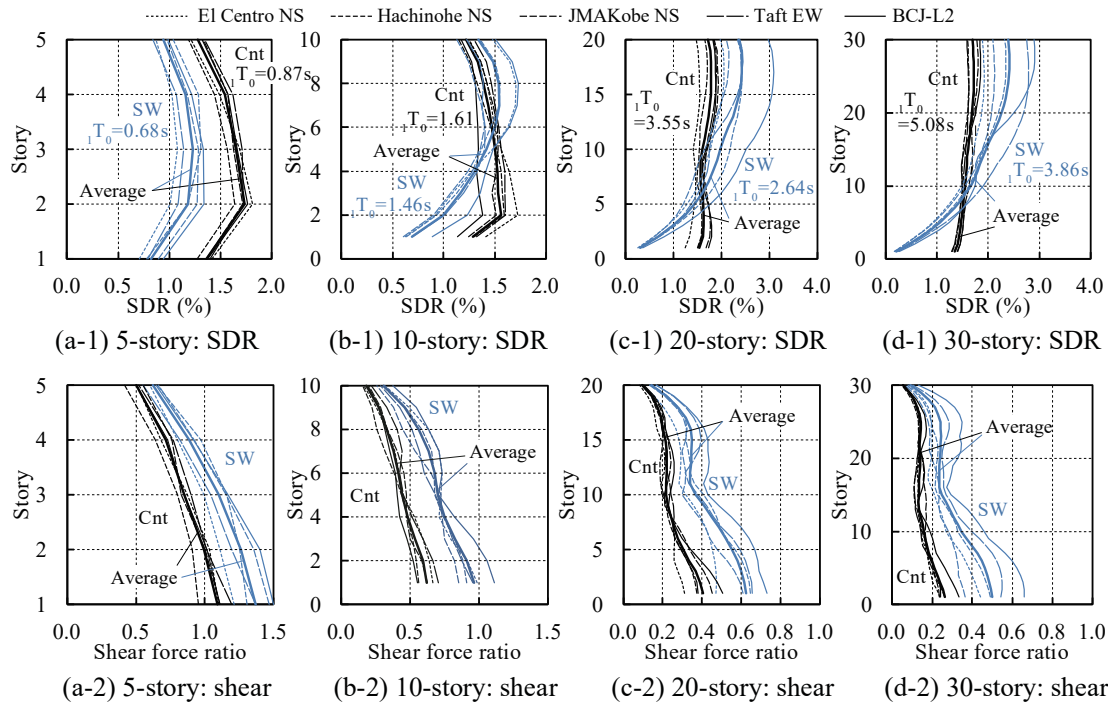


**Figure 13.** Comparison between RSA and MPA in SDOF A-D curves of Sgt2 models (model: 20-story Sgt2-Ksf0.3-Kdf1.0-0.5 model)

## PARAMETRIC STUDY OF EACH CONTROLLED SPINE SYSTEM USING TIME-HISTORY ANALYSIS

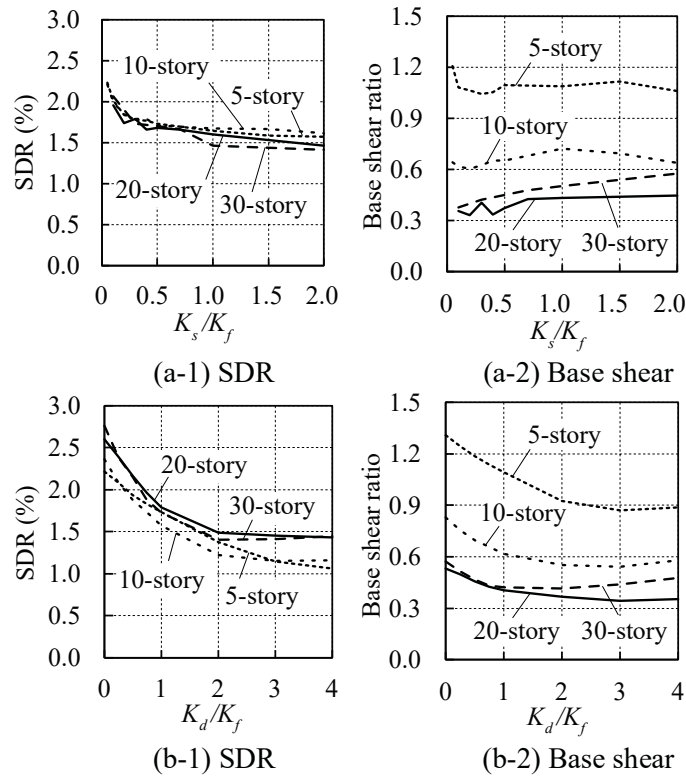
### SEISMIC BEHAVIOR OF CNT MODELS

In this chapter, response characteristics of each spine system proposed in Fig.2 are compared and discussed using the parameters  $K_d$ ,  $K_f$ , and  $K_s$  as defined in previous chapters. The averaged results of story drift ratio (SDR), story shear ratio (story shear normalized by the seismic weight of the structure) obtained by time-history analysis with various inputs are summarized in Fig. 14 along with the first mode natural period of the SW and Cnt models. The higher mode effect is observed in the shear force distribution of the 20- to 30-story buildings. Except for the SDR of the 5-story building, both the SDR and shear force response in the controlled Cnt models are smaller than in the SW models. The main reason for this is the shift period of the softer Cnt models, particularly for the taller buildings. The SDR of the Cnt models is more uniformly distributed than in the SW models.



**Figure 14.** Seismic performance of Cnt and SW models with various heights

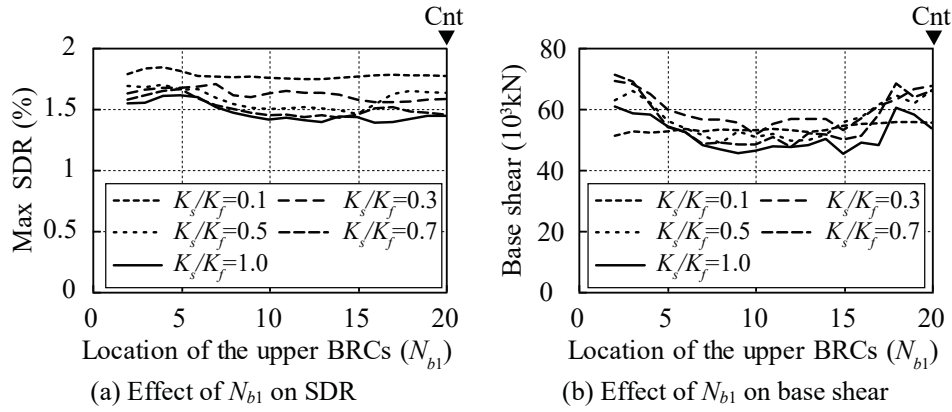
The effects of spine-to-moment frame stiffness ratio,  $K_s/K_f$ , and damper-to-moment frame stiffness ratio,  $K_d/K_f$ , on the seismic response of the 5-, 10-, 20-, and 30-story Cnt models were studied based on the time-history analysis. Fig. 15 shows the average results obtained from five ground motions input. As shown in Fig. 15(a), the maximum SDR decreases as  $K_s/K_f$  increases, and tends to be constant after  $K_s/K_f$  exceeds 1.0. The base shear of the 5-story model is relatively independent of  $K_s/K_f$ , and the base shear of the 10-story model increases till  $K_s/K_f$  reaches 1.0, while the base shear of the 20- and 30-story buildings increases slowly when  $K_s/K_f$  is increasing. The stiff spine frame has an effect in achieving a more uniform deformation distribution, even for structures as tall as 30 stories. Fig. 15(b) shows that, generally, both the SDR and base shear of the four models decrease when  $K_d/K_f$  increases from 0 to 2.0, and then they tend to be constant despite of the damper stiffness. This indicates that increasing the damper stiffness is not always effective to reduce the seismic performance of the buildings.



**Figure 15.** Seismic performance of Cnt and SW models with various heights: (a) Effect of  $K_s/K_f$  ( $K_d/K_f=1.0$ ) (b) Effect of  $K_d/K_f$  ( $K_s/K_f=1.0$ )

#### SEISMIC BEHAVIOR AND OPTIMAL STRUCTURAL PROPERTIES OF SGT2 MODELS

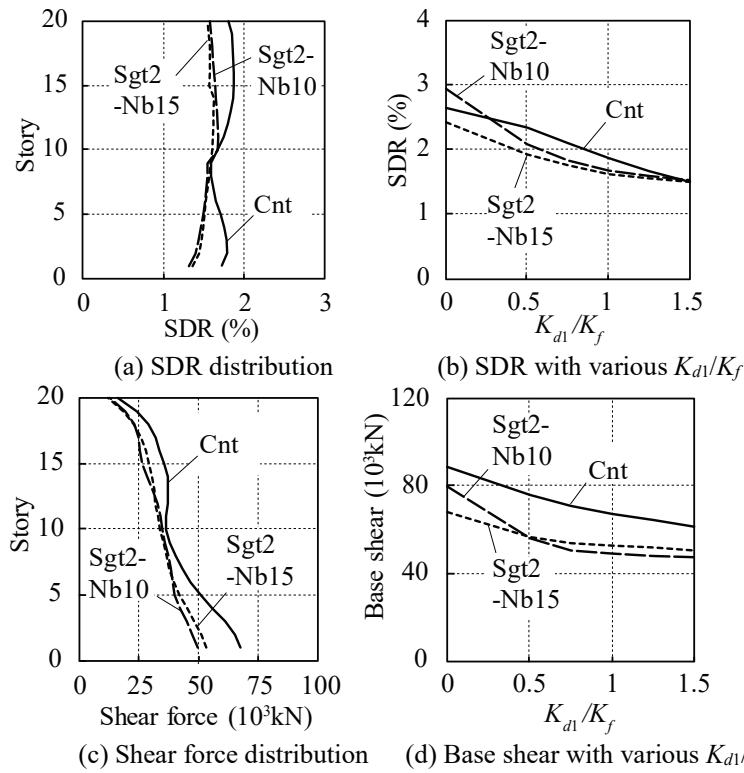
Time-history analysis with five ground motions was carried out to investigate the seismic behavior and optimal structural properties of the Sgt models. Fig. 16 illustrates the maximum SDR and base shear of a typical 20-story Sgt2 model, obtained by a time-history analysis with the BCJ-L2 input. The story number of the bottom spine,  $N_{b1}$ , ranges from 2 to 19; and  $K_s/K_f$  varies among 0.1, 0.3, 0.5, 0.7, and 1.0. Both  $K_{d1}/K_f$  and  $K_{d2}/K_f$  are kept constant at 1.0. When  $K_s/K_f$  is 0.1, the curves of SDR and base shear are almost flat, indicating that the spine frame is too soft to reduce the response of the moment frame. When  $K_s/K_f$  is not less than 0.3, the maximum SDRs of the Sgt2 models achieve the smallest values when  $N_{b1}$  is around 10–15, but are still similar to those of the Cnt models, as shown in Fig. 16(a). From Fig. 16(b) we could see that the base shear of the whole structure reaches the smallest value when  $N_{b1}$  is around 10–15. As for the Sgt2 models with various  $K_s/K_f$  and  $K_d/K_f$ , the optimal configurations could be with  $N_{b1}$  ranging from 10 to 15.



**Figure 16.** Effect of  $K_s/K_f$  and  $N_{b1}$  on seismic performance of Sgt2 models (model: 20-story Sgt2-Ksf0.3-Kdf1.0-1.0, input ground motion: BCJ-L2)

As two examples among the optimal cases, the models Sgt2-Ksf0.3-Nb10 and Sgt2-Ksf0.3-Nb15 were used to search the optimal damper stiffness of the upper spine frame. Figs. 17(b) and (d) show the average results of maximum SDR and base shear of the Sgt2 and Cnt models, obtained from the time-history analysis.

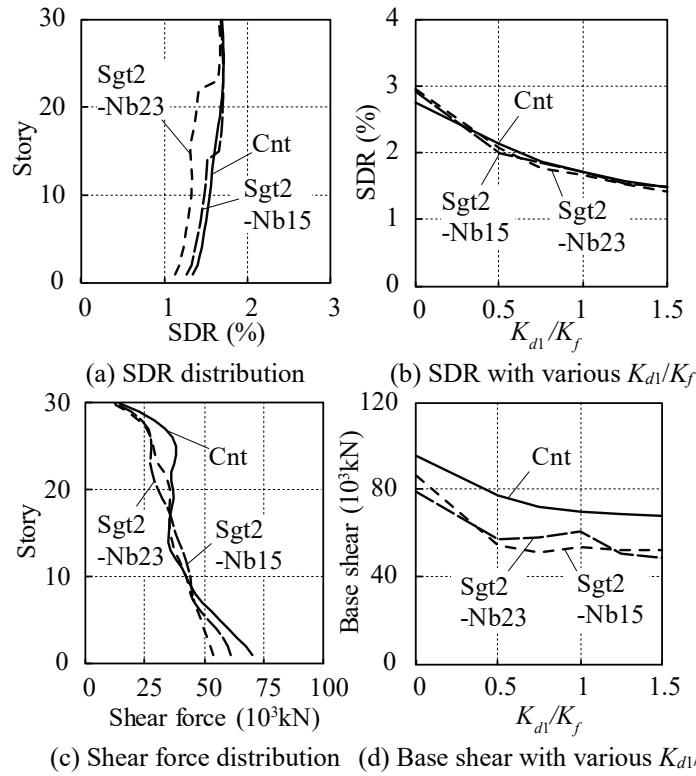
Generally, in the 0.5–1.0 range of  $K_{d1}/K_f$ , the SDR of the Sgt2 model is less than that of the Cnt model, and the base shear is reduced by almost 25% in the Sgt2 model. The effect when  $K_{d2}/K_{d1}$  (defined as  $R_{Kd}$ ) is varied among 0.5, 0.75, and 1.0 was also studied. However, the effect of  $R_{Kd}$  on the SDR is negligible in both models. Similar results have been observed for the base shear when  $K_{d1}/K_f$  is less than 1.0. When  $K_{d1}/K_f$  is larger than 1.0, a  $R_{Kd}$  of 0.5 gives the smallest base shear. Figs. 17(a) and (c) show the SDR and story shear distribution of two Sgt2 models, Sgt2-Ksf0.3-Nb10-Kdf1.0-0.5 and Sgt2-Ksf0.3-Nb15-Kdf1.0-0.5, along with the Cnt-Ksf0.3-Kdf1.0 model. We could observe a more uniformly distributed SDR and linearly distributed story shear in the Sgt2 models. Moreover, both the maximum SDR and base shear of the Sgt2 models are reduced compared to those of the Cnt model. The Sgt2 and Cnt models possessing the same total size of dampers were also examined. The Sgt2-Ksf0.3-Nb10-Kdf0.5-0.5, Sgt2-Ksf0.3-Nb15-Kdf0.5-0.5, and Cnt-Ksf0.3-Kdf1.0 models were compared, and the results showed that the base shear force could be reduced by adopting the Sgt2 models.



**Figure 17.** Comparison between 20-story Cnt and Sgt2 models ( $K_s/K_f=0.3$  and  $K_{d2}/K_{d1}=0.5$  in a–d,  $K_{d1}/K_f=1.0$  in a & c, average results)

The effects of  $K_{d1}/K_f$  and  $R_{kd}$  in the 30-story models were also investigated, as shown in Figs. 18(a)–(d). The effects of  $N_{b1}$  in the 30-story models are almost the same as those in the 20-story models. The optimal value of  $N_{b1}$  is around 15–23, 50%–75% of the total height, in which both the SDR and base shear achieve the smallest response.



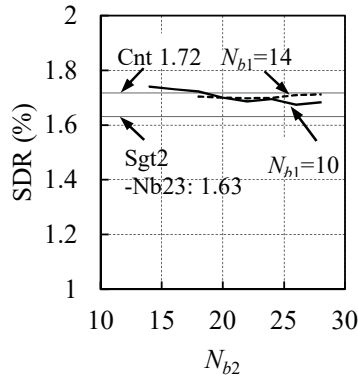


**Figure 18.** Comparison between 30-story Cnt and Sgt2 models ( $K_s/K_f=0.3$  and  $K_{d2}/K_{d1}=0.5$  in a–d,  $K_{d1}/K_f=1.0$  in a & c, average results)

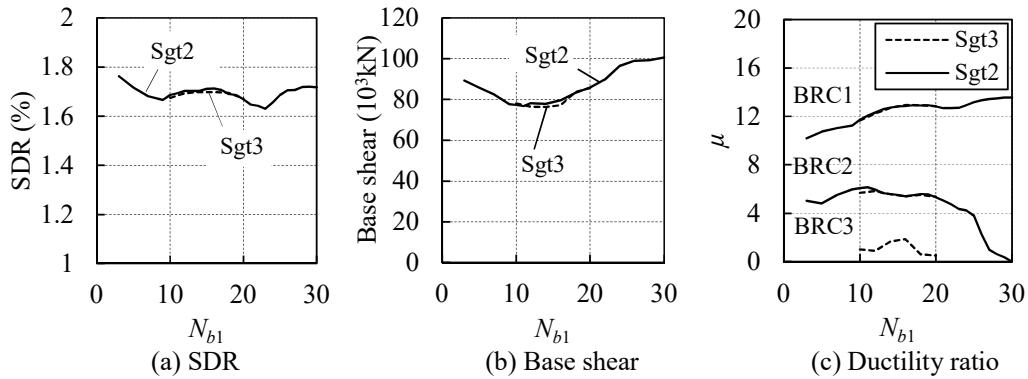
### SEISMIC BEHAVIOR AND OPTIMAL STRUCTURAL PROPERTIES OF SGT3 MODELS

Three-segment-spine (Sgt3) models were also tried for the 30-story building. Time-history analyses of the Sgt3 models with different segmentations were carried out. In those models,  $N_{b1}$  ranges from 10 to 20, and  $N_{b2}$  ranges from  $(N_{b1}+4)$  to 28, and  $K_s/K_f=0.3$ ,  $K_{d1}/K_f=K_{d2}/K_f=K_{d3}/K_f=1.0$ . The results of the analyses show that the different configurations of those Sgt3 models do not substantially change the SDR response, as shown in Fig. 19.

To compare the Sgt3 models with the Sgt2 models, for each  $N_{b1}$  of the Sgt3 models, we selected the cases in which the SDR was the smallest among different  $N_{b2}$ , and the results are shown in Figs. 20(a) and (b). The difference in both the SDR and base shear results between the Sgt2 and Sgt3 models of the 30-story building is negligible. This is because the BRCs of the top spine (BRC3) do not significantly work, which is indicated by the small ductility ratio shown in Fig. 20(c). Therefore, the three-segment-spine-frame structure is not effective and not recommended for high-rise buildings of less than 30 stories.



471  
472 **Figure 19.** SDR of Sgt3 models with various  $N_{b1}$  and  $N_{b2}$  (input ground motion: BCJ-L2)

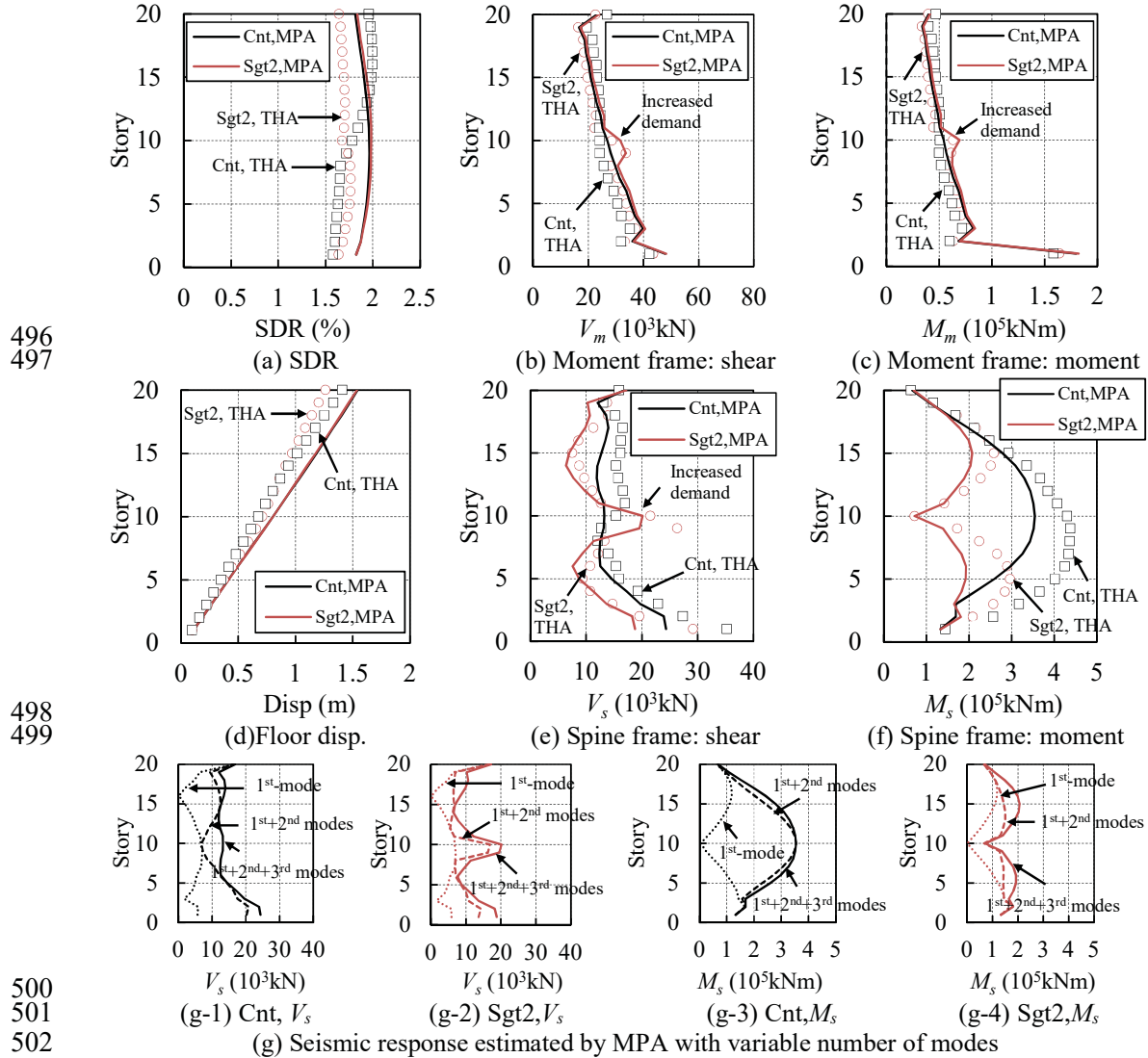


473  
474  
475 **Figure 20.** Comparison between the Sgt2 model and the optimal Sgt3 models (input ground motion:  
476 BCJ-L2)

## 478 VERIFICATION OF THE PROPOSED EVALUATION METHODS

479 In the following, validities of the proposed response evaluation methods are discussed.  
480 Displacement and force distribution of each component of the Cnt and Sgt2 models which were  
481 evaluated by MPA method was compared with the results obtained from time-history analysis  
482 (THA). As shown in Fig. 21, the responses estimated by using the MPA procedure considering  
483 three modes agreed well with the results of the time-history analysis. From the estimated modal  
484 response, we could understand that the first three modes provide enough accuracy for  
485 evaluating the seismic performance of both the Cnt and Sgt2 models. Besides, the first mode  
486 response is dominated in the floor displacement, story drift ratio, and shear force and  
487 overturning moment of the moment frames. The second mode contributed to a significant  
488 response in story shear and bending moment of the spine frames (Fig. 21 (g)). The responses  
489 subjected to other input waves gave similar results.

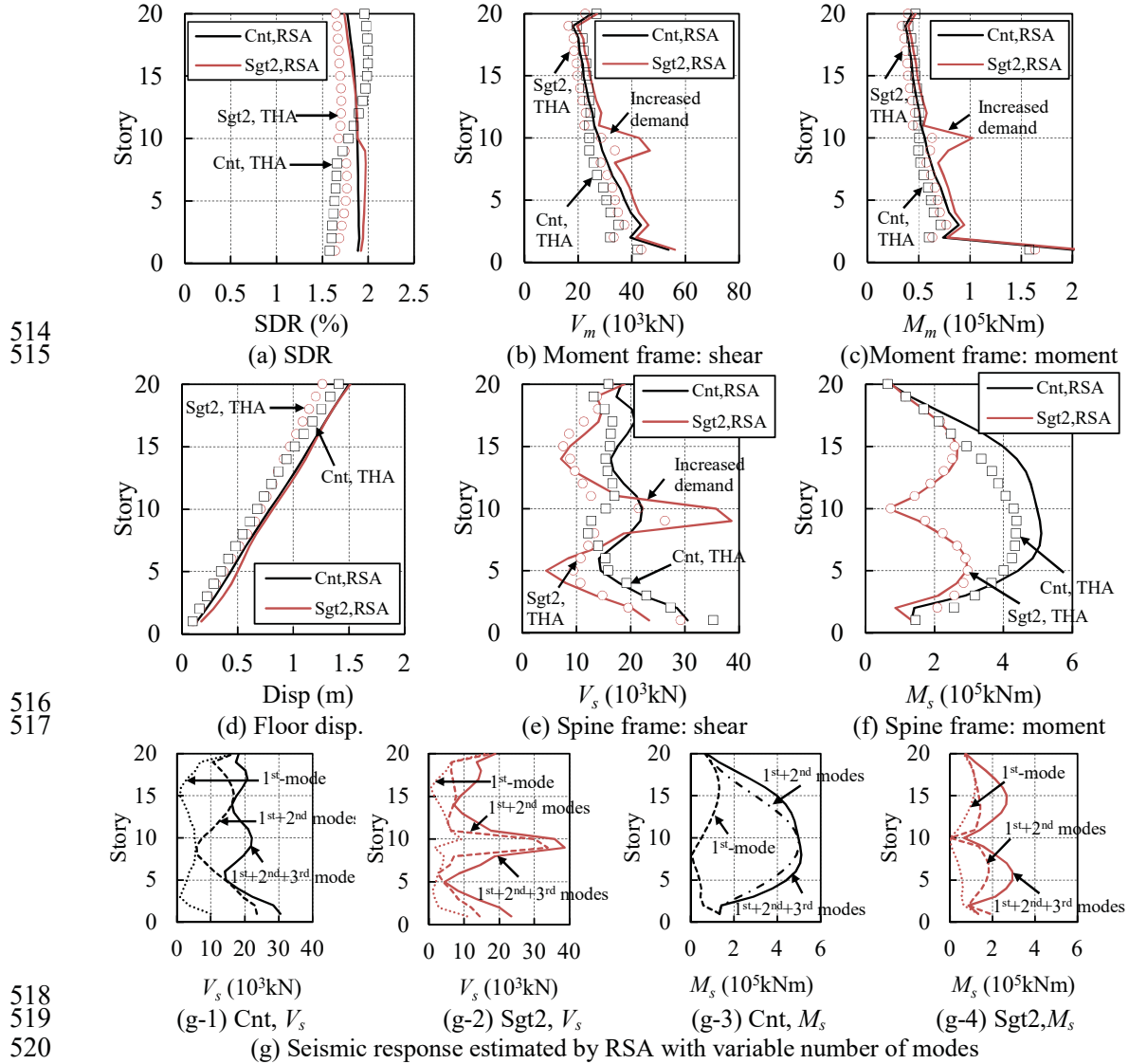
490 The MPA results including the first three modes of the Sgt2 and Cnt models show that the  
 491 force of the spine frames is significantly reduced in the Sgt2 models, whereas the force of the  
 492 moment frames remains at a similar level, compared to those of the Cnt models (Figs. 21 (e)  
 493 and (f) vs. (b) and (c)). Meanwhile, increased moment demand for the moment frames and  
 494 shear force demand for both moment and spine frames, at approximately the BRC2s level, are  
 495 required (Figs. 21(b), (c), and (e)).



500 **Figure 21.** Seismic response of a Cnt and a Sgt2 model estimated by MPA and THA (models: 20-story  
 501 Cnt-Ksf0.3-Kdf1.0 and 20-story Sgt2-Ksf0.3-Kdf1.0-0.5, input: BCJ-L2)  
 502

506 Displacement and force distribution of each component of the Cnt and Sgt2 models which  
 507 were evaluated by RSA method was compared with the results obtained from time-history

analysis. As shown in Fig. 22, the RSA method considering three modes gives a good estimation for the deformation responses of both the Cnt and Sgt2 models. The ‘two-stage’ shaped SDR distribution is well captured in the Sgt2 model (Fig 22(a)), because the deformation shape is assumed to be proportional to the mode shape of the main frame, excluding the dampers. Contrary to the MPA method, the RSA method gives a slightly conservative estimation of the forces in the spine frames.



**Figure 22.** Seismic response of a Cnt and a Sgt2 model estimated by RSA and THA (models: 20-story Cnt-Ksf0.3-Kdf1.0 and 20-story Sgt2-Ksf0.3-Kdf1.0-0.5, input: BCJ-L2)

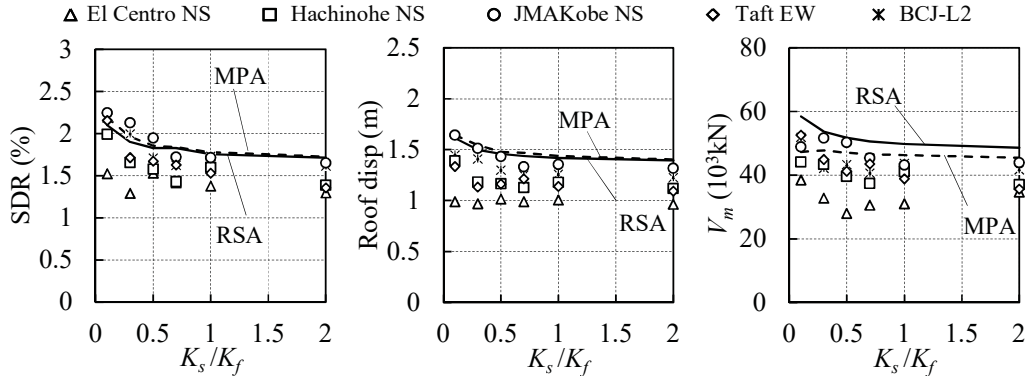
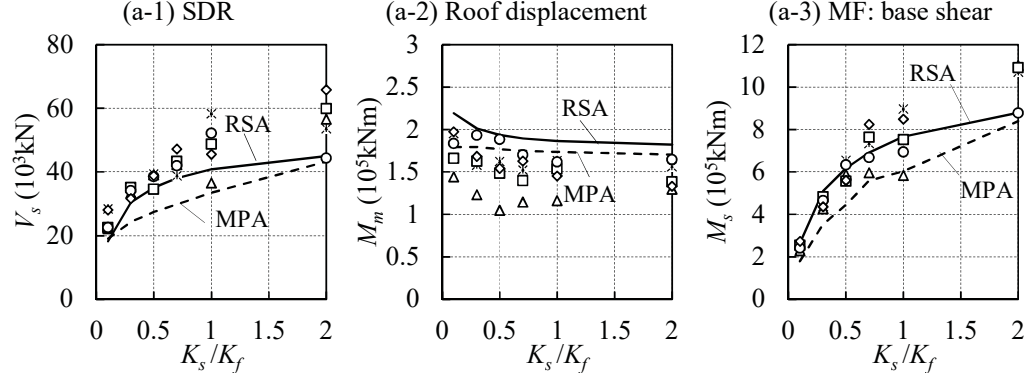
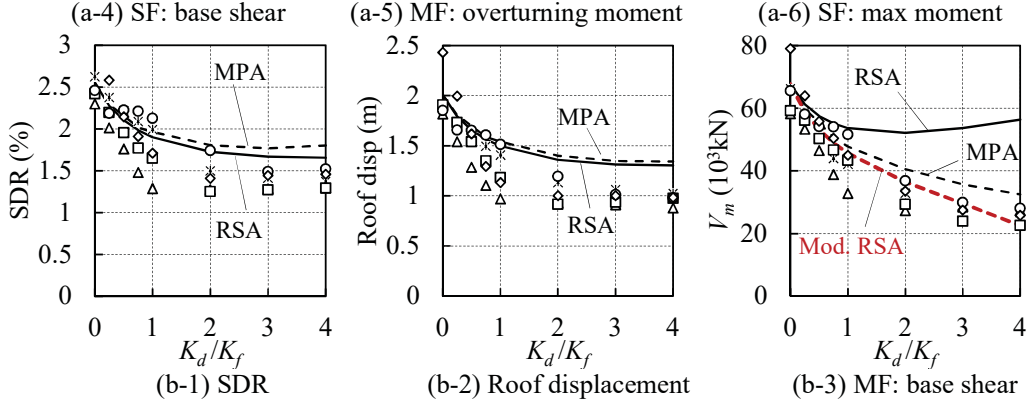
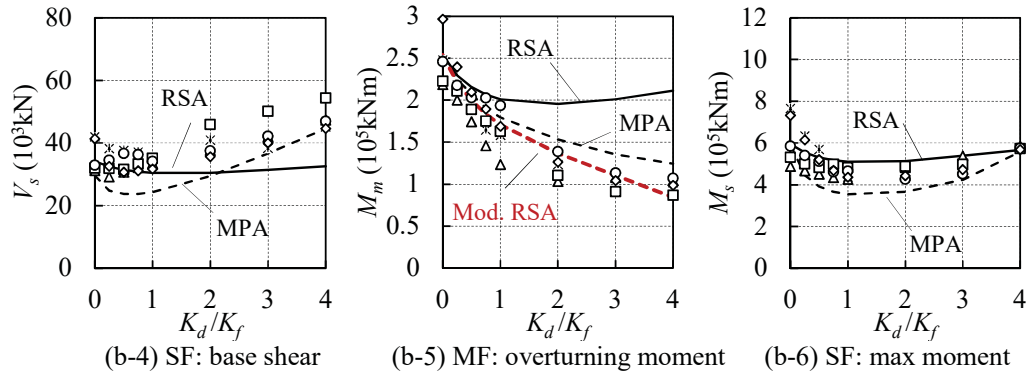
Fig. 23 compares the seismic response of Cnt models evaluated by using the RSA and MPA methods to the THA along the  $K_s/K_f$  and  $K_d/K_f$  indexes. Both the RSA and MPA methods provide a good estimation with appropriate conservatism on the maximum SDR, roof displacement, shear force, and overturning moment of the moment frames of the Cnt models when  $K_s/K_f=0.1-2.0$  and  $K_d/K_f=0-1.0$ . However, the error of the forces in the moment frame increased when  $K_d/K_f$  increases, particularly when  $K_d/K_f \geq 2.0$ , as shown in Figs. 23(b-3) and (b-5). The main source of error in the MPA procedure is the reference floor. Choosing a more representative reference floor, rather than the most conservative one, could greatly improve the accuracy. The main source of error in the RSA procedure could be the post-yield response distribution. When the input earthquake intensity increased (and the plasticity of the structure further developed), the difference between the RSA and THA decreased. Therefore, the RSA procedure provides a better estimation for structures developing into sufficient plasticity, or structures in which the response distribution did not change much after the formation of the yielding mechanism. These results also indicate that the dampers could decrease the peak force response not only by introducing additional damping, but also by changing the distribution pattern of the spine frame structures.

To modify this error, a modification factor  $\gamma$  (Eq. (16)) is introduced for the estimation of forces of the moment frames in the RSA procedure. Figs. 23 (b-3) and (b-5) show the modified estimation results.

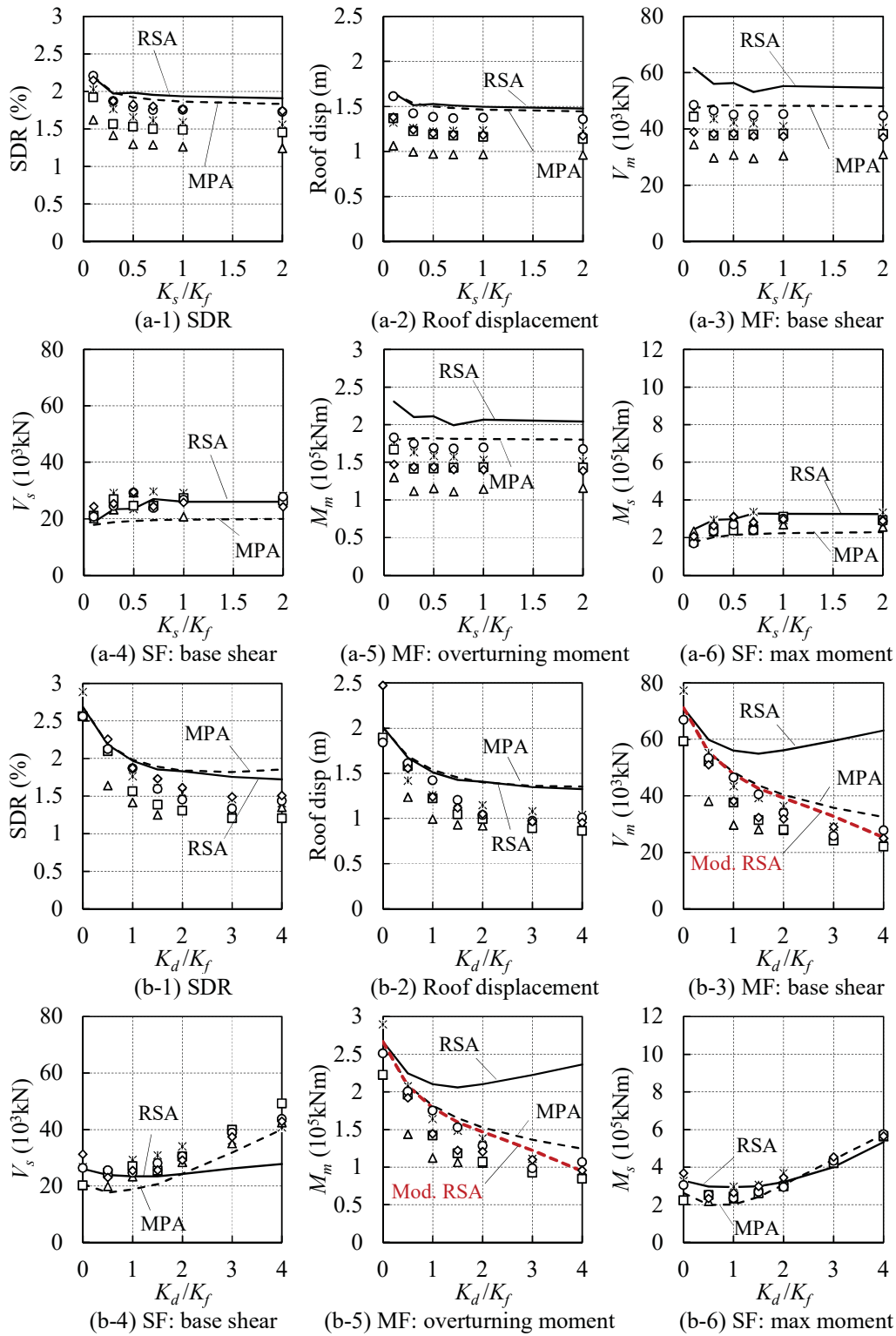
$$\gamma = 1 - 0.15 K_d / K_f \quad (16)$$

As for the Sgt2 models, the RSA procedure provided a relatively more conservative estimation for both deformation and force, compared to the MPA procedure (Fig. 24). Despite the values of  $K_s/K_f$  and  $K_d/K_f$ , the RSA and MPA estimated the maximum SDR and roof displacement well, with proper conservatism. As for the force responses, the RSA provided a better estimation for the spine frames compared to the MPA, particularly when  $K_d/K_f \leq 2.0$ . Nevertheless, similarly with the Cnt models, the modification factor defined for forces of moment frame of the Cnt models is also utilized for those of the Sgt models, and it gives a good accuracy.

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565 **Figure 23.** Comparison of RSA, MPA, and THA on seismic response of Cnt models: (a) with various  
 566  $K_s/K_f$  ( $K_d/K_f=1.0$ ); (b) with various  $K_d/K_f$  ( $K_s/K_f=0.3$ )



**Figure 24.** Comparison of RSA, MPA, and THA on seismic response of Sgt2 models: (a) with various  $K_s/K_f$  ( $K_d/K_f=1.0$ ); (b) with various  $K_d/K_f$  ( $K_s/K_f=0.3$ )

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## CONCLUSIONS

578 In this study, the seismic performance of high-rise buildings adopting controlled spine  
579 frame structures was studied and a segmented-spine frame configuration was proposed.  
580 Seismic evaluation methods based on modal pushover analysis and response spectrum analyses  
581 have been developed for high-rise buildings adopting continuous or segmented spine frames.  
582 A parametric study was conducted to examine the optimal ranges for key structural parameters  
583 and to verify the proposed evaluation methods. The following conclusions were drawn from  
584 this study:

585 (1) The stiff spine frame has an effect in achieving a more uniform deformation distribution,  
586 even for structures as tall as 30 stories. To ensure the effectiveness of the spine frame and  
587 dampers, the spine-to-moment frame stiffness ratio  $K_s/K_f$  should exceed 0.3 for buildings  
588 higher than 10 stories. Increasing the damper stiffness is not always effective to reduce the  
589 seismic performance of the buildings. It is recommended to set the damper-to-moment frame  
590 stiffness ratio  $K_d/K_f$  up to 2.0 for the typical case of  $0.3 \leq K_s/K_f \leq 2.0$ .

591 (2) For buildings higher than 20 stories, as long as segment location  $N_{b1}/N = 0.5-0.75$  and  
592 upper-to-lower damper stiffness ratio  $K_{d2}/K_{d1} \geq 0.5$ , the 2-segment spine frame model could  
593 ensure a similar SDR response and efficiently reduce the base shear, compared to the  
594 continuous single-spine frame model. Therefore, the 2-segment spine frame configuration is  
595 recommended for high-rise buildings when the number of BRCs at one story is limited. The 3-  
596 segment spine frame model cannot achieve better performance than the 2-segment spine frame  
597 models, and its use is not recommended for buildings lower than 30 stories.

598 (3) The proposed MPA and RSA evaluation procedures could provide a good estimation  
599 with appropriate conservatism on the maximum deformation of continuous and segmented  
600 spine frame structures when  $K_s/K_f \leq 2.0$  and  $K_{d1}/K_f \leq 2.0$ . The modal analysis also helps to build  
601 a deeper understanding on the dynamic response of the controlled spine frame system. The  
602 force of the moment frames, estimated by the MPA procedure, agrees well with the THA  
603 results despite of damper stiffness, i.e., number of dampers. However, the MPA method tends  
604 to underestimate the force of the spine frame. The RSA method improves the results compared  
605 to the MPA method, particularly for the maximum bending moment of the spine frame, but an  
606 additional modification factor is necessary for estimating the force of the moment frames.

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## ACKNOWLEDGEMENT

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611

## ABBREVIATIONS

612

SDR: Story drift ratio

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Cnt: Continuous spine frame system

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Sgt: Segmented spine frame system

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Prt: Partial spine frame system

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SW: Shear wall system

617

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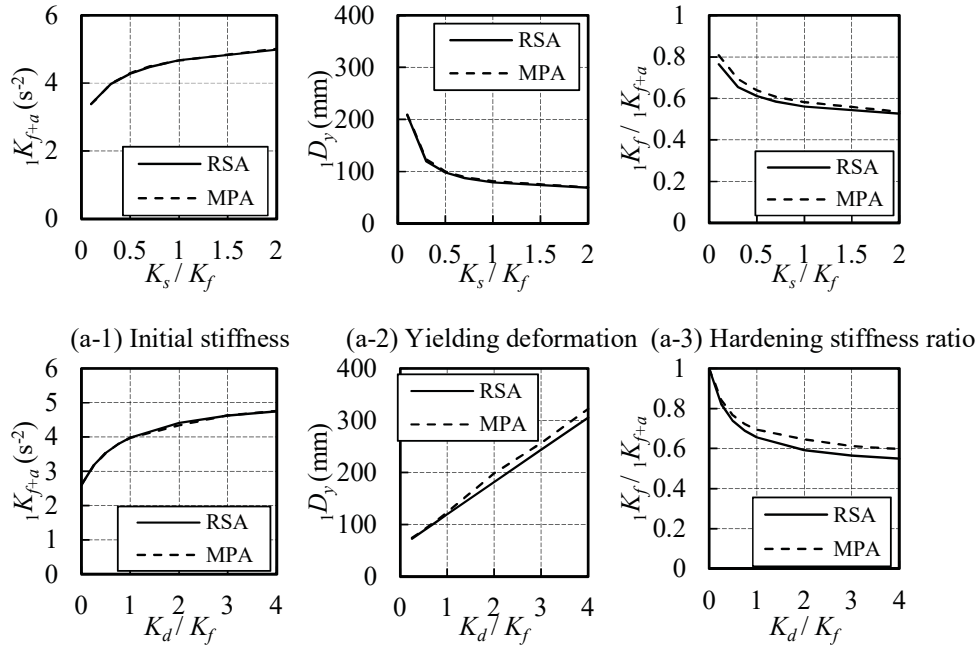
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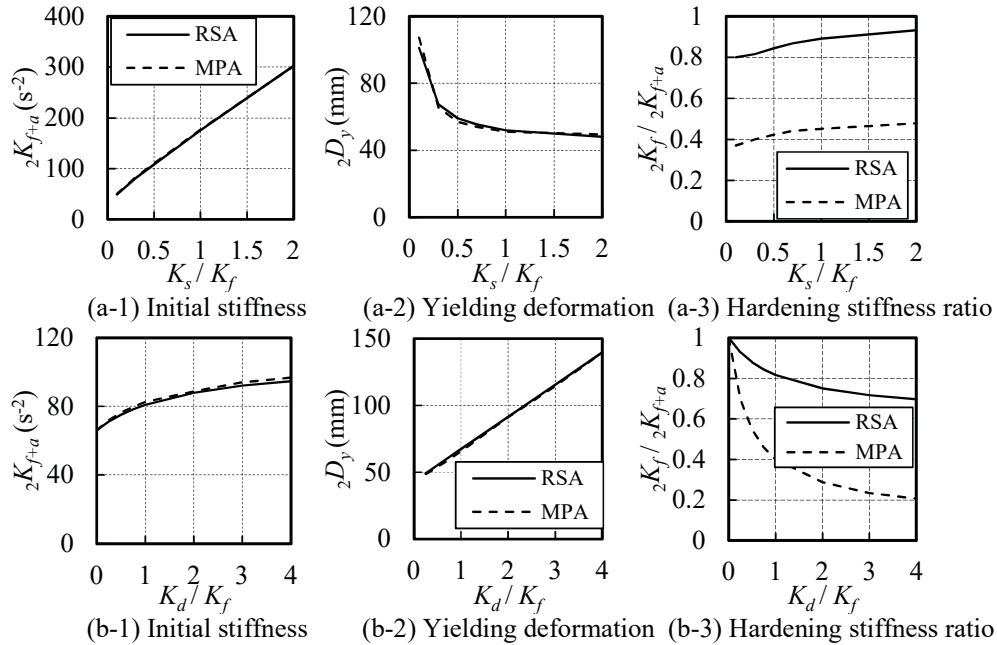
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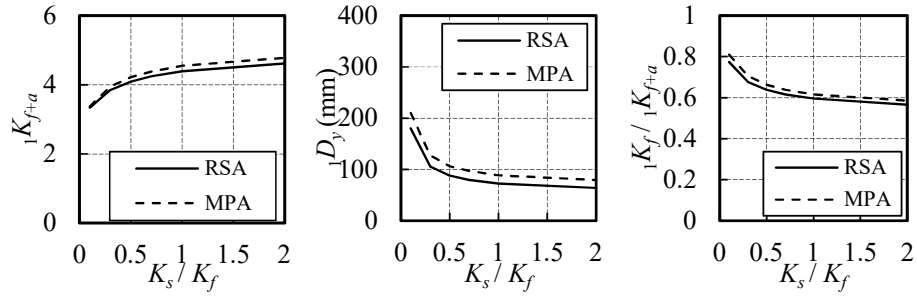
**APPENDIX A – FORCE-DEFORMATION CURVES OF THE SDOF SYSTEM  
EVALUATED BY ADOPTING MPA AND RSA**



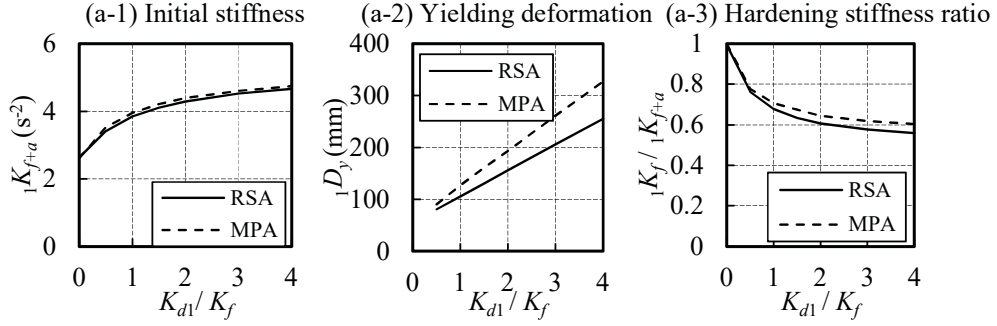
**Figure A.1** Structural characteristics of the first-mode SDOF system of Cnt models obtained by MPA and RSA methods: (a)  $K_d/K_f=1.0$  (b)  $K_s/K_f=0.3$



**Figure A.2** Structural characteristics of the second-mode SDOF system of Cnt model obtained by MPA and RSA methods: (a)  $K_d/K_f=1.0$  (b)  $K_s/K_f=0.3$



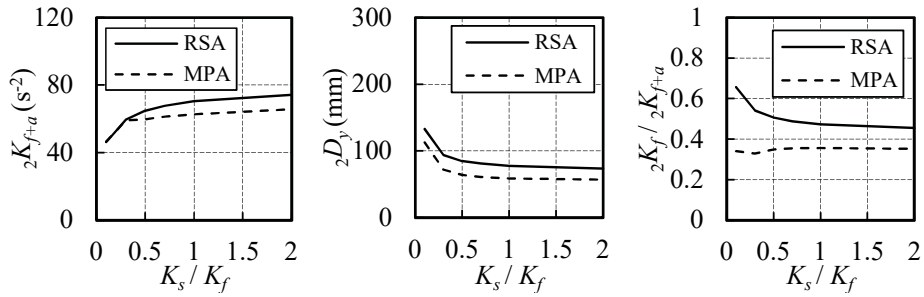
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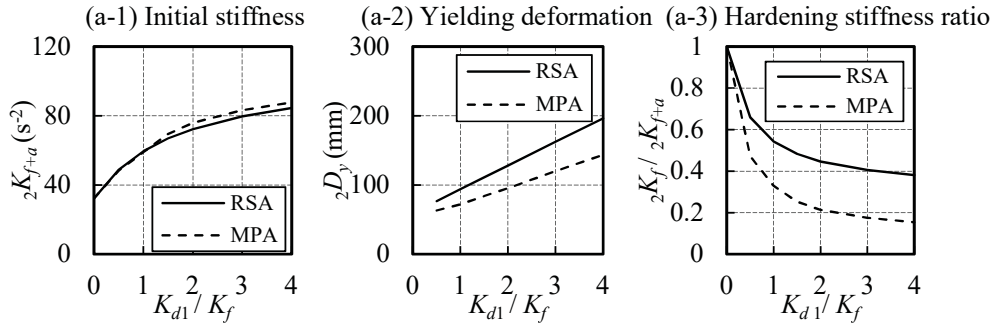
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682 (b-1) Initial stiffness (b-2) Yielding deformation (b-3) Hardening stiffness ratio

683 **Figure A.3** Structural characteristics of the first-mode SDOF system of Sgt2 model obtained by MPA  
684 and RSA methods: (a)  $K_d/K_f=1.0$  (b)  $K_s/K_f=0.3$



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688 (b-1) Initial stiffness (b-2) Yielding deformation (b-3) Hardening stiffness ratio

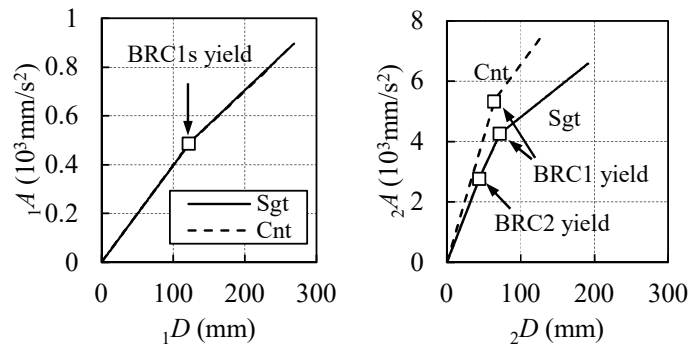
689 **Figure A.4** Structural characteristics of the second-mode SDOF system of Sgt2 model obtained by  
690 MPA and RSA methods: (a)  $K_d/K_f=1.0$  (b)  $K_s/K_f=0.3$

691

## 692 APPENDIX B – YIELDING MECHANISM OF DAMPERS IN THE SGT2 MODELS

693 The structural characteristics of the Cnt and Sgt2 models are compared by adopting the  
 694 MPA method. Pushover analyses conducted on the Sgt2 models showed that the BRC2s (BRCs  
 695 at the upper story) remained elastic in the first mode response. Therefore, the force-deformation  
 696 curve of the first mode SDOF system of the Sgt2 models is almost identical with that of the  
 697 Cnt model (Fig. B(a)). This causes that the first-mode dominant responses, such as the SDR of  
 698 the Sgt2 models estimated by MPA, are almost identical with those of the Cnt models. The  
 699 MPA method cannot capture the deformation reduction effect of the Sgt2 models, but still, it  
 700 provides a conservative estimation on deformation and exhibits the discrepancy in forces of  
 701 the Sgt2 and Cnt models.

702 In the second-mode pushover analysis, the BRC2s yielded first and they were followed by  
 703 the BRC1s (BRCs at the first story). Yielding of the BRC2s causes less degradation in the  
 704 system stiffness, while yielding of BRC1s reduces the system stiffness by approximately 50%  
 705 (Fig. B(b)). The second mode SDOF system of the Sgt2 models is obviously softer than that  
 706 of the Cnt models.



707 (a) First mode SDOF model (b) Second mode SDOF model

708 **Figure B.** Comparison between Cnt and Sgt2 models in SDOF A-D curves obtained by MPA (models:  
 709 20-story Cnt-Ksf0.3-Kdf1.0 and Sgt2-Ksf0.3-Nb10-Kdf1.0-0.5, input: BCJ-L2)  
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