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1 **Effects of internal erosion on parameters of subloading Cam-clay model**

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17  
18 **Abstract**

19 Internal erosion is widely detected in both natural deposits and earthen structures and potentially causes severe  
20 disasters. Suffusion is one of the modes of internal erosion in which fine particles in the soil are washed out along  
21 with water flow through pores formed by coarse particles. Mechanical consequences of internal erosion,  
22 specifically, suffusion, are not well investigated in term of constitutive modelling. Also, most of the present  
23 constitutive models concerning suffusion are validated by DEM simulations, not by actual soil response observed  
24 in soil tests. In this paper, triaxial seepage tests followed by drained compression on soil with 35% initial fines  
25 content under 50 kPa, 100 kPa and 200 kPa mean stresses are studied to investigate the applicability of the existing  
26 soil model to internally eroded soils. The subloading Cam-clay model is used to simulate the mechanical behaviour  
27 of eroded soils. After confirming that the model can capture key features of uneroded specimens, the evolution of  
28 model parameters with erosion is examined by back analysis of the eroded specimens. From the simulation on the  
29 eroded specimens, evolutions of the slope of normal compression line and initial stress ratio with erosion are  
30 quantified. The changes of model parameters with erosion provide a useful reference for investigating the  
31 mechanical behaviour of granular materials subjected to suffusion.

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33 **Keyword:** internal erosion; suffusion, granular materials; model parameters; subloading Cam-clay model

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## 39 **1. Introduction**

40 Internal erosion happens under the seepage flow, which includes concentrated leak erosion, contact erosion,  
41 backward erosion, and suffusion (Fry, 2012; Fell and Fry, 2013). Among them, suffusion describes the  
42 phenomenon that finer particles are eroded through the voids between the coarse particles by seepage flow. Fannin  
43 et al. (2014) subdivided the suffusion into two; suffosion and suffusion. Suffosion indicates the phenomenon in  
44 which collapse of soil structure happens after the loss of soil mass, while suffusion is a phenomenon where the  
45 soil structure and volume remain unchanged with the gradual loss of soil particles under seepage forces. This type  
46 of internal erosion is widely observed both in natural deposits and in earthen structures. For instance, erosion of  
47 fine fraction, induced by water table change in downtown Milan, was reported and it caused the settlements of  
48 foundations (Cividini et al. 2009). Two sinkholes appeared in WAC Bennett Dam due to the transportation of fine  
49 fraction toward downstream for many years (Muir Wood & Maeda 2008) and Wilson et al. (2018) noted that levee  
50 and dam failures occurred due to continuing soil erosion by the subsurface flow. In this paper, suffusion (without  
51 distinction to suffosion) is taken up and the term “internal erosion” is used to describe suffusion type of erosion.

52 Study on constitutive models plays a significant role in understanding the mechanical behaviour of internally  
53 eroded soils. Since the loss of fine particles changes the particle size distribution, Muir Wood et al (2010) proposed  
54 the grading state index. This index denoted the ratio of current grading area and limiting grading area on the  
55 particle size distribution curves, which could be incorporated into the formulation of state parameter. In their study,  
56 mechanical behaviour of eroded soils was simulated by Severn-Trent sand model with considering the effect of  
57 grading change and was validated by DEM analysis. Hicher (2013) proposed a micromechanical method to  
58 simulate the stress-strain relationship of eroded granular materials. Similar mechanical trends of granular soils  
59 subjected to internal erosion could be obtained by both proposed approach and DEM simulation, and a  
60 phenomenon called diffuse failure was observed in the eroded soils through both simulation results and  
61 experimental evidence. Considering four effects of internal erosion, i.e., loosening, force network relaxation, force  
62 network damage and critical state change, Wang and Li (2015) investigated the impacts of erosion mass  
63 percentage, the current mean stress and deviatoric stress on these effects. In their study, in the light of DEM  
64 simulation, incremental equations of void ratio, mean stress, deviatoric stress, volumetric strain, and specific  
65 volume were established with independent variables such as erosion mass percentage, mean stress and deviatoric  
66 stress. Their theoretical results calculated from state-based constitutive model considering four effects agreed well  
67 with the DEM simulation results.

68 Recently, many experimental investigations have been carried out on the stress-strain behaviour of internally  
69 eroded soils (Chang et al. 2014; Ke & Takahashi 2014; Ke & Takahashi 2015; Ouyang & Takahashi 2015; Li et  
70 al. 2017; Mehdizadeh et al. 2017). However, up to now, most of the constitutive models for erosion considered  
71 particle removal of granular soils and were validated by DEM results and limited number of constitutive models  
72 have been validated by results from laboratory experiments. There remains a need for further investigations on  
73 the variations of model parameters caused by internal erosion with refer to experimental evidences. In this paper,  
74 firstly, seepage-induced erosion tests under different mean stresses are described. These tests show the erosion-  
75 induced variations of basic properties such as particle size distribution, fines content, and void ratio. Then, the  
76 mechanical behaviour of uneroded and eroded soils under drained triaxial compression tests are compared.  
77 Secondly, after confirming the simulation capability of the subloading Cam-clay model for uneroded specimens,  
78 the mechanical response of eroded soils is simulated using the same model. Finally, the evolution of key  
79 parameters with erosion is examined and analysed.

## 80 **2. Experimental Investigations**

81 Ke and Takahashi (2015) performed triaxial seepage tests to understand the mechanical behaviour of soils  
82 subjected to internal erosion. The apparatus consists of three units; constant-flow-rate control unit, triaxial unit,  
83 and eroded soil collection unit (Ke & Takahashi 2014). Independent control of hydraulic condition and stress state  
84 of soil samples is available in this apparatus. This apparatus allows measuring cumulative eroded soil mass, axial  
85 load, axial strain, radial strain, and pore water pressure.

86 The specimens were mixtures of Silica No.3 (coarse particles) and Silica No.8 (fine particles). The properties of  
87 these silica sands used are summarised in Table 1. For both uneroded and eroded specimens, initial fines content  
88 (Silica No.8 is regarded as fines for simplicity and fines content in this paper is defined as the mass ratio of Silica  
89 No.8 to the total soil) was 35%; confining pressures were 50kPa, 100kPa, and 200kPa. The downward flow was  
90 applied to the specimens by the constant-flow-rate control unit. The inflow rate of 310 mL/min was selected,  
91 which could cause significant fines loss. The whole inflow process was divided into three stages to avoid the  
92 collapse of structures formed by particles as shown in Fig. 1. In stage 1, flow rate increment was 10 (mL/min)/min  
93 for 10 minutes until the flow rate reached 100 mL/min. In stage 2, the flow rate increment was 50 (mL/min)/min  
94 for 4 minutes until the flow rate reached 300 mL/min. Finally, in stage 3, the flow rate was increased to the target  
95 value (310 mL/min) and the flow rate was kept constant for at least three hours.

96 During the seepage tests, downward discharge effluent along with fine particles flowed into the eroded soil  
97 collection unit through a pipe. The cumulative fine particles remained in the light tray were continuously measured  
98 by a high sensitive waterproofed load cell. Figure 2 illustrates post-erosion grading curves under different mean  
99 stresses (50kPa, 100kPa, 200kPa). Comparing with the initial grading curve, post-erosion grading curves shift  
100 downward clearly in the fine fraction. Amount of this shifting of grading curves depends on the applied mean  
101 stress. Uniformity coefficient and curvature coefficient for the uneroded and eroded specimens are calculated and  
102 summarised in Table 2. Both curvature coefficient and uniformity coefficient varied dramatically due to erosion.

103 Figure 3 presents erosion-induced changes of fines content for the different initial mean effective stresses. For  
104 50kPa initial mean effective stress, the specimen experienced the largest fines content loss, and the fines content  
105 decreased from 35% to 13.1%. With the larger initial mean effective stress, the fines loss was less compared to  
106 the case with 50kPa initial mean effective stress as shown in the figure. Bendahmane et al. (2008) observed that  
107 the increase in the confining pressure would result in decrease in the maximum erosion rate. The larger initial  
108 mean effective stress may have caused the tighter interlocking between particles, which made it more difficult for  
109 small particles to migrate under seepage flow. The same tendency can be seen in the tests reported here. Before  
110 the seepage test, the void ratio was almost the same for all the cases (cf. Fig. 4). The internal erosion made the  
111 void ratio larger, especially in the case with 50kPa initial mean effective stress and the void ratio increased from  
112 0.55 to 1.01. After the seepage tests, the measured volumetric strain was ranged from 2.5% to 4%.

113 A series of drained triaxial tests were carried out for both uneroded and eroded specimens under 50kPa, 100kPa  
114 and 200kPa confining stresses (ASTM D7181-11 2012). These tests were strain-controlled with the axial strain  
115 rate of 0.1%/min. Figure 5a shows the changes of deviatoric stress with axial strain. For the same initial confining  
116 pressure, deviatoric stress of specimen with erosion is smaller than that without erosion at the relatively large axial  
117 strain level. However, deviatoric stress of specimen with erosion is larger than that without erosion when the axial  
118 strain is small (less than 1%, see Fig. 5b). Because the new arrangement of fine particles after erosion may have  
119 strengthened the specimens, the stiffness of the eroded specimens are larger than that of the uneroded specimen.  
120 However, the new arrangement collapsed with straining, resulting in the smaller secant stiffness at the larger strain  
121 level. As for the volumetric strain change, no large difference can be seen among the cases, but the volume change  
122 for the eroded specimens are slightly smaller than that of the uneroded one (Fig. 5c).

### 123 **3. Constitutive model**

#### 124 **3.1 Model description**

125 Hashiguchi (1989) proposed the concept of subloading surface, which can describe the plastic deformation of a  
 126 material even inside the normal yield surface. The current stress state point is always on the subloading surface  
 127 and the normal yield surface can expand or contract with the movement of the subloading surface. The subloading  
 128 surface is geometrically similar to Cam-clay (normal) yield surface as shown in Fig. 6.

129 In the  $p$ - $q$  space, the yield function of the normal yield surface can be expressed as

$$130 \quad f = C_p \ln \frac{p^*}{p_N} + D \frac{q^*}{p^*} = 0 \quad (1)$$

131 where  $C_p = \frac{\lambda - \kappa}{1 + e_0}$ ,  $D = \frac{C_p}{M}$  (Zhu et al. 2013),  $\lambda$  is the slope of normal compression line in  $e$ - $\ln p$  space,  $\kappa$  is the  
 132 slope of swelling line in  $e$ - $\ln p$  space.  $p$  is mean effective stress (all mean stresses  $p$  in this paper represent mean  
 133 effective stresses) and  $q$  is deviatoric stress.  $M$  is the slope of the critical state line in  $p$ - $q$  space,  $e_0$  is the initial  
 134 void ratio.  $p_S$  and  $p_N$  are intersection points of the subloading and normal yield surfaces and mean effective stress  
 135 axis.  $p^*$  and  $q^*$  are mean effective stress and deviatoric stress on the normal yield surface, respectively.

136 The current stress state ( $p, q$ ) is on the subloading surface. By considering the concept of subloading surface, Eq.  
 137 (1) can be rewritten as:

$$138 \quad f = C_p \left[ \ln \frac{p}{p_0} - \left( \ln \frac{p_N}{p_0} - \ln \frac{p_N}{p_S} \right) \right] + D \frac{q}{p} = 0 \quad (2)$$

139 where  $p_0$  is the reference pressure (98kPa). The plastic volumetric strain caused by isotropic compression from  $p_0$   
 140 to  $p_N$ , is expressed as

$$141 \quad \varepsilon_v^p = C_p \ln \frac{p_N}{p_0} \quad (3)$$

142 where  $R = \frac{p_S}{p_N}$  is stress ratio that corresponds to the size ratio of subloading surface to normal yield surface, and

143 is also the reciprocal of over consolidation ratio. By using Eq. (3), the subloading surface can be written as

$$144 \quad f = C_p \ln \frac{p}{p_0} - \varepsilon_v^p - C_p \ln R + D \frac{q}{p} = 0. \quad (4)$$

145 Since the current stress state point has to be on the subloading surface all the time, the following consistency  
 146 conditions has to be satisfied:

147 
$$df = \frac{\partial f}{\partial p} dp + \frac{\partial f}{\partial q} dq + \frac{\partial f}{\partial R} dR - \frac{1}{C_p} d\varepsilon_v^p = 0. \quad (5)$$

148 When associated flow rule is adopted to the subloading surface, the plastic strain increments can be calculated as

149 
$$d\varepsilon_v^p = \Lambda \frac{\partial f}{\partial p}, \quad d\varepsilon_q^p = \Lambda \frac{\partial f}{\partial q} \quad (6)$$

150 where  $\Lambda$  is the plastic multiplier (non-negative). The evolution rule of  $R$  is as follows (Hashiguchi, 1989).

151 
$$dR = U d\varepsilon_q^p \quad (7)$$

152 where  $U = -m_R \ln R \cdot \frac{1}{D}$ , and  $m_R$  is a material constant.

153 By substituting Eq. (6), (7) into (5),  $\Lambda$  can be obtained:

154 
$$\Lambda = \frac{\frac{\partial f}{\partial p} dp + \frac{\partial f}{\partial q} dq}{\frac{1}{R} \frac{\partial f}{\partial q} + \frac{1}{C_p} \frac{\partial f}{\partial p}}. \quad (8)$$

155 From this plastic multiplier, the following constitutive equation can be obtained:

156 
$$\begin{pmatrix} dp \\ dq \end{pmatrix} = \mathbf{D}_{ep} \begin{pmatrix} d\varepsilon_v \\ d\varepsilon_q \end{pmatrix}. \quad (9)$$

157 The elasto-plastic stiffness matrix in Eq. (9) can be written as,

158 
$$\mathbf{D}_{ep} = \mathbf{D}_e - \frac{\mathbf{D}_e \partial \mathbf{f} \partial \mathbf{f}^T \mathbf{D}_e}{\partial \mathbf{f}^T \mathbf{D}_e \partial \mathbf{f} + H} \quad (10)$$

159 where  $\mathbf{D}_e = \begin{bmatrix} K & 0 \\ 0 & 3G \end{bmatrix}$ ,  $\partial \mathbf{f}^T = \left\{ \frac{\partial f}{\partial p} \quad \frac{\partial f}{\partial q} \right\}$ ,  $K$  is bulk modulus and  $G$  is shear modulus.  $G$  and  $K$  can be

160 expressed by the following equations (Richart et al. 1970):

161 
$$G = G_0 \frac{(2.97 - e)^2}{1 + e} \sqrt{pp_a} \quad K = G \frac{2(1 + \nu)}{3(1 - 2\nu)} \quad (11)$$

162 where  $G_0$  is initial shear modulus,  $e$  is void ratio,  $p_a$  is the atmosphere pressure (98kPa),  $\nu$  is Poisson's ratio.

163  $\varepsilon_v^p$  and  $R$  are hardening parameters and the hardening function can be written as

164

$$H = \frac{1}{R} \frac{\partial f}{\partial q} + \frac{1}{C_p} \frac{\partial f}{\partial p}. \quad (12)$$

165 **3.2 Model validation for uneroded soil**

166 Key parameters in the model above are  $\lambda$ ,  $\kappa$ ,  $M$ ,  $e_0$ , and initial value of  $R$ . Isotropic compression test has been  
 167 conducted on uneroded specimens with 35% initial fines content. The specific volume variation with mean  
 168 effective stress is plotted in Fig. 7. As the normal compression line (NCL) is not straight along with the mean  
 169 effective stress, it is divided into two distinct regions. The first one is the elastic rebound curve under the low  
 170 stress while the other is elasto-plastic linear compression curve under the higher stress (Gregory et al. 2006). Since  
 171 the greater part of the normal compression curve is approximately straight for the soil used, the slope of the normal  
 172 compression line is expressed as  $\lambda$  ( $= \frac{e_1 - e_2}{\ln(p_2 / p_1)}$ ). The value of  $\lambda$  can be estimated by the fitting of the  
 173 higher-pressure part of the compression curve. Since the swelling line (SL) is a straight line, the slope of the  
 174 swelling line ( $\kappa$ ) can be directly fitted. As the estimated preconsolidation pressure ( $p_c$ ) is 70 kPa, the initial stress  
 175 ratio ( $R$ ) for the case with 50kPa confining pressure is estimated as 0.71 (see Fig. 7) and is set 1.0 for the other  
 176 cases. Poisson's ratio is assumed 0.2 for all the tests.

177 When deviatoric stress of a specimen shows constant value with increasing the axial strain and keeping volume  
 178 constant, the soil can be regarded as in the critical state and  $M$  (stress ratio at the critical state) can be determined  
 179 from the effective stress paths (Fig. 8). The stress-strain curves (cf. Fig. 5) show that the deviatoric stress increases  
 180 gradually and reaches a peak value with the axial strain. However, as the experiments were terminated at the axial  
 181 strain from 12% to 18%, the samples have not reached the critical state. To estimate the deviatoric stress at the  
 182 critical state, the fitting with a hyperbolic function was proposed (Ferreira & Bica 2006),

183

$$q = \frac{\varepsilon_a}{a_0 + b_0 \varepsilon_a} \quad (13)$$

184 where  $a_0$  and  $b_0$  are constants, which can be determined by the fitting.  $1/b_0$  is regarded as the deviatoric stress at  
 185 the critical state. For the drained triaxial compression tests, the slope of the stress path is three in the  $p - q$  space  
 186 (Fig. 9). The slope of the critical state line ( $M$ ) can be estimated by the parameter  $b_0$  above. For triaxial  
 187 compression tests, the angle of shearing resistance ( $\varphi$ ) can be obtained from Eq. (14),

188

$$M = \frac{6 \sin \varphi}{3 - \sin \varphi} \quad (14)$$



189 Based on the back analysis, we estimate the parameter for the evolution of  $R$  as  $m_R = 0.1$  and  $G_0$  is estimated as  
190 100 MPa. Parameters used in the simulations are summarised in Table 3. Simulation results are plotted in Fig. 10  
191 (Sim. represents simulation results and Exp. represents experiment results). From Fig. 10 it can be said that the  
192 subloading Cam-clay model can reasonably capture the features of uneroded specimens under drained triaxial  
193 compression tests. Thus, it is decided to use the subloading Cam-clay model for simulation of post-erosion  
194 specimens.

## 195 **4. Results and discussions**

### 196 **4.1 Fines content and particle packing**

197 Depending on the function of fines in binary packing, the states of soils with different fines contents are classified  
198 into either coarse particles dominated or fine particles dominated (Lade et al. 1998). In the coarse particles  
199 dominated case, coarse particles bear most of the forces and both maximum void ratio ( $e_{\max}$ ) and minimum void  
200 ratio ( $e_{\min}$ ) decreases with increase of the fines content (Andrianatrehina et al. 2016). When the voids of coarse  
201 particles are full of fine particles, the transitional fines content ( $FC_t$ ) is reached (Yang et al. 2005). In the condition  
202 of fine particles dominated, fines isolate coarse particles and constitute a network, which determines the  
203 mechanical behaviour of soils. Zuo and Baudet (2015) summarised many methods for the determination of  $FC_t$ ,  
204 including the minimum value of  $e_{\max}$  and  $e_{\min}$ , the minimum value of vertical intercepts of normal compression  
205 line or critical state line at a certain mean stress in the specific volume – the logarithm of the mean effective stress  
206 space, the minimum number of cycles to liquefaction and so on. In this paper,  $FC_t$  is determined from the minimum  
207 value of  $e_{\max}$  and  $e_{\min}$ . Figure 11 presents variations of maximum void ratio and minimum void ratio against fines  
208 contents of the binary silica sands. The  $FC_t$  is determined to be 35%, at which both maximum and minimum void  
209 ratios show a trough. When fines content is smaller than  $FC_t$ , coarse particles dominate the mechanical behaviour  
210 of binary mixed soils. The fines merely fill the void space formed by the coarse particles when the fines content  
211 is between zero and  $FC_t$ . When the fines content is larger than  $FC_t$ , fine particles play a greater role in the soil  
212 structure.

### 213 **4.2 Influence of fines content on the angle of shearing resistance**

214 Table 4 summarises the previous studies on the variations of fines contents on the soil shear strength. It is apparent  
215 that there are both positive correlation and negative correlation between fines content and shear strength, which  
216 means the shear strength or angle of the shear resistance is also affected by other factors, such as void ratio,  
217 mineral composition, particle shape, particle size distribution, the method of specimen preparation and so on. For  
218 Samples 6 and 7, shearing tests on eroded soils were also conducted. They were prepared by binary poorly graded

219 sands, after a certain time period of seepage flow, both angles of shearing resistance at peak and at critical state  
220 became smaller with the loss of fines.

221 The initial fines content ( $FC_0$ ) is 35% for all specimens in this study. Fines contents ( $FC$ ) of eroded specimens  
222 under 50kPa, 100kPa, and 200kPa initial mean effective stresses are summarised in Table 5. We obtain the angles  
223 of shearing resistance ( $\varphi$ ) at the critical state (Table 5) and the relationship between the angle of shearing  
224 resistance and fines content is fitted as a linear function (Fig. 12) with the equation shown below,

$$225 \quad \varphi = a_1 \cdot FC + b_1 \quad (15)$$

226 where  $a_1=16.2$ ,  $b_1=34.8$ . Specimens in this study are classified as coarse particles dominated soils because fines  
227 content is smaller than 35% after erosion. The angle of shearing resistance at the critical state decreases with the  
228 decrease of fines content, which is the same as the previous results (Samples 9 and 10) described above.

229 Here, only the fines content is considered as an explaining variable. However, as the seepage-induced erosion not  
230 only makes the fines content smaller but also makes the void ratio larger, this decrease of the angle of shearing  
231 resistance with the decrease of the fines content may have been also affected by the increase of the void ratio.  
232 Since the separation of these effects cannot be made in the experiment, the contribution of the void ratio increase  
233 cannot be explicitly expressed in this study. Presumably, the impact of the loss of fines by erosion is not large on  
234 change in the angle of shearing resistance.

#### 235 **4.3 Influence of erosion on the slope of the NCL ( $\lambda$ )**

236 Isotropic compression tests have been conducted on both eroded and uneroded specimens, and curves of specific  
237 volume changes with mean effective stress are plotted in Fig. 13. Eroded specimen refers to the sample remaining  
238 13% fines content after erosion under 50kPa mean stress with 35% initial fines content. The eroded specimen was  
239 firstly loaded to 100kPa, and then was unloaded down to 20 kPa. Isotropic loading was then conducted until the  
240 load reached 200 kPa, after which the eroded specimen was unloaded to 20 kPa again. At last, the isotropic  
241 compression was given to the specimen up to 300 kPa. The uneroded specimen had 35% initial fines content. The  
242 isotropic compression started from 20kPa. Firstly, the specimen was loaded to 100 kPa. Secondly, the specimen  
243 was unloaded to 20 kPa, after which the specimen was loaded to 200 kPa. Then, the specimen was unloaded to  
244 20 kPa again. Finally, the isotropic compression was given to the specimen up to 300 kPa (see Fig. 13). By erosion,  
245 the normal compression line is shifted upward and its slope increases. However, we can observe that the swelling

246 line of the eroded specimen is almost parallel to that without erosion, which means that erosion has a minor effect  
247 on the slope of swelling line (Fig. 13).

248 Comparing to the variations of the slope of swelling line ( $\kappa$ ) with erosion, marked change in the slope of normal  
249 compression line ( $\lambda$ ) can be seen. Therefore, within the scope of this study, it is reasonable to ignore the erosion-  
250 induced change of  $\kappa$ . Based on the observation in the isotropic compression tests, we estimate the values of  $\lambda$  and  
251  $R_0$  with different fines loss from back analysis for simulation on the eroded specimens. In this paper, the increase  
252 of stiffness in small strain level for eroded specimens in the deviatoric stress-axial strain curve obtained in the  
253 experiment is ignored for simplicity. The  $G_0$  is assumed unchanged during the simulation for both uneroded and  
254 eroded specimens based on the observation above.

#### 255 **4.4 Simulation of eroded specimens and evaluation of the slope of the NCL ( $\lambda$ )**

256 Figure 14 shows the simulation results for the eroded specimens. Here,  $\lambda$  and  $R_0$  are considered to be fitting  
257 parameters. The numerical simulation can capture the basic features of eroded specimens under the drained triaxial  
258 compression. The predicted deviatoric stress is smaller than the experimental one at the smaller strain level, which  
259 could be due to the rearrangement of soil particles after seepage test. This rearrangement would have reinforced  
260 the soil structure in the experiment. However, at the larger strain level, the predicted deviatoric stress is larger  
261 than the experimental result. It is supposed that the structure formed has been destroyed under the larger deviatoric  
262 stress, in other words, interlocking formed by clogged fines may have been broken in this stage in the experiment,  
263 but this appears less in the simulation. The predicted volumetric strains under 50kPa, 100kPa mean stresses are  
264 almost the same with the experimental ones at the larger strain level. The final axial strain under 200 kPa mean  
265 stress stops around 11%, at which the predicted volumetric strain is larger than the experimental result. However,  
266 the predicted and experimental volumetric strains under 200kPa may well be similar in the larger axial strain.  
267 When it comes to the volumetric strains under all mean stresses in the axial strain from 0-10%, all volumetric  
268 strains are overestimated. This is presumably because the Poisson's ratio is kept unchanged during the simulation.  
269 Parameters obtained from this back analysis are summarised in Table 6.

270 The slope of the normal compression line ( $\lambda$ ) can be estimated with a linear empirical equation with a single soil  
271 parameter such as liquid limit for clay. For high plastic soils, as both water content and void ratio have a linear  
272 relationship with liquid limit, they can also be used for estimation of the slope of the normal compression line  
273 (Al-Khafaji & Andersland 1992). The compression of sands is mostly affected by its fabric and particle re-  
274 orientation (Sowers 1979). For both low plastic soils and high plastic sands and silts, Sower (1979) found the

275 linear relationship between void ratio and compression index. The similar expression will be used later to examine  
276 the relationship between the the slope of normal compression line and the void ratio before shearing.

277 During the process of erosion, the loss of fines may result in the larger void ratio, accompanied by the  
278 rearrangement of particles. Soil with the larger void ratio may produce larger volume change under isotropic  
279 compression. Both fines content and void ratio may have effects on the compression index. Here it is assumed  
280 that the void ratio has a greater impact on the compression index compared with fines content and the void ratio  
281 is chosen as an explaining variable in the formulation of compression index. The values of void ratio before  
282 shearing and slope of normal compression line for both uneroded and eroded specimens are summarised in Tables  
283 3 and 6, and the relationship between the slope of normal compression line and void ratio before shearing is fitted  
284 as shown in Fig. 15 with the equation below,

$$285 \quad \lambda = a_2 \cdot e_0 + b_2 \quad (16)$$

286 where  $a_2=0.045$ ,  $b_2=0.028$ . The slope of the normal compression line increases with increasing void ratio due to  
287 erosion.

#### 288 **4.5 Influence of initial void ratio before shearing on the initial stress ratio**

289 The initial stress ratio ( $R_0$ ) for the eroded soil is estimated by back analysis. The overconsolidation properties of  
290 the soils can be examined by the reciprocal of the initial stress ratio. As the initial stress ratio denotes the size ratio  
291 of the initial subloading surface to the initial normal yield surface, the smaller value of initial stress ratio  
292 corresponds to the larger overconsolidation ratio or highly structured nature of the soil.

293 Both fines content and void ratio change with seepage. The fine particles continue decreasing and tend to be  
294 unchanged under constant flow rate. The void ratio of the soils increase gradually, during which the new  
295 arrangement is formed. Hájek et al. (2009) selected void ratio as the state variable to simulate the behaviour of  
296 soils with different over consolidation ratio. It is reasonable to select the initial void ratio to be explaining variable  
297 for the initial stress ratio in this paper. Both coarse and fine particles take part in the force chain when the fines  
298 content is around 35%. However, with increase of the void ratio, more coarse particles take part in the force chain.  
299 In this case, more pressure may act on the supporting structures (Hanna and Romhein 2008), which causes an  
300 increase in overconsolidation ratio. The interlocking prior to shearing seems stronger after erosion along with  
301 increase in the void ratio, which also makes the overconsolidation ratio larger (Mahmoudi et al. 2018). Figure.16  
302 plots the estimated initial stress ratio against the initial void ratios before shearing for different confining pressures.

303 With the increase of the initial void ratio, the initial stress ratio decreases, which means the internal erosion makes  
304 the overconsolidation ratio larger or makes the soil highly structured condition.

## 305 **5. Summary and conclusions**

306 Triaxial seepage tests followed by drained compression are studied to investigate the applicability of the existing  
307 soil model to internally eroded soils. The subloading Cam-clay model is used to simulate the mechanical behaviour  
308 of eroded specimens. After confirming that the model can capture key features of uneroded specimens, the  
309 evolution of model parameters with erosion is examined by back analysis of the eroded specimens.

310 It is identified that the slope of the normal compression line ( $\lambda$ ) and initial stress ratio ( $R_0$ ) are the key parameters  
311 to characterise the internal erosion effects on the mechanical behaviour of the gap-graded sandy soil. Through  
312 back analysis, evolutions of the slope of normal compression line and initial stress ratio are quantified. Since the  
313 larger void ratio soil exhibits the larger volume change, the initial void ratio is selected as an explaining variable  
314 for the slope of the normal compression line and it is found that the slope of normal compression line has a positive  
315 correlation with the initial void ratio before shearing. The initial void ratio can also be explaining variable for the  
316 initial stress ratio and it is found that the initial stress ratio decreases with the increase of initial void ratio before  
317 shearing. This means that the internal erosion makes the overconsolidation ratio larger or makes the soil highly  
318 structured condition.

319 In the experiments, the eroded soils show a sudden change in the deviatoric stress in the smaller axial strain level  
320 (less than 1%). In the present study, this feature is ignored for simplicity. However, as this may be associated with  
321 the reinforcing effect of the clogged fines (Ke & Takahashi 2015), this effect needs further study in the future.

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**Table 1** Property of silica sands

Property	Silica No.3	Silica No.8
Specific gravity	2.63	2.63
Median particle size (mm)	1.72	0.16
Effective particle size (mm)	1.37	0.087
Maximum void ratio	1.009	1.333
Minimum void ratio	0.697	0.703

**Table 2** Details of particle sizes, curvature coefficient and uniformity coefficient

Specimens	$D_{10}$	$D_{30}$	$D_{60}$	$C_u$	$C_c$
35 N50	0.10	0.21	1.73	17.3	0.25
35 E50	1.15	1.60	1.88	1.63	1.18
35 E100	0.21	1.55	1.88	8.95	6.09
35 E200	0.10	1.28	2.02	20.2	8.11

**Note:** Specimens named with “35” refer to the initial fines content is 35%. “50, 100, 200” indicate the initial mean stress, 50kPa, 100kPa, 200kPa respectively. “E” means eroded specimens, while “N” represents sample without erosion.

**Table 3** Parameters for specimens without erosion

Specimens	$\lambda$	$\kappa$	$M$	$R_0$	$e_0$
35N-50	0.052	0.014	1.65	0.71	0.55
35N-100	0.052	0.014	1.65	1.0	0.56
35N-200	0.052	0.014	1.65	1.0	0.54

**Table 4** Influence of fines content on shear strength

Sample	Drained/ Undrained	Ranges of fines content	Types of fines	Positive/Negative Correlation	Reference
1	Undrained	3%-18%	Plastic	Negative	Ishihara 1993
2	Undrained	10%-40%	Plastic	Negative	Pitman et al. 1994
2'	Undrained	0%-40%	Non-plastic	Positive	Pitman et al. 1994
3	Undrained	12%-27%	Non-plastic	Negative	Thevanayagam et al. 1997
4	Undrained	6%-27.5%	Plastic	Negative	Yin 1999
5	Undrained	0%-30%	Non-plastic	Negative	Chien et al. 2002
6	Undrained	0%-20%	Non-plastic	Positive	Ni et al. 2004
6'	Undrained	0%-20%	Plastic	Negative	Ni et al. 2004
7	Undrained	0%-15%	Non-plastic	Positive	Murthy et al. 2007
8	Undrained	0%-50%	Non-plastic	Negative	Belkhatir et al. 2010
9	Drained	25%-35%	Non-plastic	Positive	Chang et al. 2014
10	Drained	5%-35%	Non-plastic	Positive	Chen et al. 2016

**Table 5** Influence of fines content on angle of shearing resistance

Specimens	$FC$ (%)	$\varphi$ (°)
35N*	35.0	40.3
35E200	24.6	37.6
35E100	15.5	37.1
35E50	13.1	36.2

**Note:** 35N\* denotes uneroded specimens with 35% initial fines content under 50kPa, 100kPa and 200kPa mean stresses.

**Table 6** Parameters for specimens with erosion

Specimens	$\lambda$	$M$	$R_0$	$e_0$	$FC$ (%)
35 E50	0.072	1.47	0.67	1.01	13.1
35 E100	0.069	1.51	0.83	0.92	15.5
35 E200	0.064	1.53	0.94	0.77	24.6

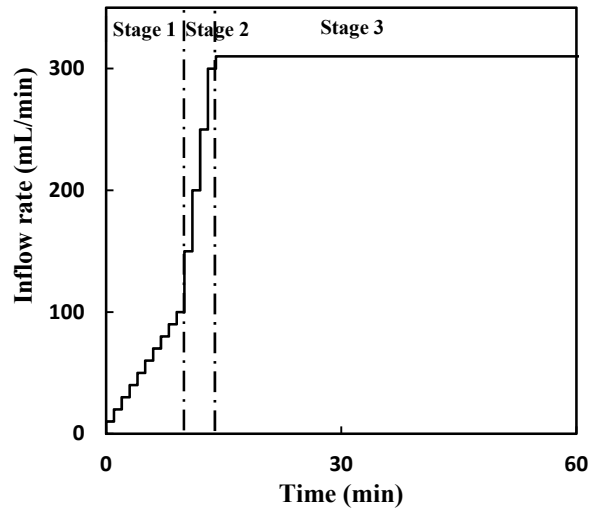


Fig.1 Inflow rate for seepage test

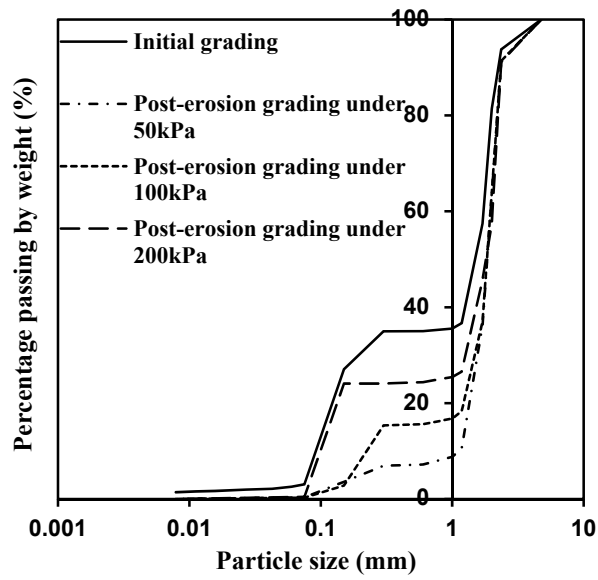
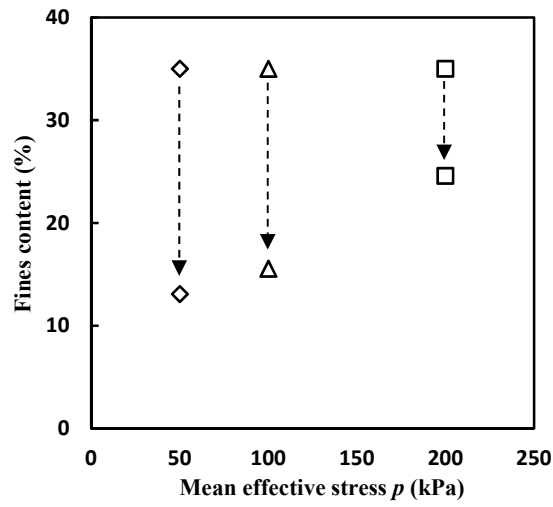
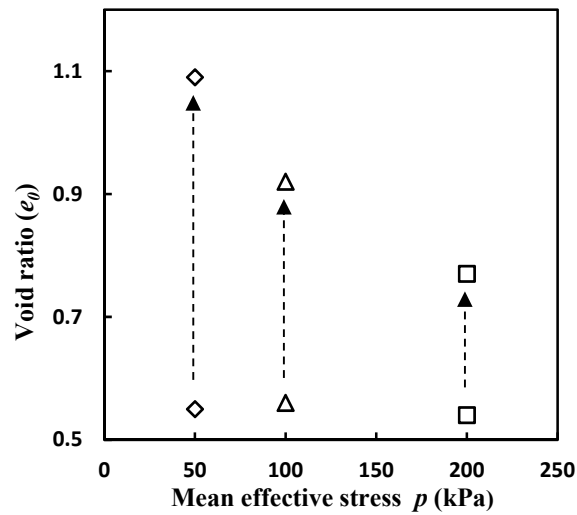


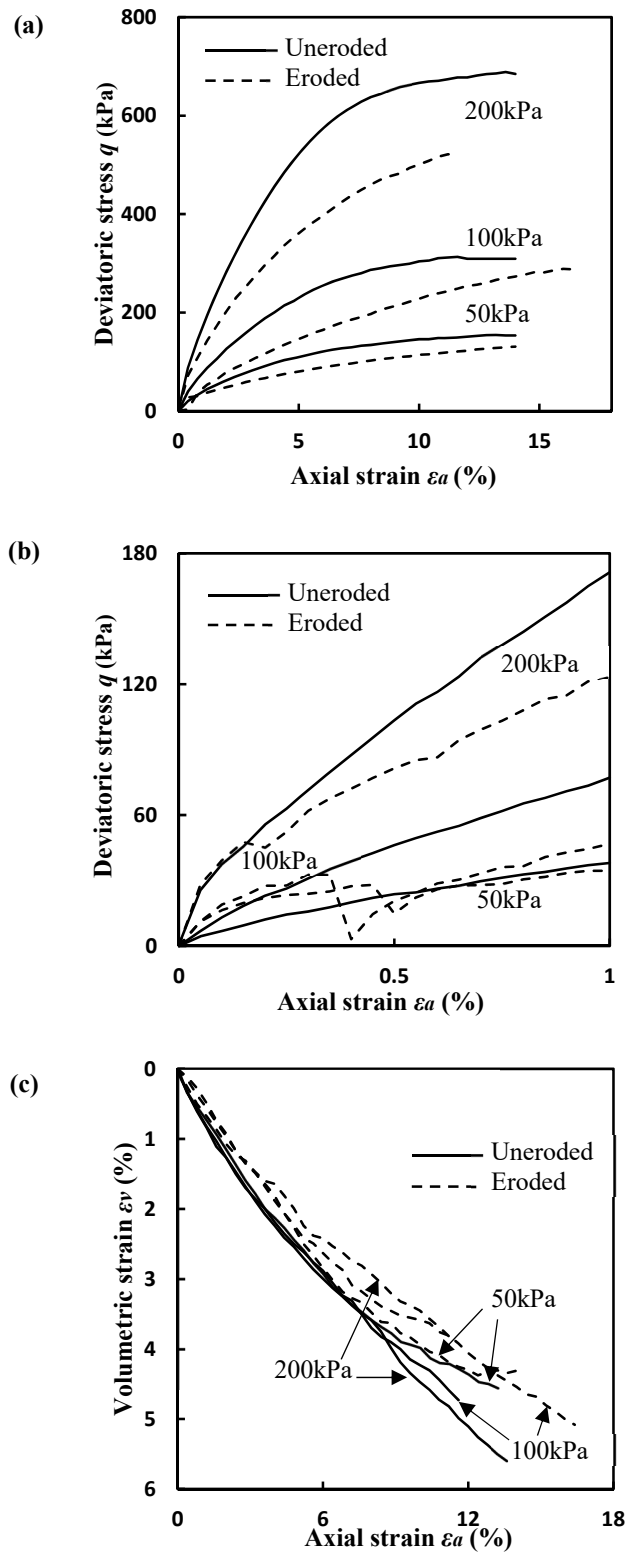
Fig. 2 Particle size distribution curves before and after erosion



**Fig. 3** Erosion-induced change of fines content for different initial mean effective stress



**Fig. 4** Erosion-induced change of void ratio for different initial effective confining stresses



**Fig. 5** Mechanical behaviour of both uneroded and eroded specimens under drained triaxial compression tests. **a** deviatoric stress-axial strain relationships, **b** deviatoric stress-axial strain relationships with axial strain smaller than 1%, **c** volumetric strain-axial strain relationships

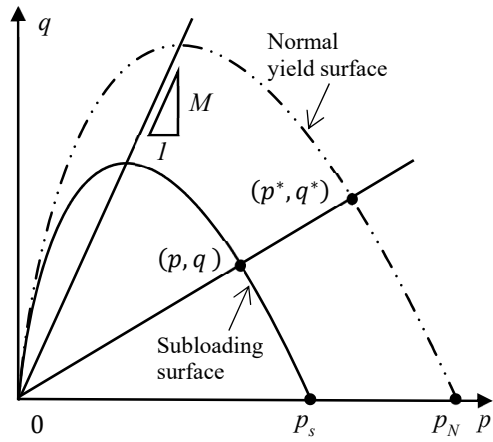


Fig. 6 Subloading surface and normal yield surface

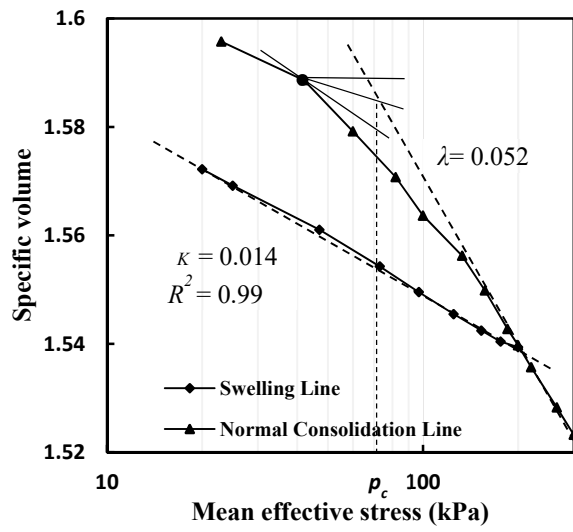


Fig. 7 Isotropic volume change of uneroded specimen

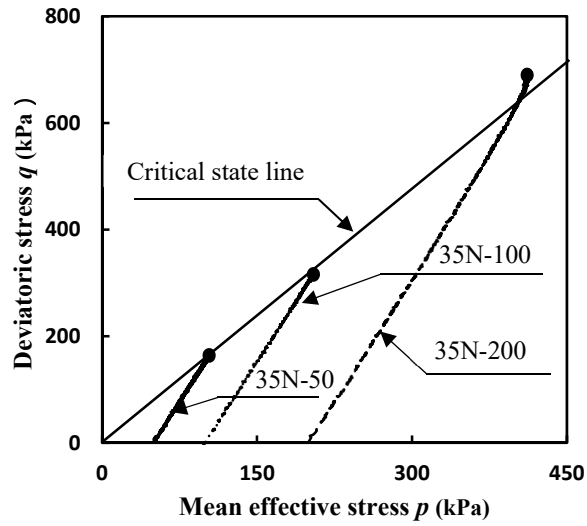


Fig. 8 Effective stress paths in drained triaxial tests on uneroded specimens

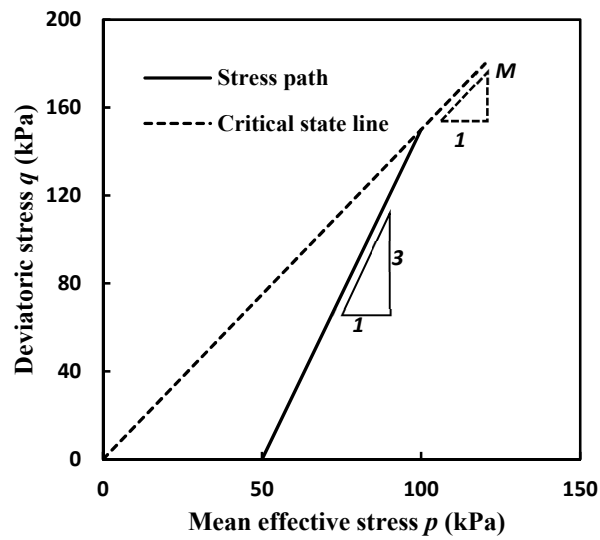
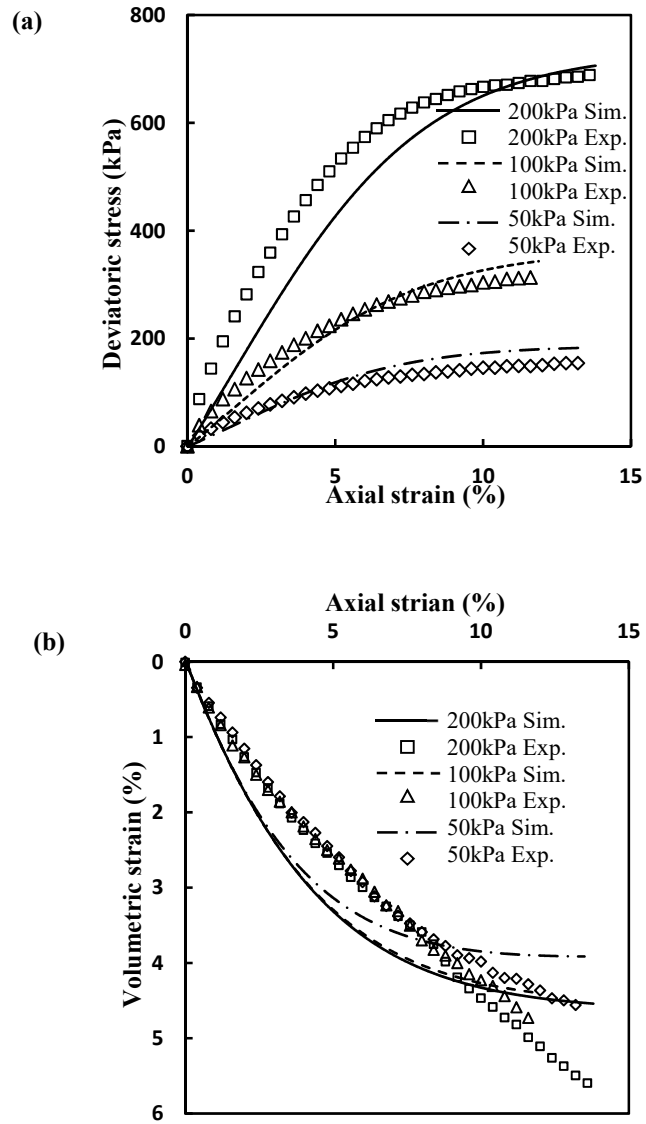


Fig. 9 Critical state line and stress path in  $p$ - $q$  space





**Fig. 10** Predictions of mechanical behaviour of uneroded specimens under triaxial compression, **a** stress-strain response, **b** volumetric strain-axial strain response

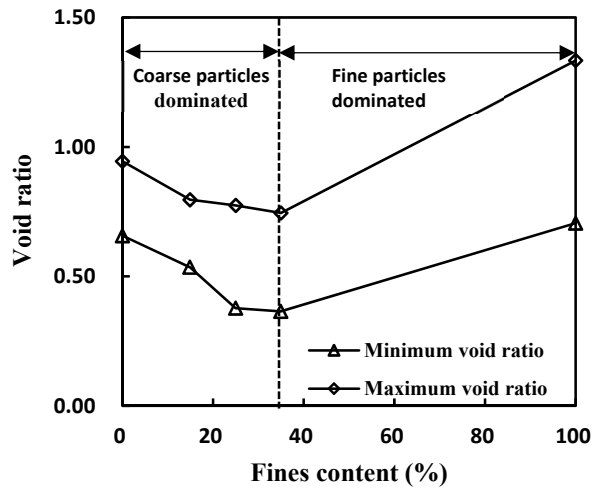


Fig. 11 Change of maximum and minimum void ratios with fines content

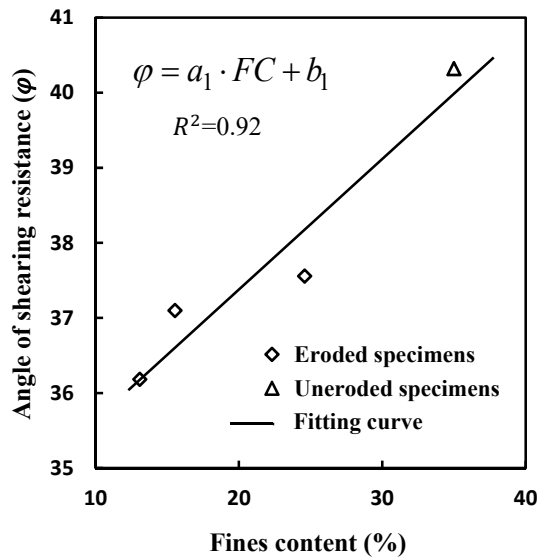


Fig. 12 Angle of shearing resistance versus fines content

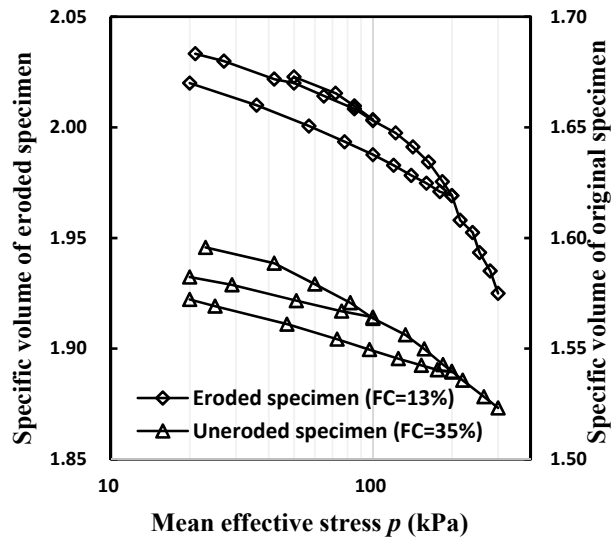
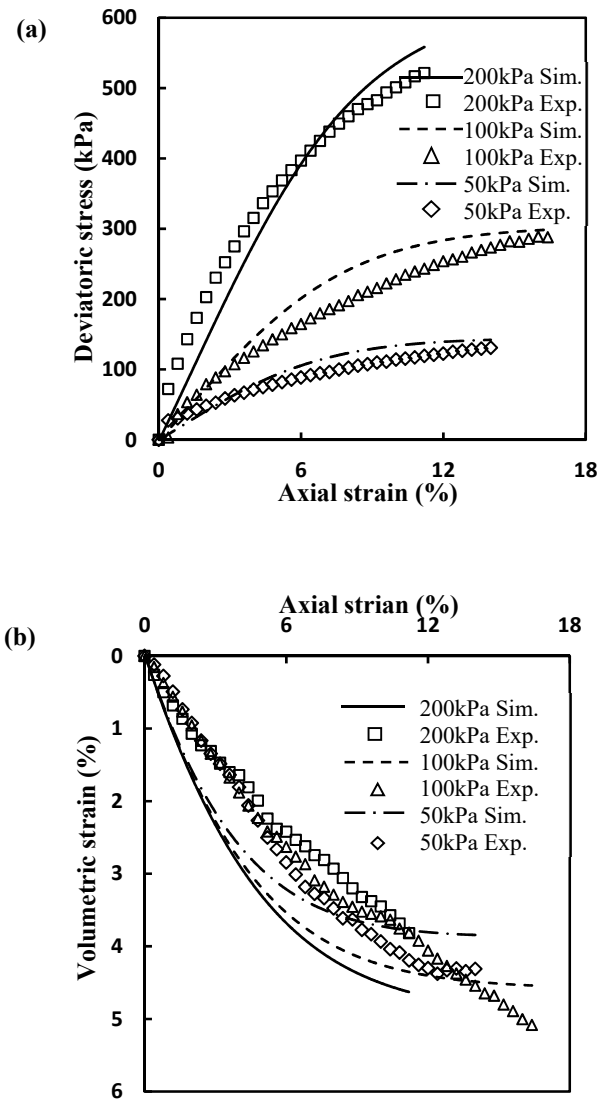


Fig. 13 Normal compression lines and swelling lines for eroded and uneroded specimens



**Fig. 14.** Predictions of mechanical behaviour of eroded specimens under triaxial compression. **a** stress-strain response, **b** volumetric strain-axial strain response

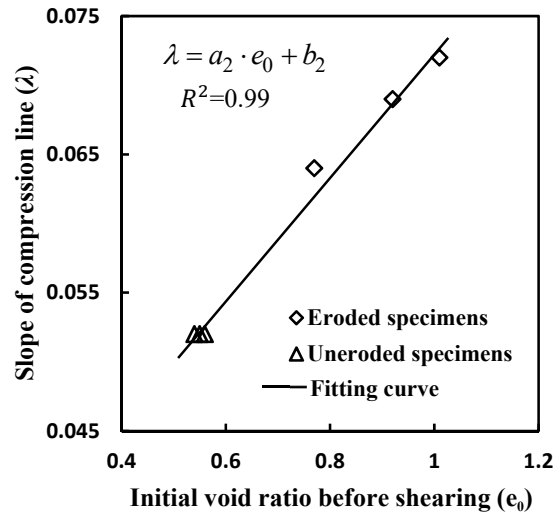


Fig. 15 Change in slope of normal compression line with initial void ratio before shearing

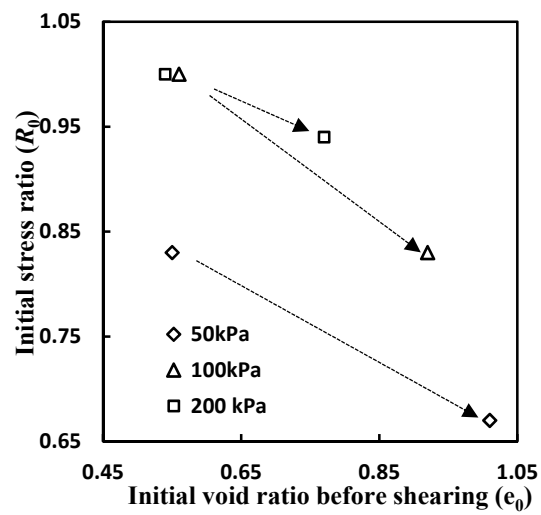


Fig. 16 Estimated initial stress ratio against initial void ratio before shearing