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Article / Book Information

Title	A PCB-integratable metal cap slot antenna for 60-GHz band mobile terminals
Authors	Takashi Tomura, Haruhisa Hirayama, Jiro Hirokawa
Citation	IEICE TRANSACTIONS on Communications, vol. E102-B, no. 2, pp. 317-323
Pub. date	2019, 2
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## PAPER

# A PCB-Integratable Metal Cap Slot Antenna for 60-GHz Band Mobile Terminals

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**SUMMARY** A PCB-integratable metal cap slot antenna is developed for the 60-GHz band. The antenna is composed of two slots and a T-junction and is fed by a post-wall waveguide on a substrate. The dimensions of the designed antenna are  $8.0 \times 4.5 \times 2.5 \text{ mm}^3$ . The designed antenna is insensitive with a metal block behind the antenna. The designed antenna is fabricated by machining a brass block and evaluated by measurement. The measurement shows reflection less than  $-10.0 \text{ dB}$ , gain larger than  $7.8 \text{ dBi}$  and beamwidth between  $54^\circ$ – $65^\circ$  over the 60-GHz band with endfire radiation. The antenna showed high gain together with short length of half wavelength in the radiation direction. This antenna also can be integrated with printed circuit board (PCB) and is suitable for mobile terminals such as smart phones and tablets.

**key words:** endfire antenna, metal cap antenna, millimeter wave, slot antenna

## 1. Introduction

Demands for high-speed wireless communication have increased because of widespread mobile terminals such as smart phones and tablets. The 60-GHz band, 57–66 GHz, is allocated worldwide for wireless communication and can be used without license [1]. One of the application is data transfer from a fixed terminal to mobile terminals or between mobile terminals. The feature of the application is that communication partner can be selected spatially by pointing the mobile terminal toward the communication partner. For such application, antennas whose radiation direction is single and parallel to PCB (hereinafter called endfire radiation) are suitable rather than boresight radiation antennas. Furthermore, the beamwidth of two orthogonal planes should be same because we do not know how users hold a wireless terminal.

Two types of millimeter wave endfire antennas constructed on a substrate have been studied: patch array type and aperture type. The patch array includes Yagi-Uda antennas [2]–[4], dipole antennas [5]–[7], a patch antenna [8] and bow-tie antennas [9]. The aperture type antenna includes tapered slot antennas [10], horn antennas [11] and substrate slab antennas [12]. These antennas realize endfire radiation by increasing the number of array elements or their dimension on a substrate. A serious drawback of these antennas is that radiation pattern is disturbed when an object or an

electrical circuit part is placed over the antennas. In [4], shielded Yagi-Uda antennas were proposed that are insensitive to their surroundings. However, the antenna has to be isolated from PCB and so cannot be integrated with PCB. Furthermore, its dimension in the radiation direction is long because endfire radiation is realized by placing array elements in the radiation direction.

We have proposed a metal cap antenna [13] to address these problems. The metal cap antenna is composed of two slots and a waveguide T-junction and fed by a micro strip line (MSL) on a substrate. The metal cap antenna has short length in radiation direction. A metal block behind the antenna does not affect the radiation pattern of the antenna because the electromagnetic field is confined in the waveguide. However, the edge of the substrate has to be machined to insert itself to the metal cap antenna. Measurement results showed degradation in the realized gain and reflection because accurate machining is required.

The main contribution of this paper is to present a simple antenna structure with robustness on fabrication tolerance and effects from surrounding objects in the 60-GHz band. We present a post-wall waveguide (PWW) feed PCB-integratable metal cap antenna [14], which does not require machining a substrate and is robust on fabrication tolerance. The proposed antenna is designed and evaluated by measurement. Different from [14], measurement results of the fabricated antenna are shown and effectiveness of the proposed antenna is presented.

## 2. Antenna Structure

The structure of the proposed antenna is shown in Fig. 1. The antenna is composed of a substrate and a metal cap antenna. The substrate is ceramic-filled PTFE and its thickness is 0.21 mm with  $18\text{-}\mu\text{m}$  copper clad. The dielectric constant and loss tangent of the substrate is 4.1 and 0.0081, which is estimated by measurement written in Sect. 4. The substrate consists of a MSL, a PWW and a MSL-PWW transition to feed the metal cap antenna. A matching post is located in the PWW for reflection canceling. The metal cap antenna is composed of a T-junction and two slots. Power fed by the substrate is divided into two by the T-junction and the two slots are excited with uniform amplitude and phase. Two slots are employed to increase directivity and realize same beamwidth in both E- and H-planes. The substrate is inserted into the metal cap until it touches the metal cap antenna. This structure realizes robustness against fabrication

Manuscript received March 12, 2018.

Manuscript revised June 26, 2018.

Manuscript publicized August 13, 2018.

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DOI: 10.1587/transcom.2018EBP3070

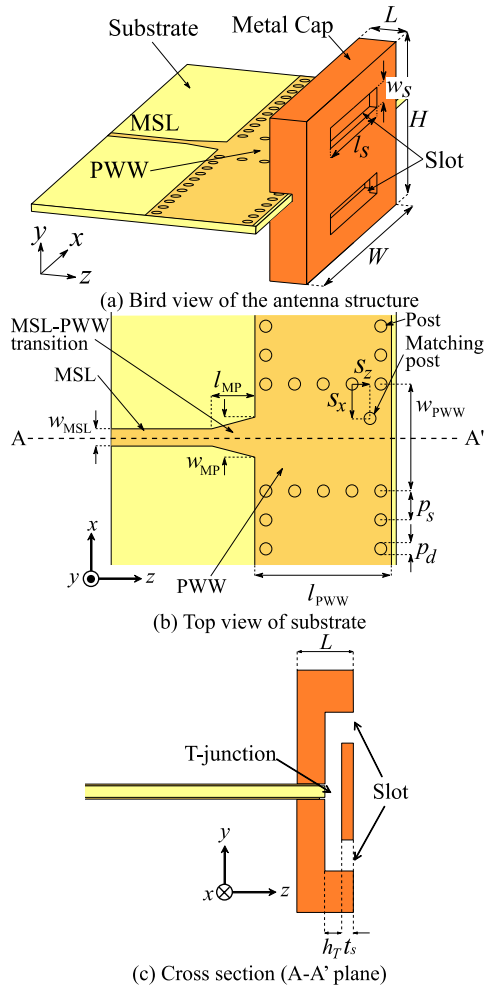


Fig. 1 Post-wall waveguide slot array antenna.

Table 1 Parameters.

Component	Quantity	Symbol	Value (mm)
Slot	Length	$l_s$	4.53
	Width	$w_s$	0.57
	Thickness	$t_s$	0.20
Metal block	Width	$W$	8.01
	Height	$H$	4.45
T-junction	Length	$L$	2.52
	Height of waveguide	$h_T$	0.32
Matching post	x-position	$s_x$	0.72
	z-position	$s_z$	0.38
PWW	Width	$w_{PWW}$	2.32
	Length	$l_{PWW}$	4.05
	Post diameter	$p_d$	0.25
	Post spacing	$p_s$	0.60
MSL	Strip width	$w_{MSL}$	0.356
	Length	$l_{MP}$	0.92
MSL-PWW transition	Strip width at PWW side	$w_{MP}$	0.80

tolerance.

### 3. Design

The metal cap antenna and the feed structure are designed so

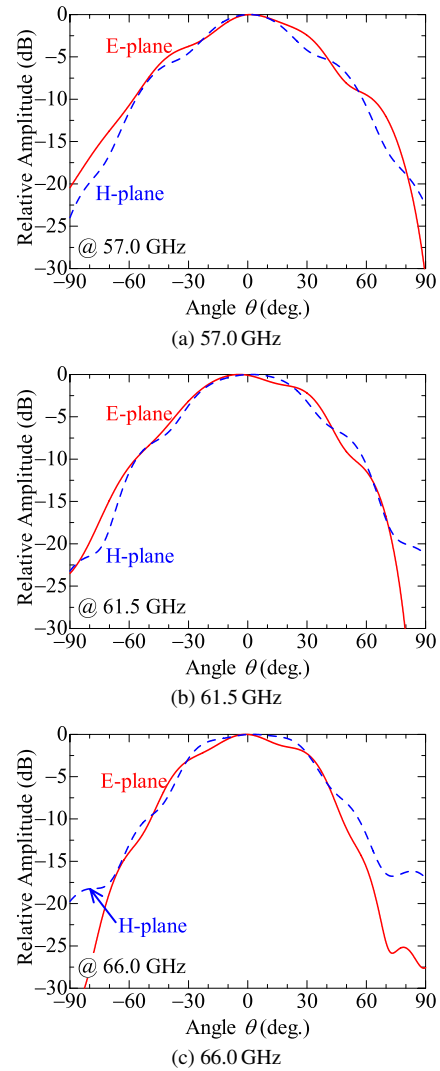


Fig. 2 Simulated radiation pattern.

Table 2 Designed half power beamwidth.

Cut-plane	57 GHz	61.5 GHz	66 GHz
E	55.6°	62.9°	62.8°
H	47.3°	56.9°	62.7°

that low reflection ( $< -10$  dB), endfire radiation and same beamwidth between E- and H-plane are realized over the target bandwidth, 57–66 GHz. HFSS, a simulator based on finite element method, is used for analysis.

### 3.1 Antenna

The antenna is designed as follows. At first, the dimensions of the slots and the external form of the metal cap antenna are determined to satisfy desired radiation characteristics. Next, the dimensions of the T-junction and the matching post are adjusted for broadband low reflection characteristics.

To realize endfire radiation and same beamwidth over the bandwidth, four parameters are adjusted: the metal

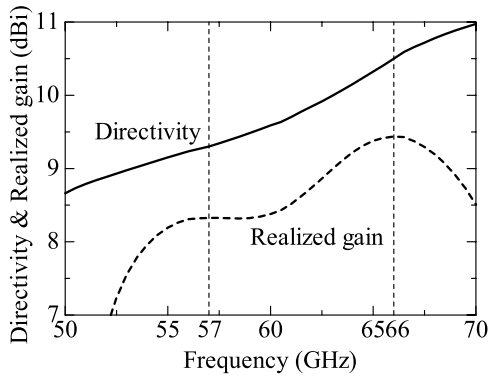


Fig. 3 Frequency characteristic of directivity and realized gain.

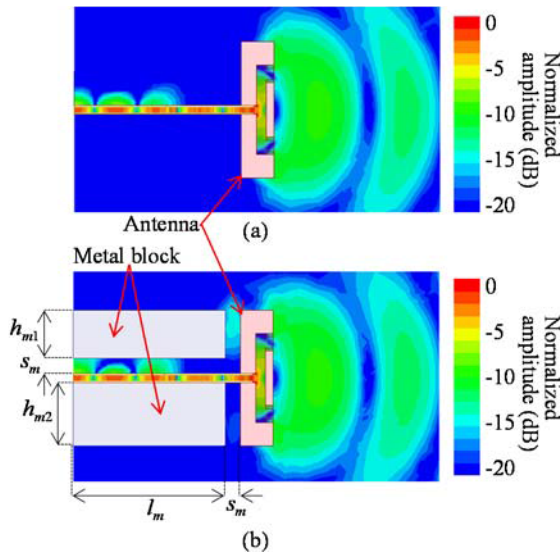
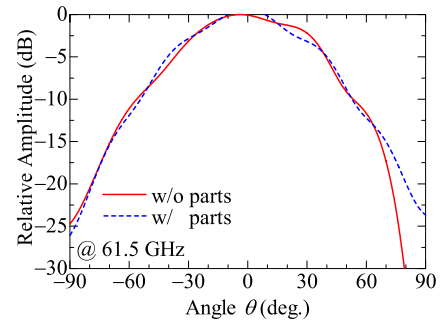


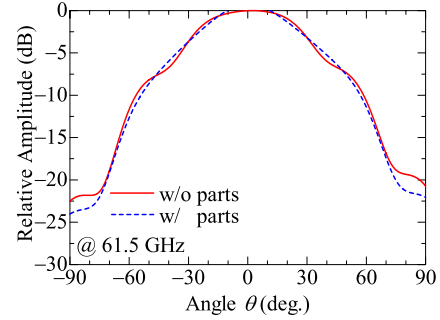
Fig. 4 Electromagnetic field distribution (a) without a metal block, (b) with metal blocks ( $h_{m1} = 1.58$  mm,  $h_{m2} = 2.08$  mm,  $l_m = 4.95$  mm,  $s_m = 0.5$  mm).

block dimensions  $H$ ,  $L$ ,  $W$  and slot length  $l_s$  while element spacing and slot width are kept 2.35 mm ( $0.48 \lambda_0$  at the center frequency) and 0.57 mm, respectively. Designed parameters are shown in Table 1. The slot length is  $0.93 \lambda_0$  at the center frequency. Radiation patterns and beamwidth over the bandwidth are shown in Fig. 2 and Table 2, respectively. The radiation patterns on both E- and H-plane have a single peak and same beamwidth. Frequency characteristics of directivity are shown in Fig. 3. The directivity is more than 9.3 dBi over the target bandwidth.

The antenna with metal blocks behind the antenna is simulated to evaluate the effect of a metal block such as electrical parts or integral circuits. Electromagnetic field distribution of both cases are shown in Fig. 4. Electromagnetic field behind the antenna and above and below the MSL is less than  $-20$  dB. That is why the effect of the metal blocks on the field is small. Employment of the PWG and the waveguide slot structure realizes such low electromagnetic field because all of the electromagnetic field is confined in the PWG or the waveguide. The radiation pattern at the



(a) E-plane



(b) H-plane

Fig. 5 Effect of metal block on radiation pattern.

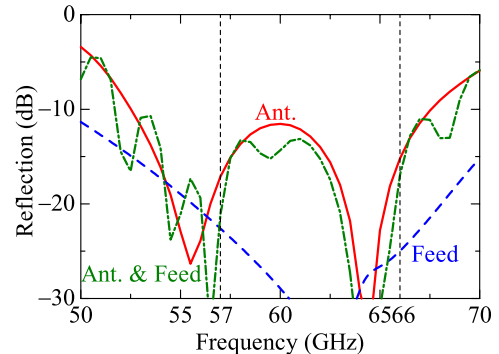


Fig. 6 Frequency characteristic of reflection.

center frequency are shown in Fig. 5. The effect of the metal blocks on the radiation pattern is negligible. Therefore, the antenna is insensitive with a metal block behind the antenna.

To realize broadband characteristics of reflection, four parameters are adjusted: the WG height of the T-junction  $h_T$ , the thickness of the slot plate  $t_s$ , position of the matching post  $s_x$  and  $s_z$ .  $h_T$  is designed as large as possible to decrease the effect of the fabrication tolerance. The matching post works as an inductive element and its inductance increases as  $s_x$  increases [15].  $s_z$  changes the distance between the matching post and the T-junction. The designed parameters are listed in Table 1. Frequency characteristics of reflection and realized gain are shown in Fig. 6 and Fig. 3, respectively. The reflection is less than  $-11.5$  dB and the realized gain more than 8.3 dBi over the target bandwidth. Effect of fabrication tolerance on the reflection is shown in Fig. 7. The reflection is kept less than  $-10$  dB in the tar-

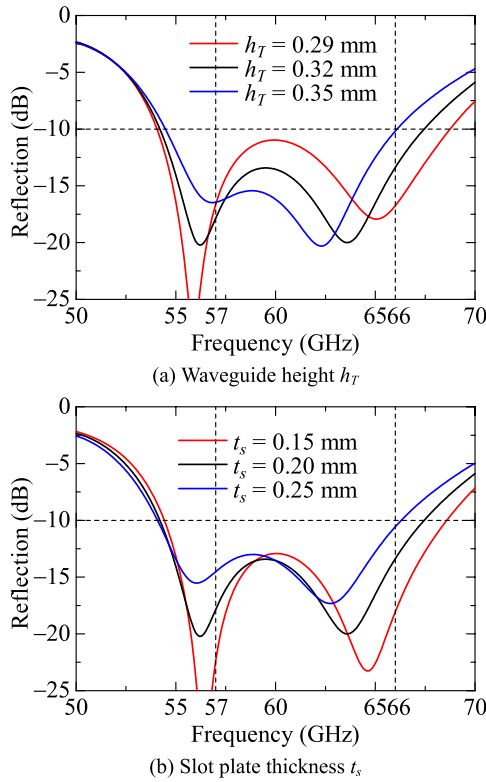


Fig. 7 Effect of fabrication tolerance on reflection.

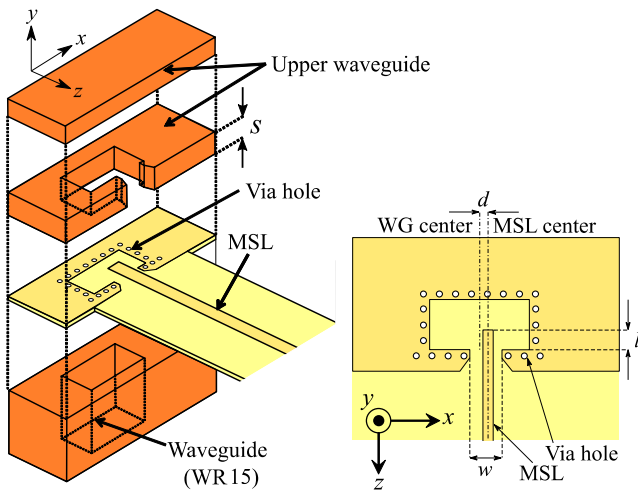


Fig. 8 Waveguide-microstrip line transition.

Table 3 Designed parameters of the feed circuit.

Symbol	Quantity	Value (mm)
$d$	Displacement of MSL center	0.25
$l$	Length of MSL in WG region	0.75
$s$	Back short length of the WG	0.55
$w$	Width of waveguide aperture	1.20

get bandwidth against  $\pm 0.03$ -mm errors of the height of the T-junction  $h_T$  and  $\pm 0.05$ -mm errors of thickness of the slot plate  $t_s$ . The WG height of the T-junction  $h_T$  is increased from 0.20 mm [13] to 0.32 mm. This increment improves

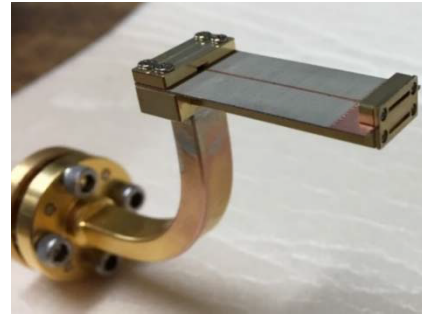


Fig. 9 Fabricated antenna.

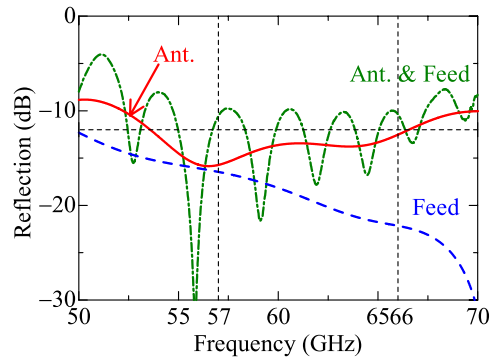


Fig. 10 Measured frequency characteristic of reflection.

robustness on fabrication tolerance.

### 3.2 Feed Circuit

Waveguide to microstrip line (WG-MSL) transition [16] is designed for feed the metal cap antenna. The structure of the transition is shown in Fig. 8. The parameters are designed so that the transmission is maximized. The designed parameters and frequency characteristics of reflection are shown in Table 3 and Fig. 6, respectively. Reflection is less than  $-20.7$  dB over the target bandwidth. Total reflection of the antenna and feed circuit is shown in the same figure. Reflection is suppressed less than  $-13.1$  dB over the target bandwidth.

## 4. Experimental Results

The designed antenna with the feed circuit is fabricated as shown in Fig. 9. The metal cap antenna and a part of the WG-MSL transition is fabricated by machining brass. The metal cap antenna is composed of three parts, which are fixed by screws. The dimension of the metal cap antenna is  $8.0 \times 4.5 \times 2.5$  mm<sup>3</sup>.

Measured frequency characteristics of reflection are shown in Fig. 10. The reflection of the antenna and feed parts was extracted by gating time domain response. The time ranges for the time-gating windows for the feed and the antenna parts are 0 ns to 0.23 ns and 0.23 ns to 0.40 ns, respectively. The reflection of the antenna is less than

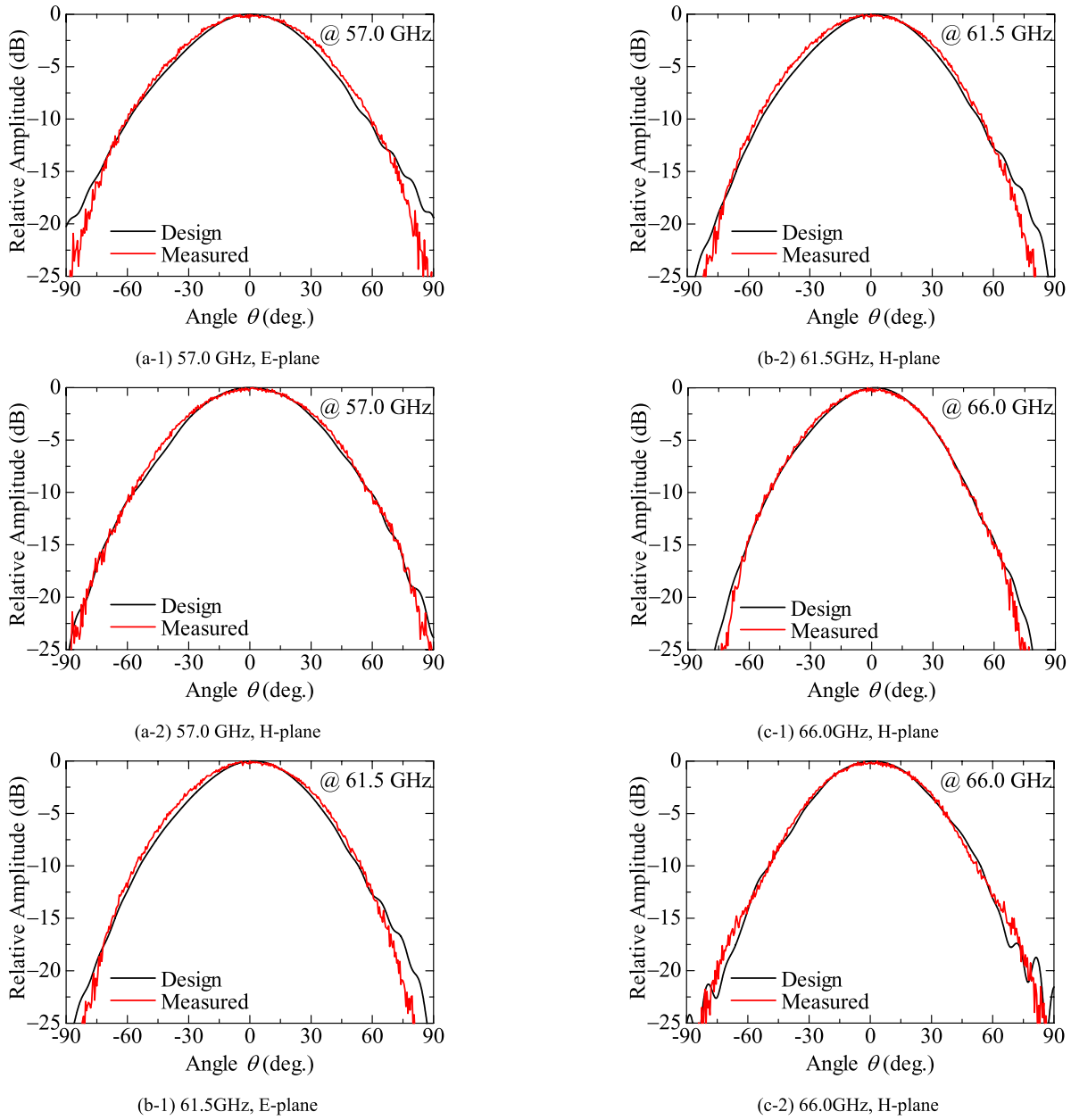


Fig. 11 Measured radiation pattern.

Table 4 Measured half power beamwidth.

Cut-plane	57 GHz	61.5 GHz	66 GHz
E	64.7°	60.6°	54.1°
H	65.3°	63.0°	56.6°

-10.0 dB from 52.2 to 70 GHz. The robustness on the fabrication tolerance is confirmed unlike the measured reflection of the previous antenna [13] which was degraded up to -6.0 dB. 3.3-GHz span ripple is observed in antenna and feed reflection. The span indicates multiple reflection from two points separated by 22.5 mm. The distance between the WG-MSL transition and the antenna is 22.2 mm. Therefore, the ripple is caused by double reflection from the feed and the antenna.

Measured radiation patterns are shown in Fig. 11. The measured patterns agree well with the designed ones. The measured beamwidth is listed in Table 4. The difference of the beamwidth between E- and H-planes is less than 2.5°. The WG-MSL transition is covered by an absorber during the measurement to exclude radiation from the transition.

A back-to-back WG-MSL transition was fabricated as shown in Fig. 12 to estimate insertion loss of the feed circuit and the gain of the antenna itself. Measured frequency characteristics of the insertion loss are shown in Fig. 13. The insertion loss is 1.8 to 2.1 dB in the target bandwidth.  $\tan \delta$  of the substrate is estimated by simulation with different value of  $\tan \delta$  while its conductivity is kept  $2.0 \times 10^7$  S/m. The estimated  $\tan \delta$  of the substrate is 0.0081. The insertion

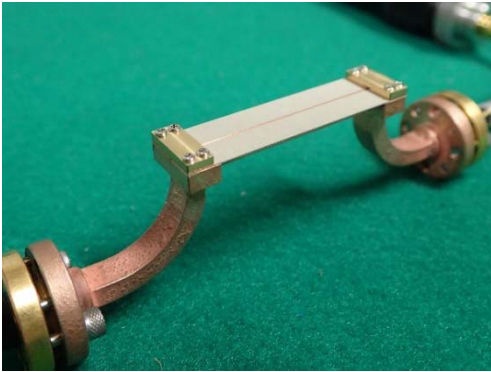


Fig. 12 Fabricated back-to-back WG-MSL transition.

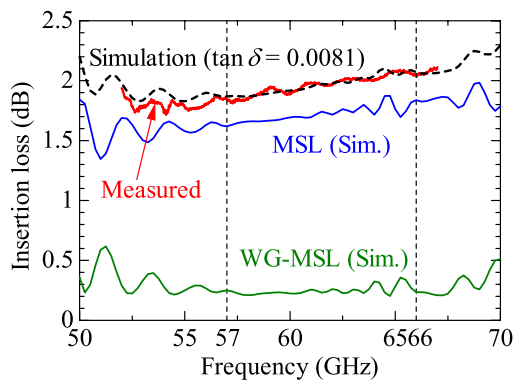


Fig. 13 Frequency characteristics of insertion loss.

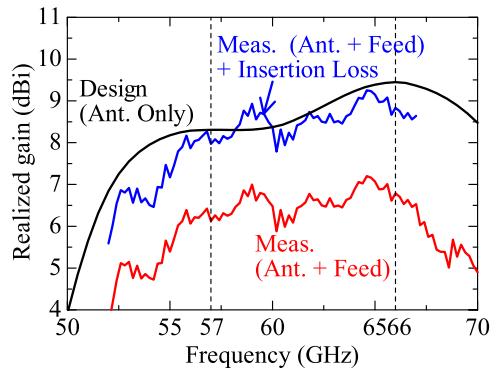


Fig. 14 Frequency characteristic of gain.

loss of WG-MSL transition and MSL is simulated as shown in Fig. 13 and is 0.2 dB and 1.7 dB at 61.5 GHz, respectively. The unit loss of MSL is 0.09 dB/mm. When the antenna is integrated with an integrated chip, the insertion loss can be suppressed by shortening the distance between the antenna and the chip. Another solution to reduce the insertion loss is to use low  $\tan \delta$  substrate such as PTFE or alumina because the unit loss decreases up to 0.03 dB/mm with  $\tan \delta$  of 0.001.

Measured frequency characteristics of gain are shown in Fig. 14. The gain of antenna itself is estimated as sum of the measured gain and the measured insertion loss of the feed circuit as plotted in the same figure. Similar tendency

Table 5 Dimension and performance comparison of the proposed metal cap slot antenna with other 60-GHz band endfire antennas on a substrate<sup>†</sup>.

Ref.	Type	Length (mm)	Width (mm)	Thickness (mm)	Gain (dBi)	Reflection (<-10dB) BW (%)
[8]	Patch array	0.6	18.4	NA	10.9	7.8
This work	Slot array	2.5	8.0	4.5	9.3	29.1
[5]	Dipole array	3.0	12.0	0.3	9.5	26.7
[7]*	Dipole array	3.2	6.5	2.3	9.8	25.8
[6]	Dipole array	3.5	11.32	4.7	13.1	22.1
[12]	Substrate slab	5.0	8.4	1.0	10.0	14.6
[10]	Taper slot array	5.3	2.4	0.1	9.9	25.2
[4]	Yagi-Uda	7.0	8.0	0.3	10.8	17.0
[9]	Bow-tie	7.5	8.5	0.5	12.0	8.1
[11]	Horn	24.0	20.0	1.4	14.6	10.5

of the antenna gain was observed between the designed and estimated ones. The antenna gain is larger than 7.8 dBi in the target bandwidth.

Comparison of 60-GHz band endfire antennas on a substrate is shown in Table 5. The proposed antenna realized the second shortest length in radiation direction and the widest reflection bandwidth with comparable gain. The patch antenna array [7] has the shortest length but it suffers narrow bandwidth to cover entire 60-GHz band. The thickness of the proposed antenna is the second thickest among the antennas. Because radiation elements of the other antennas are exposed, reflection and radiation characteristics are disturbed when an object is placed around the antenna. In [4], it was shown that 5-mm (one free space wavelength) displacement from radiation elements is required not to disturb antenna characteristics. Therefore, the effective thickness of the other antennas are 10 mm or 5 mm (one side of the substrate is metaled). We can conclude that the thickness of the proposed antenna is comparable or less with the other antennas.

## 5. Conclusion

In this paper, we have proposed a metal cap antenna for the 60-GHz band. The dimension of the designed antenna is  $8.0 \times 4.5 \times 2.5 \text{ mm}^3$  and was fabricated by machining a brass block. The antenna has shown robustness on the fabrication tolerance and effects from surrounding objects. The measured reflection is less than  $-10.0 \text{ dB}$  and the realized gain is larger than 7.8 dBi over the target bandwidth. The half power beamwidth on both E- and H-plane is between  $54^\circ$ – $65^\circ$  with endfire radiation over the target bandwidth. The antenna showed high gain together with short length in the

<sup>†</sup>The dimension does not include feed circuit parts. The length is the radiating direction dimension. The width is the dimension perpendicular to both radiation direction and the substrate. The length is the dimension perpendicular to radiation direction and parallel to the substrate.

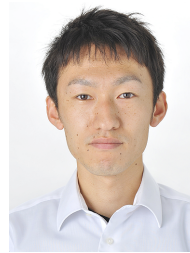
\*The dimensions are scaled down from 28.0 GHz to 61.5 GHz for the comparison.

radiation direction. This antenna also can be integrated with PCB because it is insensitive with metal blocks behind it. The antenna is suitable for mobile terminals such as smart phones and tablets.

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